#### The Java Virtual Machine

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# Overview

- Review Java/JVM
- JVM Bytecodes
- Bytecode examples
- Class information
- Parameter passing
- On projects

#### Java System Overview

Java Application

Java Programming Language



The Java virtual machine

# Java Technology

- The Java programming language
- The library (JDK)
- The Java virtual machine (JVM)
  - An instruction set and the meaning of those instructions – the *bytecodes*
  - A binary format the class file format
  - An algorithm to verify the class file

# JVM Data Types

reference Pointer to an object or array

- int 32-bit integer (signed)
- 1ong 64-bit integer (signed)
- float 32-bit floating-point (IEEE 754-1985)
- double 64-bit floating-point (IEEE 754-1985)

No boolean, char, byte, and short types

- Stack contains only 32-bit and 64-bit data
- Conversion instructions

#### JVM Instruction Set

- The Bytecodes
- Operations on the operand stack
- Variable length
- Simple, e.g. i add
- Complex, e.g. new
- Symbolic references
- 201 different instructions

# **Instruction Types**

- Arithmetic
- Load and store
- Type conversion
- Object creation and manipulation
- Operand stack manipulation
- Control transfer
- Method invocation and return

## **Arithmetic Instructions**

- Operate on the values from the stack
- Push the result back onto the stack
- Instructions for int, long, float and double
- No direct support for byte, short or char types
  - Handled by int operations and type conversion

| iadd                                                                  |                                                                                                                                                                |
|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Operation                                                             | Add int                                                                                                                                                        |
| Format                                                                | iadd                                                                                                                                                           |
| Forms                                                                 | <i>iadd</i> = 96 (0x60)                                                                                                                                        |
| <b>Operand Stack</b>                                                  | , value1, value2 =>, result                                                                                                                                    |
| Both <i>value1</i> and <i>value1</i> operand stack.<br>operand stack. | <i>alue2</i> must be of type int. The values are popped from the The int <i>result</i> is <i>value1</i> + <i>value2</i> . The <i>result</i> is pushed onto the |
| The result is the 3                                                   | 2 low-order bits of the true mathematical result in a sufficiently                                                                                             |

- wide two's-complement format, represented as a value of type int. If overflow occurs, then the sign of the result may not be the same as the sign of the mathematical sum of the two values.
- Despite the fact that overflow may occur, execution of an *iadd* instruction never throws a runtime exception.

| fadd                                             |                                                                                                                                     |
|--------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| Operation                                        | Add float                                                                                                                           |
| Format                                           | fadd                                                                                                                                |
| Forms                                            | <i>fadd</i> = 98 (0x62)                                                                                                             |
| <b>Operand Stack</b>                             | , value1, value2 =>, result                                                                                                         |
| Both <i>value1</i> and <i>v</i> alue1 and value1 | <i>alue2</i> must be of type float. The values are popped from the and undergo value set conversion, resulting in <i>value1</i> and |

operand stack and undergo value set conversion, resulting in *value1* and *value1* and *value2*. The float *result* is *value1* + *value2*. The *result* is pushed onto the operand stack.

The result of an *fadd* instruction is governed by the rules of IEEE arithmetic.

The Java virtual machine requires support of gradual underflow as defined by IEEE 754. Despite the fact that overflow, underflow, or loss of precision may occur, execution of an *fadd* instruction never throws a runtime exception.

| ladd                                                                  |                                                                                                                                                                  |
|-----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Operation                                                             | Add long                                                                                                                                                         |
| Format                                                                | ladd                                                                                                                                                             |
| Forms                                                                 | ladd = 97 (0x61)                                                                                                                                                 |
| <b>Operand Stack</b>                                                  | , value1, value2, result                                                                                                                                         |
| Both <i>value1</i> and <i>value1</i> operand stack.<br>operand stack. | <i>alue2</i> must be of type long. The values are popped from the The long <i>result</i> is <i>value1</i> + <i>value2</i> . The <i>result</i> is pushed onto the |

The result is the 64 low-order bits of the true mathematical result in a sufficiently wide two's-complement format, represented as a value of type long. If overflow occurs, the sign of the result may not be the same as the sign of the mathematical sum of the two values.

Despite the fact that overflow may occur, execution of an *ladd* instruction never throws a runtime exception.

#### **Arithmetic Instructions**

- Add: *iadd*, *ladd*, *fadd*, *dadd*
- Subtract: *isub*, *Isub*, *fsub*, *dsub*
- Multiply: *imul*, *Imul*, *fmul*, *dmul*
- Divide: *idiv*, *ldiv*, *fdiv*, *ddiv*
- Remainder: *irem*, *Irem*, *frem*, *drem*
- Negate: *ineg*, *lneg*, *fneg*, *dneg*
- Shift: ishl, ishr, iushr, Ishl, Ishr, Iushr
- Bitwise OR: ior, lor
- Bitwise AND: *iand*, *land*
- Bitwise exclusive OR: *ixor*, *lxor*
- Local variable increment: *iinc*
- Comparison: *dcmpg*, *dcmpl*, *fcmpg*, *fcmpl*, *lcmp*

# Load and Store Instructions

#### Load

- Push value from local variable onto stack
- Push a constant onto the stack

#### Store

- Transfer value from the stack to a local variable
- Typed instructions
- Short versions

| iload     |                              |
|-----------|------------------------------|
| Operation | Load int from local variable |
| Format    | iload<br>index               |
| Forms     | <i>iload</i> = 21 (0x15)     |
|           | ,                            |

**Operand Stack** ... => ..., value

The *index* is an unsigned byte that must be an index into the local variable array of the current frame. The local variable at *index* must contain an int. The *value* of the local variable at *index* is pushed onto the operand stack.

The *iload* opcode can be used in conjunction with the *wide* instruction to access a local variable using a two-byte unsigned index.

| il | oad_     | <n></n>                                                                                                              |
|----|----------|----------------------------------------------------------------------------------------------------------------------|
| OI | peration | Load int from local variable                                                                                         |
| Fc | ormat    | iload_ <n></n>                                                                                                       |
| Fo | orms     | <i>iload_0</i> = 26 (0x1a)<br><i>iload_1</i> = 27 (0x1b)<br><i>iload_2</i> = 28 (0x1c)<br><i>iload_3</i> = 29 (0x1d) |

**Operand Stack** ... => ..., value

The *<n>* must be an index into the local variable array of the current frame. The local variable at *<n>* must contain an int. The *value* of the local variable at *<n>* is pushed onto the operand stack.

Each of the *iload\_*<*n>* instructions is the same as *iload* with an *index* of <*n>*, except that the operand <*n>* is implicit.

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| istore               |                               |
|----------------------|-------------------------------|
| Operation            | Store int into local variable |
| Format               | istore<br>index               |
| Forms                | <i>istore</i> = 54 (0x36)     |
| <b>Operand Stack</b> | , value =>                    |

The *index* is an unsigned byte that must be an index into the local variable array of the current frame. The *value* on the top of the operand stack must be of type int. It is popped from the operand stack, and the value of the local variable at *index* is set to *value*.

The *istore* opcode can be used in conjunction with the *wide* instruction to access a local variable using a two-byte unsigned index.

| bipush               |                           |  |
|----------------------|---------------------------|--|
| Operation            | Push byte                 |  |
| Format               | bipush<br>byte            |  |
| Forms                | <i>bipush</i> = 16 (0x10) |  |
| <b>Operand Stack</b> | =>, value                 |  |

The immediate *byte* is sign-extended to an int *value*. That *value* is pushed onto the operand stack.

| sipush               |                          |  |
|----------------------|--------------------------|--|
| Operation            | Push short               |  |
| Format               | sipush<br>byte1<br>byte2 |  |
| Forms                | sipush = 17 (0x11)       |  |
| <b>Operand Stack</b> | =>, <i>value</i>         |  |

The immediate unsigned *byte1* and *byte2* values are assembled into an intermediate short where the value of the short is (*byte1* << 8) | *byte2*. The intermediate value is then sign-extended to an int *value*. That *value* is pushed onto the operand stack.

# iconst\_>OperationPush int constantFormat $iconst_<i>$ Forms $iconst_m1 = 2 (0x2)$ <br/> $iconst_0 = 3 (0x3)$ <br/> $iconst_1 = 4 (0x4)$ <br/> $...<br/><math>iconst_5 = 8 (0x8)$

**Operand Stack** ... => ..., *<i>* 

Push the int constant  $\langle i \rangle$  (-1, 0, 1, 2, 3, 4 or 5) onto the operand stack.

Each of this family of instructions is equivalent to *bipush <i>* for the respective value of *<i>*, except that the operand *<i>* is implicit.

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| ldc         |                                      |
|-------------|--------------------------------------|
| Operation   | Push item from runtime constant pool |
| Format      | ldc<br>index                         |
| Forms       | ldc = 18 (0x12)                      |
| Operand Sta | ack =>, value                        |

The *index* is an unsigned byte that must be a valid index into the runtime constant pool of the current class. The runtime constant pool entry at *index* either must be a runtime constant of type int or float, or must be a symbolic reference to a string literal.

If the runtime constant pool entry is a runtime constant of type int or float, the numeric *value* of that runtime constant is pushed onto the operand stack as an int or float, respectively.

Otherwise, the runtime constant pool entry must be a reference to an instance of class String representing a string literal. A reference to that instance, *value*, is pushed onto the operand stack.

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## Load and Store Instructions

- Load a local variable
  - iload, iload\_<n>, lload, lload\_<n>, fload, fload\_<n>, dload, dload\_<n>, aload, aload\_<n>
- Store a local variable
  - istore, istore\_<n>, lstore, lstore\_<n>, fstore, fstore\_<n>, dstore, dstore\_<n>, astore, astore\_<n>
- Load a constant
  - bipush, sipush, ldc, ldc\_w, ldc2\_w, aconst\_null, iconst\_m1, iconst\_<i>, lconst\_<l>, fconst\_<f>, dconst\_<d>
- Wider index, or larger immediate operand
  - wide

#### Load/Add/Store Example

int a, b, c;

- 0: iconst\_1
- 1: istore\_0 // a
- 2: bipush 123
- 4: istore\_1 // b
- 5: iload\_0 // a
- 6: iload\_1 // b
- 7: iadd
- 8: istore\_2 // c

# **Type Conversion**

- Widening numeric conversions
  - int to long, float, or double
  - Iong to float or double
  - float to double
  - *i2l, i2f, i2d, l2f, l2d,* and *f2d*
- Narrowing numeric conversions
  - int to byte, short, or char
  - long to int
  - float to int or long
  - double to int, long, or float
  - *i2b, i2c, i2s, l2i, f2i, f2l, d2i, d2l,* and *d2f*

#### **Conversion Example**

short s; s = 1; ++s;

- 0: iconst\_1
- 1: istore\_0
- 2: iload\_0
- 3: iconst\_1
- 4: iadd
- 5: i2s // truncate
- 6: istore\_0

## **Object Instructions**

- Create a new class instance or array
  - new, newarray, anewarray, multianewarray
- Field access
  - *getfield, putfield, getstatic, putstatic*
- Array load, store
  - baload, caload, saload, iaload, laload, faload, daload, aaload
  - bastore, castore, sastore, iastore, lastore, fastore, dastore, aastore
- Length of an array
  - *arraylength*
- Check properties
  - instanceof, checkcast

## **Object Creation**

```
Object create() {
    return new Object();
}
```

- 0: new #2; //class Object
- 3: dup
- 4: invokespecial #1; //Method java/lang/Object."<init>":()V
- 7: areturn

| getfield             |                                      |  |
|----------------------|--------------------------------------|--|
| Operation            | Fetch field from object              |  |
| Format               | getfield<br>indexbyte1<br>indexbyte2 |  |
| Forms                | getfield = 180 (0xb4)                |  |
| <b>Operand Stack</b> | , objectref =>, value                |  |

The *objectref*, which must be of type reference, is popped from the operand stack. The unsigned *indexbyte1* and *indexbyte2* are used to construct an index into the runtime constant pool of the current class, where the value of the index is (*indexbyte1* << 8) | *indexbyte2*. The runtime constant pool item at that index must be a symbolic reference to a field, which gives the name and descriptor of the field as well as a symbolic reference to the class in which the field is to be found. The referenced field is resolved. The *value* of the referenced field in *objectref* is fetched and pushed onto the operand stack.

| putfield             |                                      |  |
|----------------------|--------------------------------------|--|
| Operation            | Set field in object                  |  |
| Format               | putfield<br>indexbyte1<br>indexbyte2 |  |
| Forms                | <i>putfield</i> = 181 (0xb5)         |  |
| <b>Operand Stack</b> | , objectref, value =>                |  |

The unsigned *indexbyte1* and *indexbyte2* are used to construct an index into the runtime constant pool of the current class...

The *value* and *objectref* are popped from the operand stack. The *objectref* must be of type reference. The referenced field in *objectref* is set to *value*.

#### **Field Access**

```
static int statVal;
private int privVal;
```

```
void foo() {
```

| int i = statVal + privVal; | 0:<br>3:<br>4:<br>7:<br>8: | aload_0                        | #3; //Field statVal:I<br>#4; //Field privVal:I |
|----------------------------|----------------------------|--------------------------------|------------------------------------------------|
| statVal = i;               | 9:<br>10:                  | iload_1<br>putstatic           | #3; //Field statVal:I                          |
| privVal = i;               | 14:                        | aload_0<br>iload_1<br>putfield | #4; //Field privVal:I                          |
| }                          | 18:                        | return                         |                                                |

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## **Operand Stack Manipulation**

- Direct manipulation of the operand stack
  - pop, pop2
  - dup, dup2, dup\_x1, dup2\_x1, dup\_x2, dup2\_x2
  - swap

| swap                 |                                       |
|----------------------|---------------------------------------|
| Operation            | Swap the top two operand stack values |
| Format               | swap                                  |
| Forms                | <i>swap</i> = 95 (0x5f)               |
| <b>Operand Stack</b> | , value2, value1 =>, value1, value2   |
| <b>-</b>             |                                       |

Swap the top two values on the operand stack.

# **Control Transfer**

#### Conditional branch

 ifeq, iflt, ifle, ifne, ifgt, ifge, ifnull, ifnonnull, if\_icmpeq, if\_icmpne, if\_icmplt, if\_icmpgt, if\_icmple, if\_icmpge, if\_acmpeq, if\_acmpne.

#### Switch

- *tableswitch, lookupswitch.*
- Unconditional branch
  - goto, goto\_w, jsr, jsr\_w, ret.

# if<cond>

| Operation            | Branch if int comparison with zero succeeds            |  |
|----------------------|--------------------------------------------------------|--|
| Format               | <i>if<cond><br/>branchbyte1<br/>branchbyte2</cond></i> |  |
| Forms                | <i>ifeq</i> = 153 (0x99)                               |  |
|                      | <br><i>ifle</i> = 158 (0x9e)                           |  |
| <b>Operand Stack</b> | , <i>value</i> =>                                      |  |

The *value* is popped from the operand stack and compared against zero. All comparisons are signed:

```
eq succeeds if and only if value = 0
```

```
le succeeds if and only if value \leq 0
```

If the comparison succeeds, *branchbyte1* and *branchbyte2* are used to construct a signed 16-bit offset. Execution then proceeds at that offset from the address of the opcode of this *if*<*cond>* instruction. Otherwise, execution proceeds at the address of the instruction following this *if*<*cond>* instruction.

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# Method Invocation, Return

#### *invokevirtual*

- Invokes an instance method of an object, dispatching on the (virtual) type of the object.
- This is the normal method dispatch in the Java programming language
- *invokeinterface* 
  - Invokes a method that is implemented by an interface
- invokespecial
  - Invokes an instance method requiring special handling
  - Instance initialization method, a private method, or a superclass method
- *invokestatic* 
  - Invokes a class (static) method

## invokevirtual

| Operation            | Invoke instance method; dispatch based on class    |  |
|----------------------|----------------------------------------------------|--|
| Format               | <i>invokevirtual<br/>indexbyte1<br/>indexbyte2</i> |  |
| Forms                | <i>invokevirtual</i> = 182 (0xb6)                  |  |
| <b>Operand Stack</b> | , objectref, [arg1, [arg2]] =>                     |  |

The unsigned *indexbyte1* and *indexbyte2* are used to construct an index into the runtime constant pool...

The *objectref* must be followed on the operand stack by *nargs* argument values, where the number, type, and order of the values must be consistent with the descriptor of the selected instance method.

If the method is synchronized, the monitor associated with *objectref* is acquired or reentered.

# **Class Information**

- Instance size
- Static (class) variables
- Virtual method table
- Interface table
- Constant pool
- Reference to super class



## Method Structure

| Start address | Method length |            |
|---------------|---------------|------------|
| Constant pool | Local count   | Arg. count |

- Information about a method
  - Address
  - Length (for the cache)
  - Pointer to the constant pool of the class
  - Number of arguments and local variables

# **Object Format**



- Direct pointer
- Handle possible
- Return pointer to the class information





- Direct pointer
- Handle possible
- Length is needed

#### **Constant Pool**

- Contains:
  - Simple constants (e.g. 123, 0.345)
  - String constants
  - Class references
  - Field references
  - Method references
- All references are symbolic in the class file
- References can and should be converted to direct pointers

#### **Runtime Data Structures**

- PC program counter
- Operand stack
  - SP stack pointer
  - VP variable pointer
- MP method pointer
  - Reference to the method structure
- CP constant pool
  - Current constant pool

#### Parameter passing

```
int val = foo(1, 2);
    public int foo(int a, int b) {
        int c = 1;
        return a+b+c;
    }
The invocation sequence:
    aload 0
                        // Push the object reference
    iconst 1
                        // and the parameter onto the
    iconst_2
                        // operand stack.
    invokevirtual #2 // Invoke method foo:(II)I.
                        // Store the result in val.
    istore_1
public int foo(int,int):
                        // The constant is stored in a method
    iconst_1
    istore 3
                        // local variable (at position 3).
                        // Arguments are accessed as locals
    iload 1
    iload 2
                        // and pushed onto the operand stack.
    iadd
                        // Operation on the operand stack.
    iload_3
                        // Push c onto the operand stack.
    iadd
                        // Return value is on top of stack.
    ireturn
```

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#### Stack on Method Invocation



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# Summary

- The JVM defines an instruction set Bytecodes
- Simple, typed stack instructions
- Complex, such as object creation
- Implementation details are not defined
- Method invocation suggests a common stack

# More Information

- JOP Thesis: p 7-16, p 55-64, p 78-82
  - Or in the Handbook
- Tim Lindholm and Frank Yellin. *The* Java Virtual Machine Specification. Addison-Wesley, 1999, <u>JVMSpec</u>.