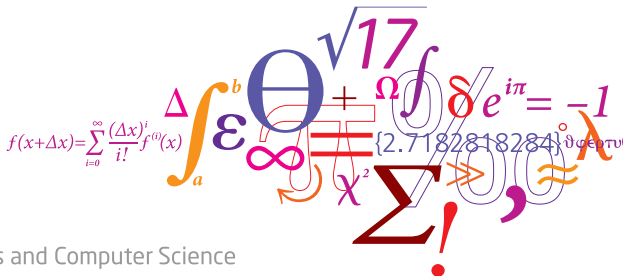


A Frame Theoretic View on Inverse Problems

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Outline

- Frame Theory
 - Frames in abstract Hilbert spaces
 - Structured frames for $L^2(\mathbb{R}^d)$
- Inverse Problems in Abstract Settings: Dual frame based regularizations
- Shearlet Frames in $L^2(\mathbb{R}^2)$
- Inversion of the Radon Transform by Shearlet Frames

- Expansions of signals f of finite energy, i.e.,
 $f \in L^2(\mathbb{R}^d) = \{f : \mathbb{R}^d \rightarrow \mathbb{C} : \int_{\mathbb{R}^d} |f(x)|^2 dx < \infty\}$

$$f(x) = \sum_{j=1}^{\infty} c_k \varphi_k(x) = c_1 \varphi_1(x) + c_2 \varphi_2(x) + \dots$$

in terms of **convenient building blocks** $\varphi_k \in L^2(\mathbb{R}^d)$.

- Shearlet analysis: An alternative to Fourier analysis, where the building blocks $\{\varphi_k\}$ are **dilations** (scales), **shears** and **translations** of a single function $\psi \in L^2(\mathbb{R}^2)$:

$$\{\varphi_k\} \sim \{2^{3j/4} \psi(2^j x_1 + k 2^{j/2} x_2 - m_1, 2^{j/2} x_2 - m_2)\}_{j \in \mathbb{Z}, k \in \mathbb{Z}, m \in \mathbb{Z}^2}$$

- Frames: A generalization of orthonormal bases (ONB) with more **flexibility** and **freedom**.

It is not always possible/desirable to require ONB.

- **Problems:**
 - Non-existence of Gabor ONB with good time-frequency localization
 - Non-existence of ONB sensitive to curvilinear singularities
 - Non-resilience to erasures/noise of expansions in an ONB

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- **Key Property** of Frames:

Redundancy!

Definition

A sequence $\{\varphi_k\}_{k \in \mathbb{N}}$ is a **frame** for a separable Hilbert space X if

$$\exists A, B > 0 : \quad A \|f\|^2 \leq \sum_{k=1}^{\infty} |\langle f, \varphi_k \rangle|^2 \leq B \|f\|^2 \quad \text{for all } f \in X.$$

If the upper bound holds, then $\{\varphi_k\}$ is said to be a **Bessel** sequence.

Definition

Two Bessel sequences $\{\varphi_k\}$ and $\{\psi_k\}$ are said to be **dual frames** if

$$f = \sum_{k=1}^{\infty} \langle f, \varphi_k \rangle \psi_k \quad \text{for all } f \in X.$$

For $\Phi = \{\varphi_k\}_{k \in \mathbb{N}}$, define the **Analysis** operator:

$$C_\Phi : X \rightarrow \ell^2(\mathbb{N}), \quad C_\Phi f = \{\langle f, \varphi_k \rangle\}_{k \in \mathbb{N}}$$

and the **Synthesis** operator:

$$D_\Phi : \ell^2(\mathbb{N}) \rightarrow X, \quad D_\Phi \{c_k\}_{k \in \mathbb{N}} = \sum_{k=1}^{\infty} c_k \varphi_k$$

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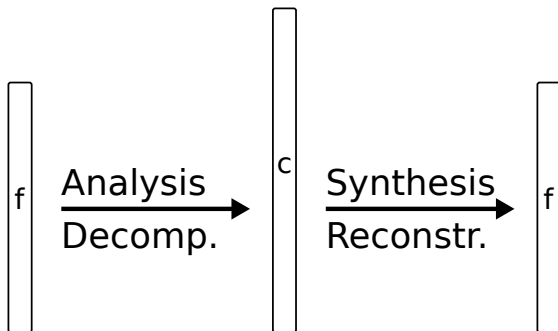
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- Φ and Ψ are dual frames $\Leftrightarrow D_\Psi C_\Phi = I_X$, i.e., $f = \sum_{k=1}^{\infty} \langle f, \varphi_k \rangle \psi_k \quad \forall f \in X$
- A frame has at least one dual frame: the **canonical dual** $\{(D_\Phi C_\Phi)^{-1} \varphi_k\}_{k \in \mathbb{N}}$.

The Picture of **Frame Expansions**:



Signal f **Coefficients** $c_k = \langle f, \varphi_k \rangle$ **Signal $f = \sum_k c_k \psi_k$**

- Want: Analysis & synthesis to be linear and **continuous** operations, often assuming some structure on φ_k and/or ψ_k

Generalized Shift-invariant (GSI) systems are of the form: $\{T_{C_p k} g_p\}_{k \in \mathbb{Z}^d, p \in P}$, where $g_p \in L^2(\mathbb{R}^d)$, $C_p \in \text{GL}(d, \mathbb{R})$, P a countable index set.

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Necessary condition for Frame (covering of frequency domain)

If the GSI system is a frame with bounds A and B , then

$$A \leq \sum_{p \in P} \frac{1}{|\det C_p|} |\hat{g}_p(\gamma)|^2 \leq B \quad \text{a.e. } \gamma \in \mathbb{R}^d$$

Here we ignore a technical Local Integrability Condition. Result for $d = 1$ due to [Christensen, Hasannasab, L.] and for general $d \in \mathbb{N}$ by [Führ, Jakobsen, L.]

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Sufficient condition for Bessel (not too much overlap)

If

$$B := \text{ess sup}_{\gamma \in \mathbb{R}^d} \sum_{p \in P} \sum_{\alpha \in \mathbb{Z}^d} \frac{1}{|\det C_p|} |\hat{g}_p(\gamma) \hat{g}_p(\gamma + C_p^\# \alpha)| < \infty$$

then the GSI system is Bessel with bounds B . Here $C_p^\# := (C_p^T)^{-1}$

Setup: Let X, Y be separable (inf. dim.) Hilbert spaces. Let

$$K : D(K) \rightarrow Y, X = \overline{D(K)}, Y = \overline{R(K)}$$

be an injective, closed operator (typically, it is compact).

Problem

Given $g \in Y$ and $\varepsilon > 0$ s.t. $\|Kf - g\|_Y < \varepsilon$, recover f .

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Given $g \in Y$ and $\varepsilon > 0$ s.t. $\|Kf - g\|_Y < \varepsilon$, recover f .

- It holds $(K^{-1})^* = (K^*)^{-1}$; for short, we write this operator as $K^{-*} : X \rightarrow Y$.
- $D(K^{-*}) = X \Leftrightarrow K^{-1}$ bounded. If K is compact, K^{-1} is unbounded.

- Take dual frames $\{\varphi_k\}$ and $\{\psi_k\}$ for X s.t. $\varphi_k \in D(K^{-*})$:

$$f = \sum_{k=1}^{\infty} \langle f, \varphi_k \rangle_X \psi_k \quad \text{for all } f \in X.$$

- Note that

$$\langle f, \varphi_k \rangle_X = \langle f, K^* K^{-*} \varphi_k \rangle_X = \langle Kf, K^{-*} \varphi_k \rangle_Y$$

- This gives the inversion formula:

$$f = \sum_{k=1}^{\infty} \langle Kf, K^{-*} \varphi_k \rangle_Y \psi_k \quad \text{for all } f \in X.$$

- Set $w_k = \kappa_k K^{-*} \varphi_k$. Pick weights $\kappa_k > 0$ s.t. $W = \{w_k\}_{k \in \mathbb{N}}$ is a Bessel sequence in X .

This is indeed always possible:

Lemma

Let $\{\theta_k\}$ be a sequence of positive numbers such that $\sum_{k \in \mathbb{N}} \theta_k < \infty$. Take $\kappa_k = \sqrt{\theta_k} / \|K^{-} \varphi_k\|_Y$. Then $W = \{w_k\}_{k \in \mathbb{N}}$ is Bessel with bound $B = \sum_{k \in \mathbb{N}} \theta_k$.*

Regularization Strategy based on Dual Frames I

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- The inversion formula is now:

$$f = \sum_{k=1}^{\infty} \frac{1}{\kappa_k} \langle Kf, w_k \rangle_Y \psi_k \quad \text{for all } f \in D(K).$$

- Or in terms of analysis, synthesis and multiplication operators:

$$f = D_{\Psi} M_{1/\kappa} C_W K f$$

where $M_{1/\kappa}$ is a (often unbounded) multiplication operator on $\ell^2(\mathbb{N})$ defined by $M_{1/\kappa} \{c_k\}_{k \in \mathbb{N}} = \{c_k / \kappa_k\}_{k \in \mathbb{N}}$.

- We define the recovery operator $R = D_{\Psi} M_{1/\kappa} C_W$:

$$R : D(R) \rightarrow X, Rg = \sum_{k \in \mathbb{N}} \frac{1}{\kappa_k} \langle g, w_k \rangle_Y \psi_k \quad (1)$$

where the domain is determined by a Picard condition:

$$D(R) = \left\{ g \in Y : \sum_{k \in \mathbb{N}} \frac{|\langle g, w_k \rangle_Y|^2}{\kappa_k^2} < \infty \right\}.$$

- The recovery strategy from $\|Kf - g\| < \varepsilon$ is:

$$D_{\Psi} M_{1/\kappa} S C_W g$$

where $S : \ell^2(\mathbb{N}) \rightarrow \ell^2(\mathbb{N})$ is a threshold procedure.

Shearlet Frames in $L^2(\mathbb{R}^2)$

Shearlet Systems in $L^2(\mathbb{R}^2)$



- **Anisotropic** scaling A :

$$A = \begin{pmatrix} 2 & 0 \\ 0 & 2^{1/2} \end{pmatrix},$$

- **Shearing** S_k (direction parameter \leftrightarrow rotations):

$$S_k = \begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix}$$

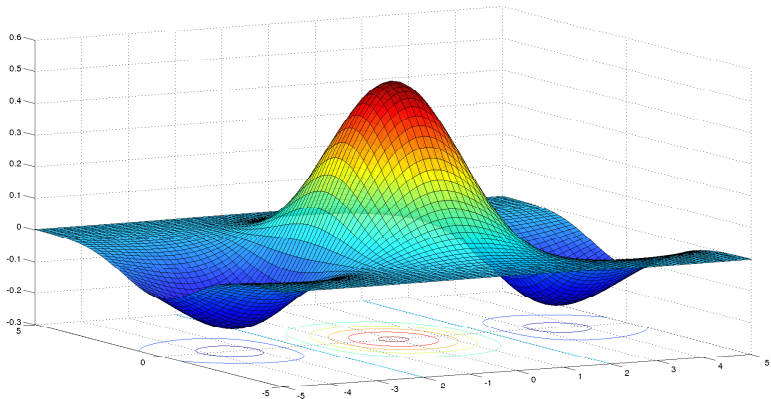
- The **shearlet system** generated by $\psi \in L^2(\mathbb{R}^2)$ is

$$\left\{ \psi_{j,k,m} = D_{S_k A^j} T_m \psi = 2^{3j/4} \psi(S_k A^j \cdot -m) : j \in \mathbb{Z}, k \in \mathbb{Z}, m \in \mathbb{Z}^2 \right\}$$

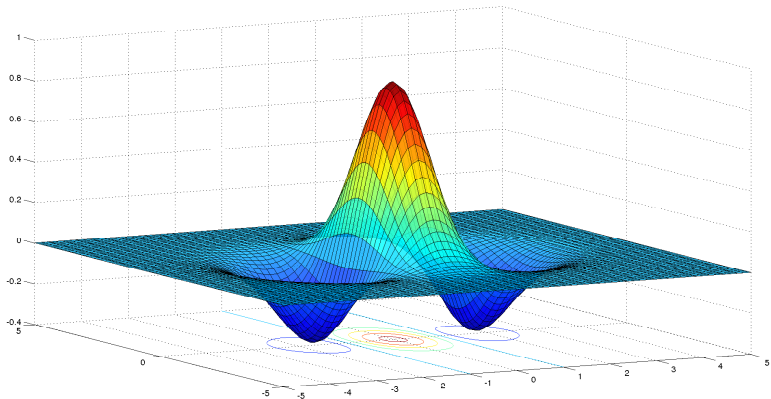
- **Frequency localization** of ψ :

$$|\hat{\psi}(\gamma_1, \gamma_2)| \leq C \min(1, |2\gamma_1|^\alpha) \min(1, |\gamma_1|^{-\delta}) \min(1, |\gamma_2|^{-\delta})$$

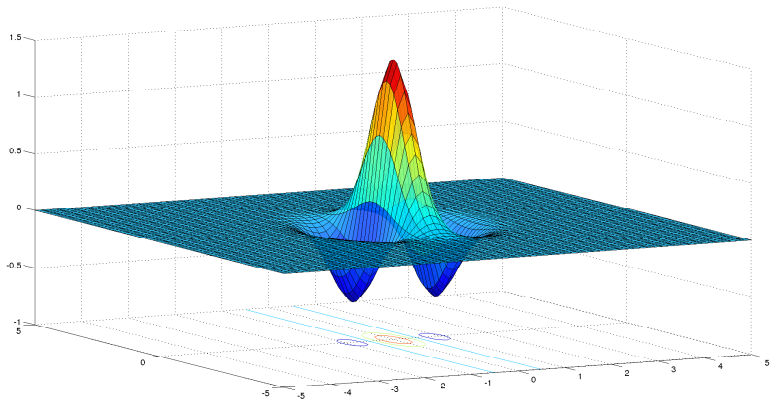
for some $C > 0$, $\alpha > \delta > 3$



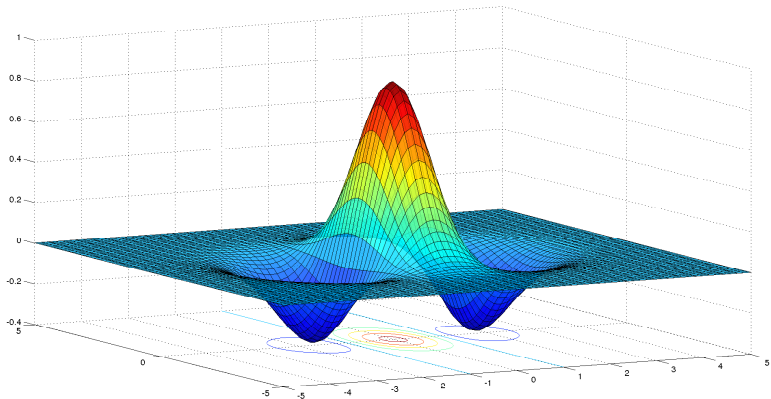
$\psi_{j,k,m}$ for $j = 0, k = 0, m = (0, 0)$



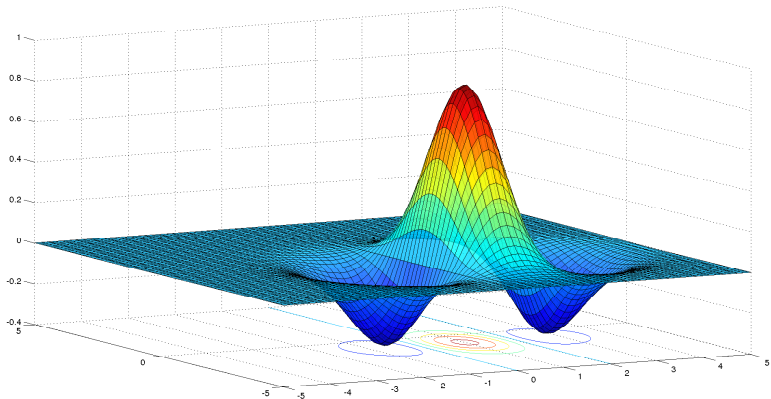
$\psi_{j,k,m}$ for $j = 1, k = 0, m = (0,0)$



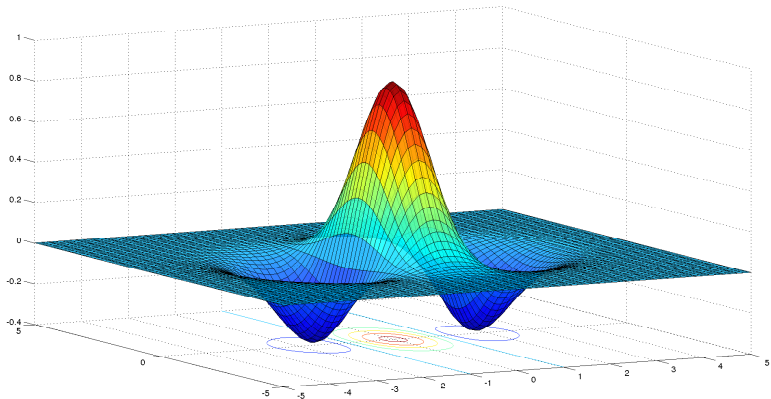
$\psi_{j,k,m}$ for $j = 2, k = 0, m = (0, 0)$



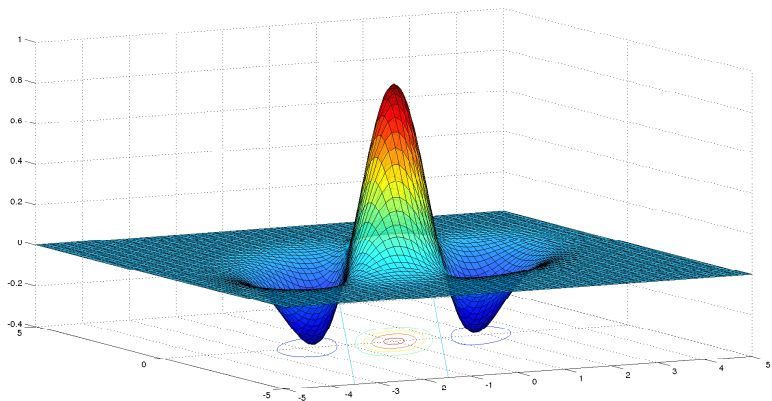
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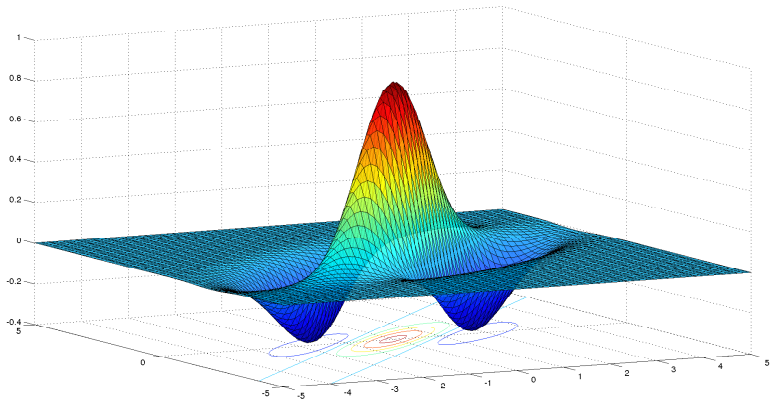
$\psi_{j,k,m}$ for $j = 1, k = 0, m = (1, -1)$



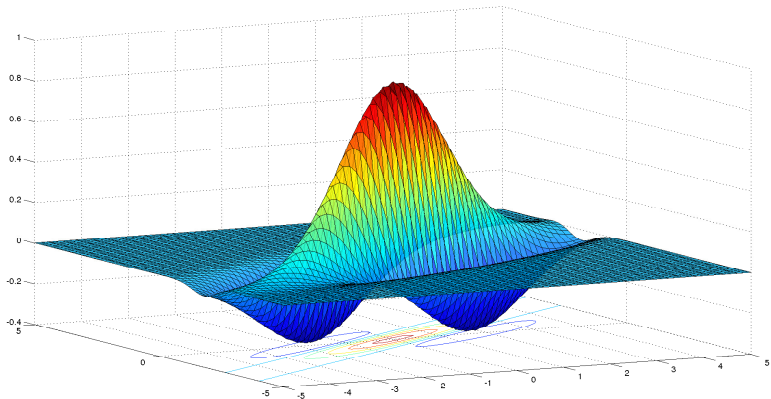
$\psi_{j,k,m}$ for $j = 1, k = 0, m = (0,0)$



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$\psi_{j,k,m}$ for $j = 1, k = -2, m = (0, 0)$



$\psi_{j,k,m}$ for $j = 1, k = -3, m = (0, 0)$

Cone-adapted Shearlet systems



- Problem: “Length” of $\text{supp } \hat{\psi}_{j,k,m}$ goes to ∞ as $|k| \rightarrow \infty$.

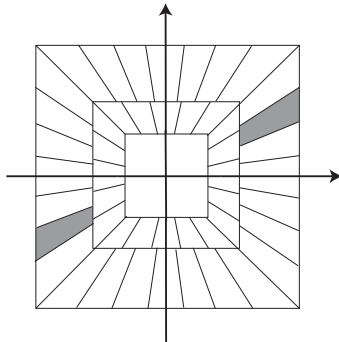
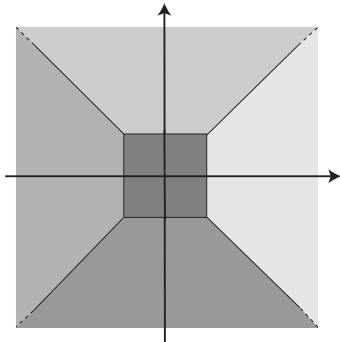
Cone-adapted Shearlet systems

- Problem: “Length” of $\text{supp } \hat{\psi}_{j,k,m}$ goes to ∞ as $|k| \rightarrow \infty$.
- Solution: Cone-adapted shearlet system

Definition

For $\phi, \psi, \tilde{\psi} \in L^2(\mathbb{R}^2)$, the **cone-adapted** shearlet system $\text{SH}(\phi, \psi, \tilde{\psi})$ is the union:

$$\{T_k \phi\}_{k \in \mathbb{Z}^2} \cup \{D_{S_k A^j} T_m \psi\}_{j \geq 0, |k| \leq [2^{j/2}], m \in \mathbb{Z}^2} \cup \{D_{\tilde{S}_k \tilde{A}^j} T_m \tilde{\psi}\}_{j \geq 0, |k| \leq [2^{j/2}], m \in \mathbb{Z}^2}$$



By the commutator relations

$$D_{A^j S_k} T_m = T_{S_{-k} A^{-j} m} D_{A^j S_k},$$

it follows that

$$\psi_{j,k,m} = D_{S_k A^j} T_m \psi = T_{S_{-k} A^{-j} m} D_{A^j S_k} \psi$$

Hence, the shearlet system on horizontal is a GSI system $\{T_{C_p k} g_p\}_{k \in \mathbb{Z}^d, p \in P}$ with

$$C_p = C_{(j,k)} = S_{-k} A^{-j} \quad \text{and} \quad g_p = g_{(j,k)} = D_{A^j S_k} \psi$$

where

$$P = \bigcup_{j \in \mathbb{N}_0} \{j\} \times \left[-\left\lceil 2^{j/2} \right\rceil, \left\lceil 2^{j/2} \right\rceil \right].$$

Similar for the vertical cones and the central box.

Necessary condition for Frame (covering of frequency domain)

If the shearlet system $\text{SH}(\phi, \psi, \tilde{\psi})$ is a frame with bounds A and B , then

$$A \leq |\hat{\phi}(\gamma)|^2 + \sum_{j=0}^{\infty} \sum_{|k| \leq \lceil 2^{j/2} \rceil} |\hat{\psi}(S_{-k}^T A^j \gamma)|^2 + \sum_{j=0}^{\infty} \sum_{|k| \leq \lceil 2^{j/2} \rceil} |\hat{\psi}(\tilde{S}_{-k}^T \tilde{A}^j \gamma)|^2 \leq B$$

for a.e. $\gamma \in \mathbb{R}^2$.

Sufficient condition for Bessel (not too much overlap)

If

$$B := \text{ess sup}_{\gamma \in \mathbb{R}^2} \left(\sum_{\alpha \in \mathbb{Z}^2} |\hat{\phi}(\gamma) \hat{\phi}(\gamma + \alpha)| + \sum_{j=0}^{\infty} \sum_{|k| \leq \lceil 2^{j/2} \rceil} |\hat{\psi}(S_{-k}^T A^j \gamma) \hat{\psi}(S_{-k}^T A^j \gamma + \alpha)| \right. \\ \left. + \sum_{j=0}^{\infty} \sum_{|k| \leq \lceil 2^{j/2} \rceil} |\hat{\psi}(\tilde{S}_{-k}^T \tilde{A}^j \gamma) \hat{\psi}(\tilde{S}_{-k}^T \tilde{A}^j \gamma + \alpha)| \right) < \infty,$$

then $\text{SH}(\phi, \psi, \tilde{\psi})$ is a Bessel system with bounds B . Here $C_p^\# := (C_p^T)^{-1}$

What is the weighted system W ?

- Setup: $X = L^2(\mathbb{R}^2)$, $Y = L^2(S^1 \times \mathbb{R})$, and

$$Rf(\theta, s) = \int_{-\infty}^{\infty} f(s\theta + t\theta^\perp) dt$$

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Inversion of the Radon Transform by Shearlet Frames

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- A **intertwining relation** gives $R^{-*} = R\Lambda$
- Since R is bounded, we only have to make

$$\{\kappa_{j,k,m} \Lambda \psi_{j,k,m}\}_{j \geq 0, |k| \leq [2^{j/2}], m \in \mathbb{Z}^2}$$

a **Bessel system** for some choice of $\kappa_{j,k,m} > 0$. And similar for the vertical cones and the central box.

- Since Λ is a Fourier multiplier, it commutes with translation:

$$\Lambda T_{C_p k} g_p = T_{C_p k} \Lambda g_p$$

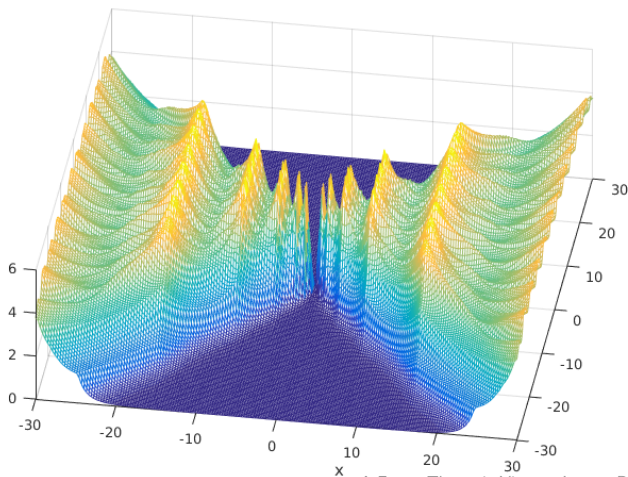
- Hence, Λ maps the shearlet system to a GSI system (that is **not** a shearlet system!) with generators $g_p = \Lambda D_{A^j S_k} \psi$.

Inversion of the Radon Transform by Shearlet Frames

Covering of the Horizontal Cone

Plot of

$$\sum_{j=0}^{\infty} \sum_{|k| \leq \lceil 2^{j/2} \rceil} |\hat{\psi}(S_{-k}^T A^j \gamma)|^2$$

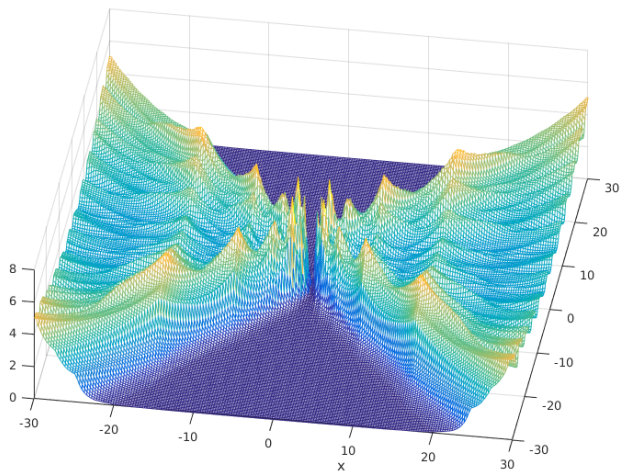


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$$\sum_{j=0}^{\infty} \sum_{|k| \leq \lfloor 2^{j/2} \rfloor} \kappa_j^2 |\gamma|^2 |\hat{\psi}(S_{-k}^T A^j \gamma)|^2, \quad \kappa_j = 2^{-j},$$

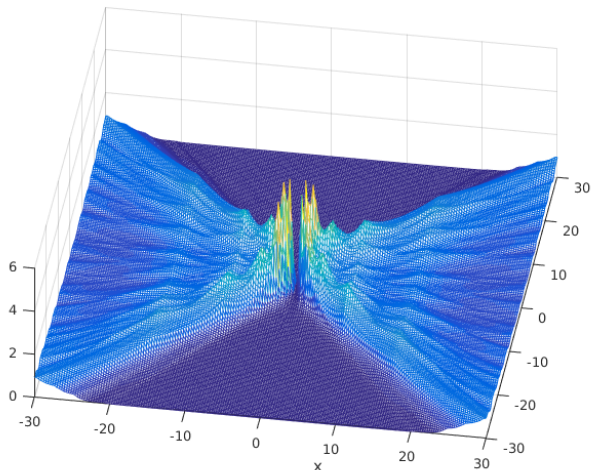


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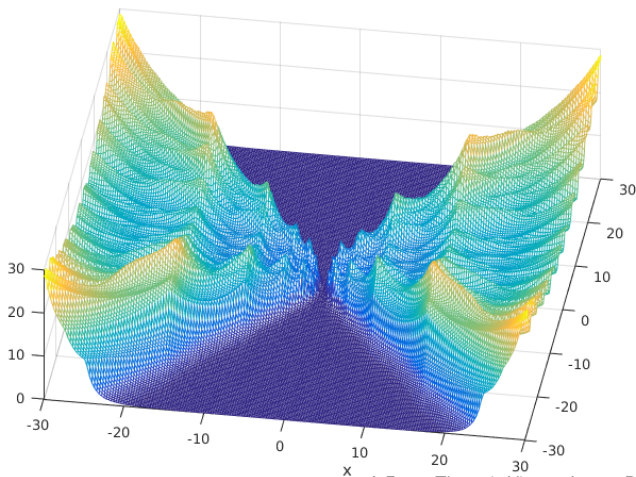


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- We really want to solve the inverse problem under the prior information that $f \in C \subset X$ for some image class C , e.g., cartoon-like images.
- If $\{\langle f, \phi_k \rangle\}_{k \in \mathbb{N}}$ belongs (after reordering descending in absolute value) to a weak ℓ_p space for some small $p > 0$, then so does $\{\langle Kf, K^{-*} \phi_k \rangle\}_{k \in \mathbb{N}}$.
- Understand the role of the weights κ_k

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Thank You!

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