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# Two Models of Communicating Transaction Processes

# or

# Are our colleagues letting us down?

## Shaofa Yang & Dines Bjørner

China & The Royal Kingdom of Denmark

## BCS-FACS Christmas Meeting

• 19 December 2005, London, UK •

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- Communicating transaction processes (CTP) form a hybrid
  - ⋆ between condition event Petri nets
  - ⋆ and simple forms of message sequence charts
- CTPs were proposed by
  - ⋆ A. Roychoudhury and P.S. Thiagarajan in the paper:
  - ⋆ *Communicating Transaction Processes.*
  - ⋆ Proc. of the 3rd IEEE International Conference on Application of Concurrency in System Design (ACSD'03) (IEEE Press, 2003)

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# Structure of Talk — I

- There are three-by-two parts to this talk:
  - ⋆ A presentation and a model-oriented semantics of CTP:
    - ◇ narrative and
    - ◇ formalisation.
  - ⋆ A biased review of the original CTP paper:
    - ◇ general overview of that paper and
    - ◇ focus on its presentation of the syntax and semantics of CTP.
    - ◇ Done by reference tp a copy of a CTP publication — in your hands.
  - ⋆ A lamentation and a plea:
    - ◇ Lamentoso: our colleagues do not apply formal specicifications!
    - ◇ Let all university courses — compiler design, operating system design, design of distributed & protocol systems, design of data base management systems, application systems — be based on the use of formal specifications.

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# Structure of Talk — II

- After the narrative
  - ⋆ carried only by narration of "generalised" CTP diagram fragments
- we reformulate that "story" on CTPs,
  - ⋆ that is, we rephrase the referenced paper's, to us, rather cumbersome notation
  - ⋆ into a model-oriented formal specification in the tradition of `RAISE`, `VDM` and `Z`.
- Then
  - ⋆ lamentoso
  - ⋆ followed by wishes for a very merry Christmas and a Happy New Year!

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# Intuition

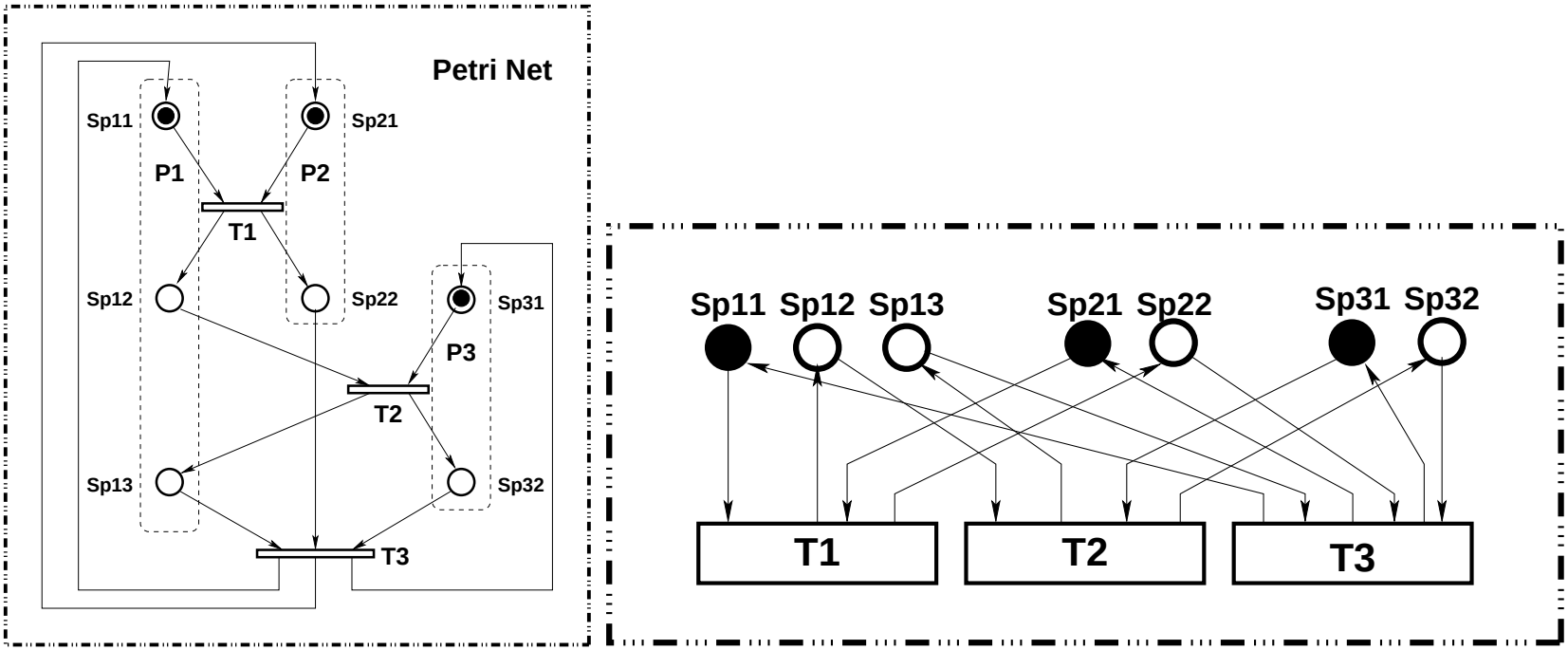


Figure 1.1: Left: a Petri net. Right: a concrete CTP diagram



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# Narration of CTPs
# CTP Diagrams

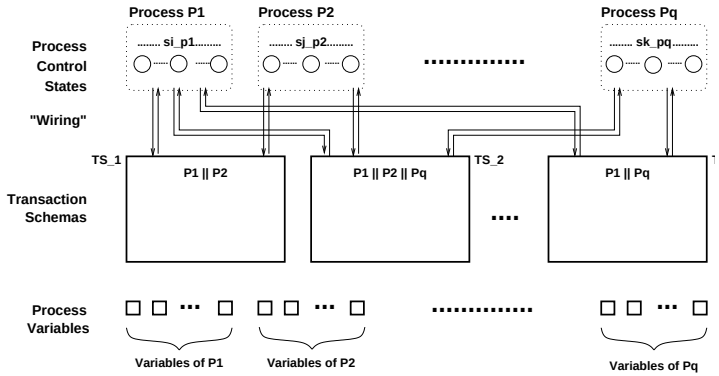


Figure 1.2: A schematic CTP diagram



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- A CTP diagram consists of
  - ⋆ **an indexed set of sets of process (control) states,**
  - ⋆ **an indexed set of transaction schemas,**
  - ⋆ **an indexed set of sets of process variables,** and
  - ⋆ **a "wiring" connecting control states via transaction schemas to control states.**
- (The wiring of Fig. 1.2 on the facing page is shown by pairs of opposite directed arrows.)

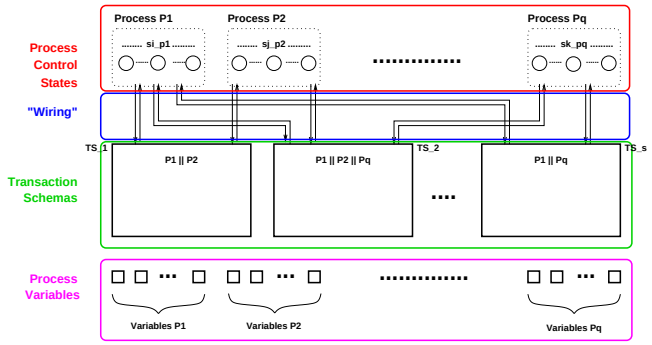


Figure 1.3: A schematic CTP diagram



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# CTP Processes

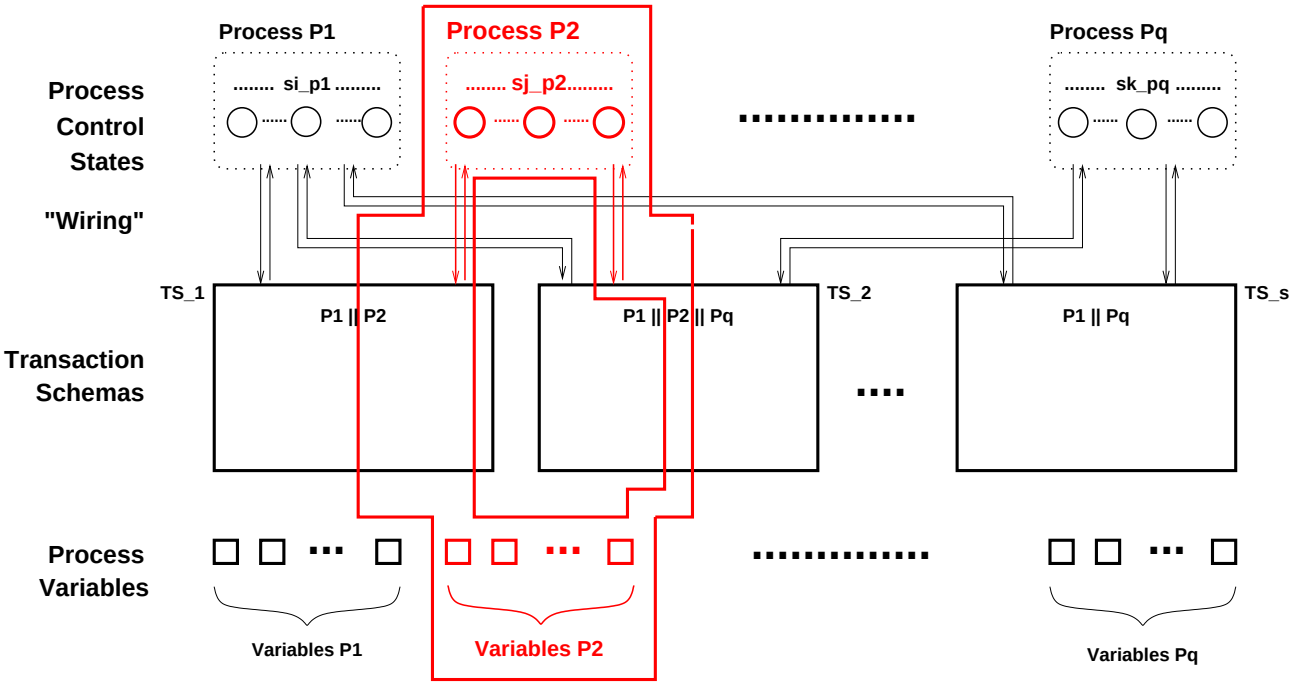


Figure 1.4: A schematic CTP diagram: **process P2** is "framed"



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- The set of all allowable, i.e., specified state to next state transitions
- can be specified as a set of triples, each triple being of the form:
  - ⋆ $(s, ts_n, s')$ for process $p_i$: $(s_{p_i}, ts_n, s'_{p_i})$
- If $ts_n$ supports processes $p_i$, $p_j$ ... $p_k$, then there will be triples:
  - ⋆ $(s_{p_i}, ts_n, s'_{p_i}), (s_{p_j}, ts_n, s'_{p_j}), \ldots, (s_{p_k}, ts_n, s'_{p_k})$

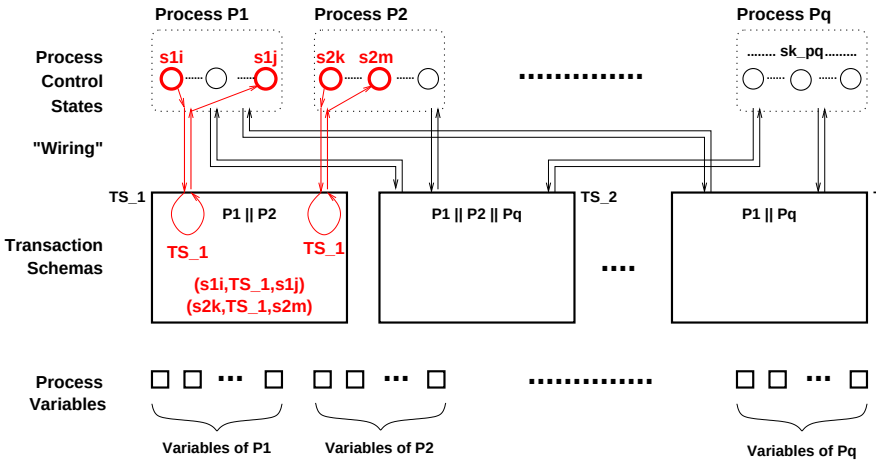


Figure 1.5: State to next state transitions shown for TS_1 only

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# CTP Transaction Schemas

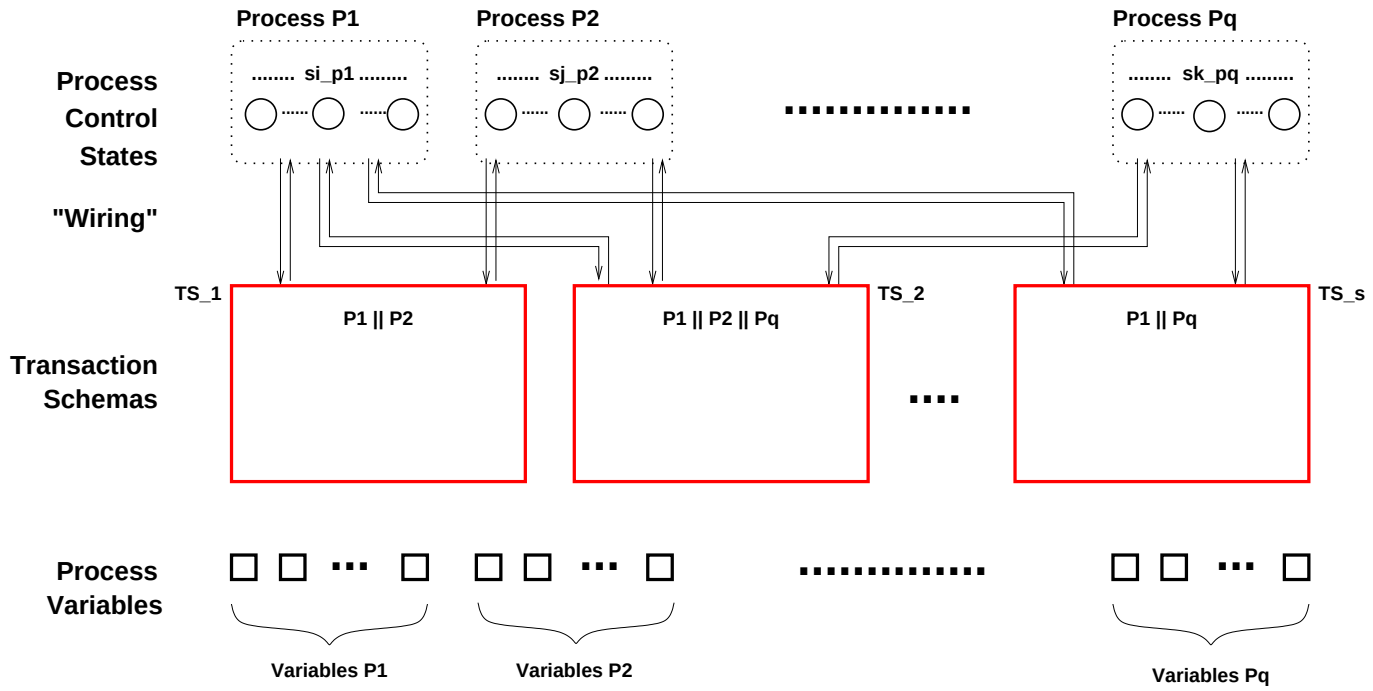


Figure 1.6: The schematic CTP **transaction schemas**



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# CTP Transaction Charts

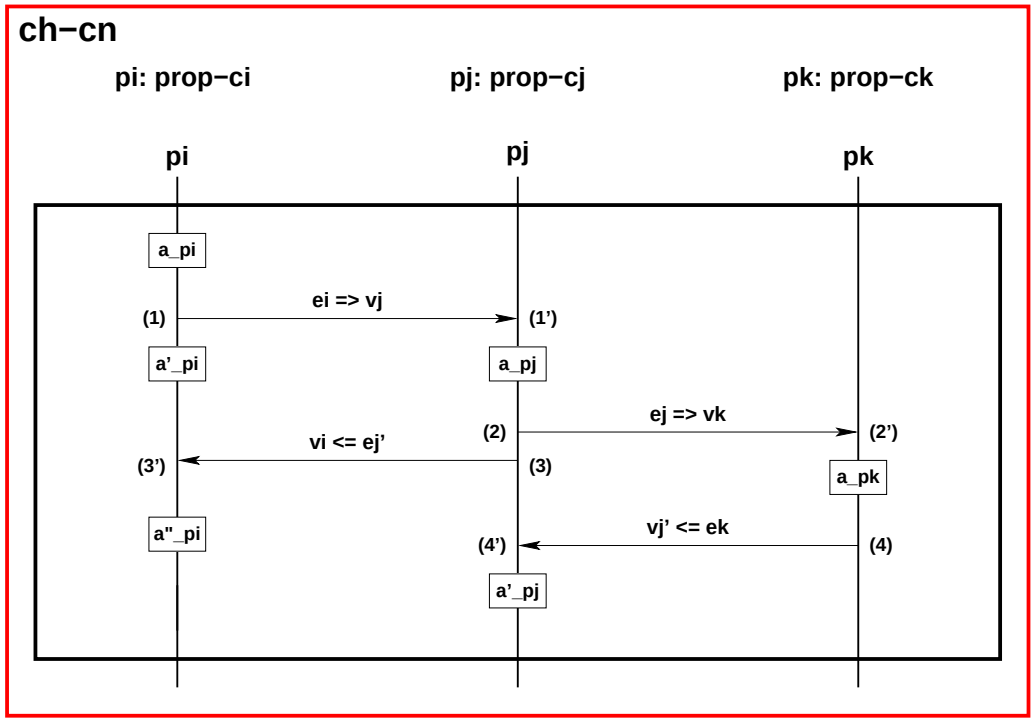


Figure 1.7: A transaction chart with simple message sequence chart

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# Enabled CTP Transaction Charts

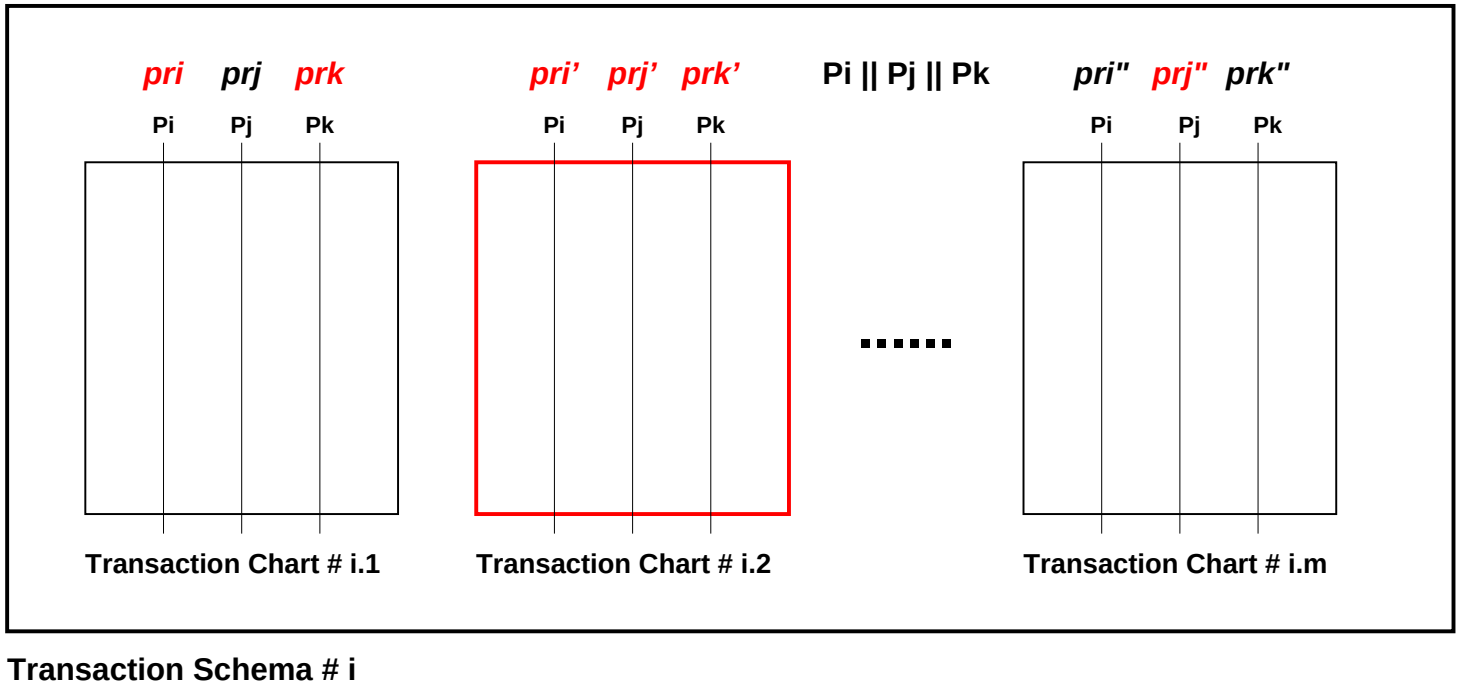


Figure 1.8: **Enabled chart** of a schema

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# Enabled Versus Invoked Schemas and Charts

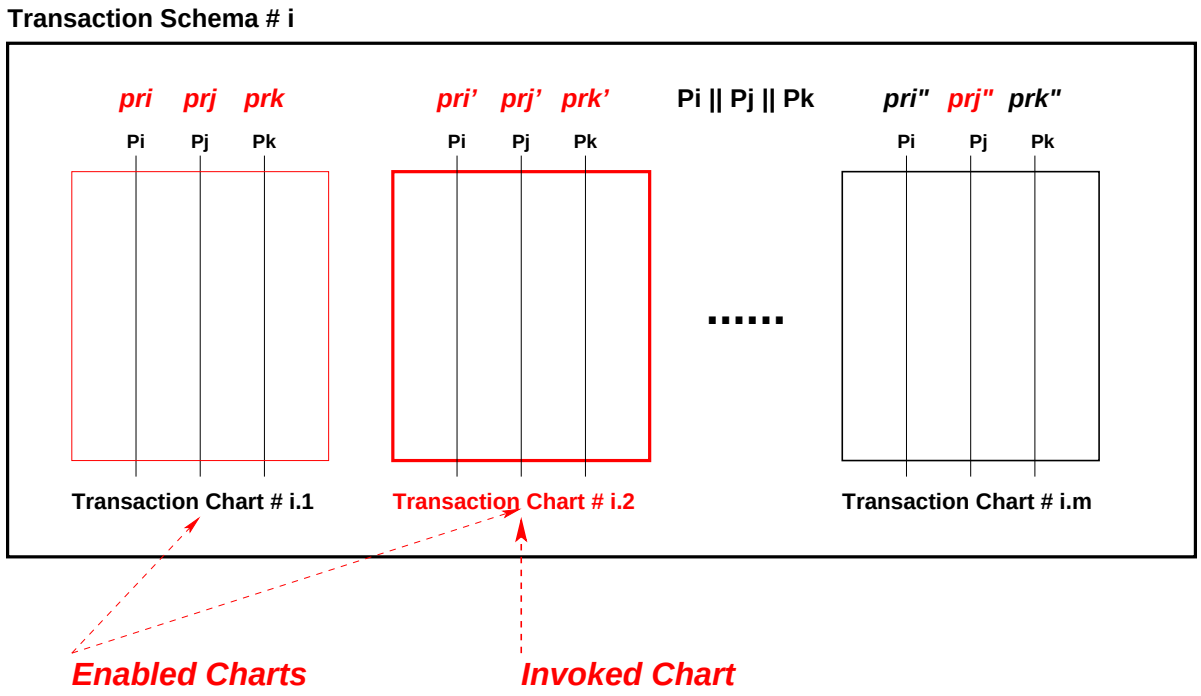


Figure 1.9: Two **enabled charts** and one **invoked chart** of a schema

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# CTP Transitions

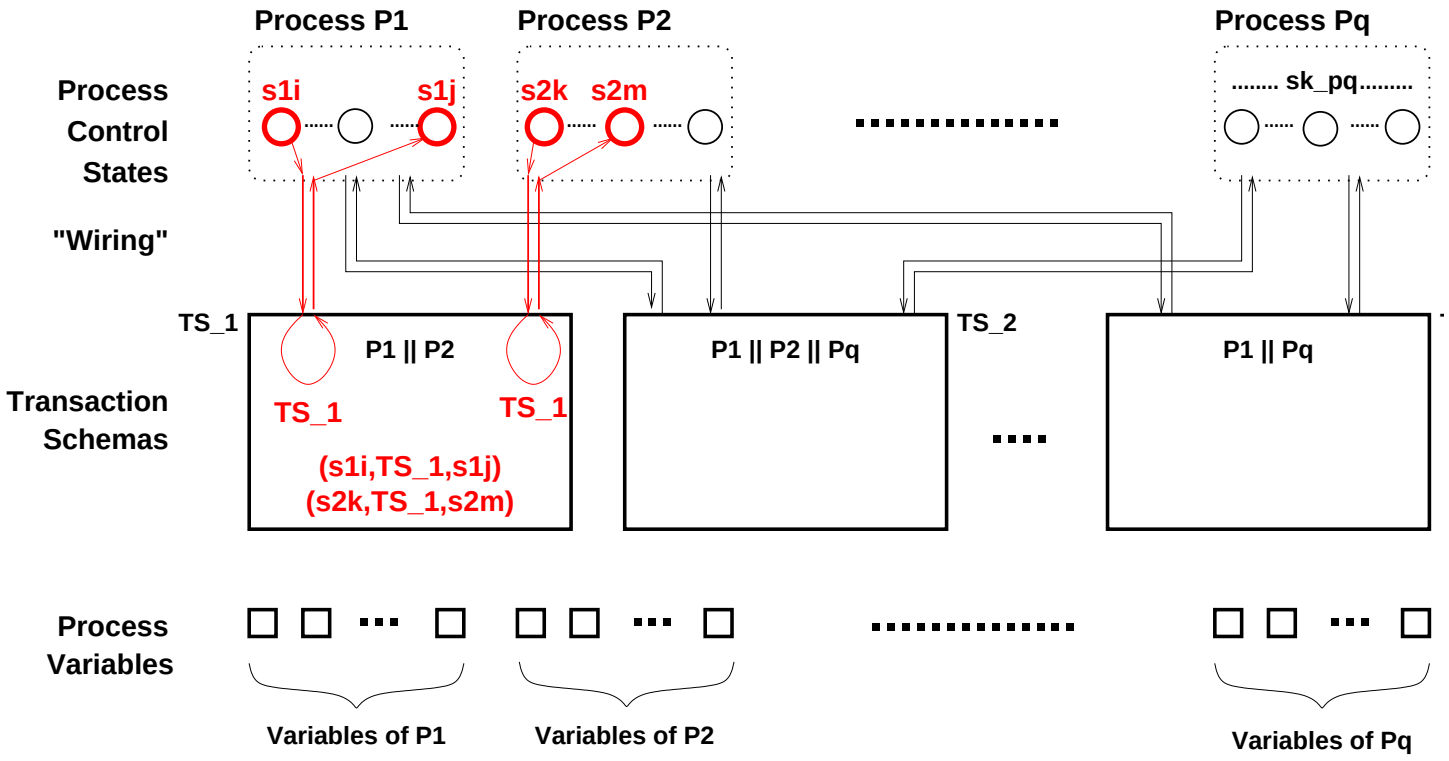


Figure 1.10: State to next state transitions shown for TS_1 only



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An invoked transaction chart will then result

- in the appropriate input states no longer being marked,
- in the execution of the simple message sequence chart, from top to bottom,
- in the updating of process variables (as the result of execution of each of the instances of the simple message sequence chart),
- and, once message sequence chart execution terminates, in the marking of one appropriate output state for each of the processes labelling that transaction chart.

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Which of the output states, for processes $p_i, p_j$ and $p_k$, that is,

- which of $s'^1_{p_i}, s'^2_{p_i}, \ldots, s'^{m_i}_{p_i}$, and
- which of $s'^1_{p_j}, s'^2_{p_j}, \ldots, s'^{m_j}_{p_j}$, and
- which of $s'^1_{p_k}, s'^2_{p_k}, \ldots, s'^{m_k}_{p_k}$

are selected is determined by which of the

- $(s^\alpha_{p_i}, ts_n, s^\beta_{p_i})$

transition rules had their

- $s^\alpha_{p_i}$

part apply in the invocation of transaction schema $ts_n$ to which this chart belongs.



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# Formalisation of CTPs
# The Syntactic and Some Semantic Types

**type**
P, T, S, Var, Typ, VAL, Chtn, Exp, AP, Act

## Annotation:

**P, T, S, Var, Typ, VAL, Chtn, Exp, AP, Act:** Process names, transaction schema names, process control states (i.e., names), variable identifiers, type designators (for example `integer, Boolean` and so on), semantic values (for example **Int, Bool** and so on), chart names, expressions (further undefined, but are usually variables, prefix expressions and infix expressions over usual integer operators and Boolean connectives), atomic propositions (i.e., Boolean valued expressions over variables) and internal actions (assignments, conditional actions, etc.). ■[1]

[1] ■ means: end of annotation.

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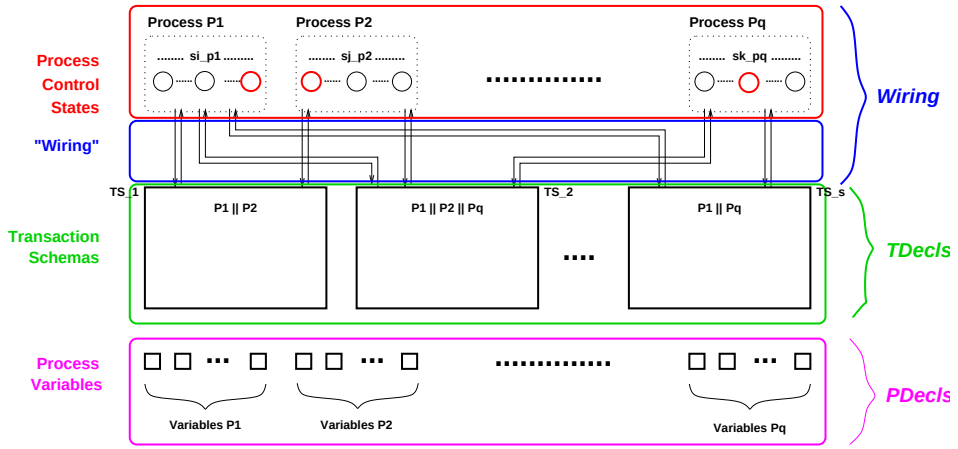


Figure 1.11: A schematic CTP diagram

**type**

$$\text{Prog}' = \text{PDecls} \times \text{TDecls} \times \text{Wiring} \times \text{Init}$$
$$\text{Prog} = \{|\ \text{prog:Prog}' \cdot \text{wf\_Prog(prog)}\ |\}$$

**Annotation:**

**Prog:** A CTP program consists of well-formed combinations of **process variable** and **transaction schema declarations** , of **wiring** and the **definition of an intialisation (of process control states and variable values)** . ■



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**type**

$$\text{PDecls} = \text{P} \xrightarrow{m} \text{VarDecl}$$
$$\text{TDecls} = \text{T} \xrightarrow{m} (\text{Chtn} \xrightarrow{m} (\text{Gd} \times \text{Cht}))$$

## Annotation:

**PDecls, VarDecl:** For each process there is a set of variables of specified type.

**TDecls:** For each transaction schema name, T, there is a set of uniquely named, Chtn, transaction charts, with each chart consisting of a guard, Gd, and the chart proper Cht. ■



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**type**

$$\text{Wiring} = \text{T} \xrightarrow{m} (\text{P} \xrightarrow{m} \text{S} \times \text{S})$$
$$\text{Init} = \text{P} \xrightarrow{m} (\text{S} \times \text{VarInit})$$
$$\text{VarDecl} = \text{Var} \xrightarrow{m} \text{Typ}$$

## Annotation:

**Wiring:** For each transaction schema and for each process (that applies to this schema) there is a pair of respectively input and output control states.

**Init, VarInit:** With each process a control state, S, is associated an initialisation, respectively the current values of all variables of this process.



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**type**

$$\text{Gd} = \text{P} \xrightarrow[m]{} \text{Prop}$$
$$\text{Prop} == \text{mkTrue} \mid \text{mkAP(ap:AP)} \mid \text{mkNot(pr:Prop)}$$
$$\mid \text{mkAnd(pr:Prop,pr':Prop)} \mid \text{mkOr(pr:Prop,pr':Prop)}$$

**Annotation:**

**Gd, Prop:** A transaction chart guard associates

- to each of the processes associated with that chart
- a proposition which is
- either the value true,
- or is an atomic proposition,
- or a negated,
- or a conjunctive
- or a disjunctive

proposition.

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**type**

$\text{Cht} = (\text{P} \xrightarrow[m]{} \text{Ev}^*) \times \text{SendRecv}$
$\text{Ev} == \text{mkSe(p:P,e:Exp)} \mid \text{mkRe(p:P,v:Var)} \mid \text{mkAct(act:Act)}$
$\text{SendRecv} = (\text{P} \times \text{Pos}) \xrightarrow[m]{} (\text{P} \times \text{Pos})$
$\text{Pos} = \mathbf{Nat}$
$\Sigma = \text{Var} \xrightarrow[m]{} \text{VAL}$
$\text{VarInit} = \Sigma$

## Annotation:

**Cht, Ev*, SendRecv:** A transaction chart maps each of its associated processes into an instance — which is an event list — and a mapping, SendRecv, that relates output and input events in respective process instances.

**Ev:** An event is either a send event, or a receive event, or an internal action.

**Pos:** A position is an index into an event list.

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# Auxiliary Syntactic and Semantic Function Signatures

**value**

typeof: Exp → VarDecl → Typ

wf_AP: AP → VarDecl → **Bool**
wf_Act: Act → VarDecl → **Bool**

## Annotation:

**typeof:** Extracts from an expression, given a set of variable declarations, the type of the value of the expression, if well–formed.

**wf_AP:** Examines whether an atomic proposition is well–formed.

**wf_Act:** Examines whether an internal action text is well–formed. ■



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**value**

wf_Exp: Exp → VarDecl → **Bool**
eval_AP: AP → Σ → **Bool**
eval_Act: Act → Σ → Σ
eval_Exp: Exp → Σ → VAL

## Annotation:

**eval_AP:** Evaluates an atomic proposition.

**int_Act:** Interprets an internal action, possibly leading to changes in the values of variables.

**eval_Exp:** Evaluates an expression.

**wf_Exp:** Examines whether an expression is well–formed. ■

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# Auxiliary Function Signatures and Definitions

**value**

participants: T → Prog′ → P**-set**
participants(t)(prog) ≡ **let** (_,_,wiring,_)= prog **in dom** wiring(t) **end**

instances : Cht → P**-set**
instances(cht) ≡ **let** (pevs,_) = cht **in dom** pevs **end**

## Annotation:

**participants:** Extracts the set of process (names) participating in a transaction schema

**instances:** Extracts the set of instances of a chart. ■

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**value**

xtr_APs: Prop → AP-**set**
xtr_APs(pr) ≡ **case** pr **of** mkTrue → {}, mkAP(ap) → {ap}, ... **end**

eval_Prop: Prop → PΣ → **Bool**
eval_Prop(pr)(pσ) ≡
**case** pr **of** mkTrue → **true**, mkAP(ap) → eval_AP(ap)(pσ), ... **end**

## Annotation:

**xtr_APs:** Extracts, from a proposition, the set of atomic propositions occuring in a proposition.

**eval_Prop:** Evaluates a proposition.

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# Well-formedness of CTP

```
value
  wf_Prog : Prog′ → Bool
  wf_Prog(prog) ≡
    let (_,_,wiring,_) = prog in
    All_Wired(prog) ∧
    All_Initialized(prog) ∧
    wf_Gds_and_Chts(prog) ∧
    wf_Wiring(prog) ∧
    wf_Init(prog)
    end
```

**Annotation:**

**wf_Prog:** Conjunction of five constraints.

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**value**
  All_Wired: Prog′ → **Bool**
  All_Wired(prog) ≡
    **let** (_,tdecls,wiring,_) = prog **in dom** tdecls = **dom** wiring **end**

  All_Initialized: Prog′ → **Bool**
  All_Initialized(prog) ≡
    **let** (pdecls,_,_,init) = prog **in dom** pdecls = **dom** init **end**

**Annotation:**

**All_Wired:** All transaction schemas are wired.

**All_Initialized:** Each process is initialized. (The initialization of a process includes not only the variables but also an initial control state.)



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```
value
  wf_Gds_and_Chts: Prog′ → Bool
  wf_Gds_and_Chts(prog) ≡
    let (pdecls,tdecls,_,_) = prog in
    ∀ t:T·t ∈ dom tdecls ⇒
      let (gd,cht) = tdecls(t)(chtn) in
      dom gd = instances(cht) = participants(t)(prog) ∧
      wf_Gd(gd)(pdecls) ∧ wf_Cht(cht)(pdecls)
    end end

  wf_Gd: Gd → PDecls → Bool
  wf_Gd(gd)(pdecls) ≡
    ∀ p:P·p ∈ dom gd ⇒ ∀ ap:AP · ap ∈ xtr_APs(gd(p))
      ⇒ wf_AP(ap)(pdecls(p))
```

**Annotation:**

**wf_Gds_and_Chts:** The guards and charts are well-formed.

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**value**
wf_Cht: Cht → PDecls → **Bool**
/∗ see later ∗/

wf_Wiring: Prog′ → **Bool**
wf_Wiring(prog) ≡
**let** (pdecls,_,wiring,_) = prog **in**
∀ t:T·t ∈ **dom** wiring ⇒
participants(t)(prog)⊆**dom** pdecls ∧
∀ p:P·p ∈ **dom** wiring(t) ⇒ **let** (s,s′)=wiring(t)(p) **in** s ≠ s′ **end**
**end**

## Annotation:

**wf_Wiring:** The wiring is well-formed.



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```
value
wf_Init: Prog′ → Bool
wf_Init(prog) ≡
  let (pdecls,_,_,init) = prog in
  ∀ p:P·p ∈ dom init ⇒
    let (s,varinit) = init(p) in
    (∃ t:T,s′:S · (s,s′)=wiring(t)(p)) ∧ wf_VarInit(varinit)(vardecl(p))
  end end
```

## Annotation:

**wf_Init:** The initialisation is well-formed (the initialisation includes both initial control states and initial values of variables). ■



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**value**

wf_VarInit: VarInit → VarDecl → **Bool**
wf_VarInit(varinit)(vardecl) ≡
(**dom** vardecl = **dom** varinit) ∧
(∀ var:Var·var ∈ **dom** vardecl ⇒ typeof(varinit(var))=vardecl(var))

**Annotation:**

**wf_VarInit:** All variables are initialised to values of the declared type.

■



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# Well-formedness of Charts

**value**

wf_Cht: Cht → PDecls → **Bool**

wf_Cht(cht)(pdecls) ≡ wf_Evs(cht)(pdecls) ∧ wf_SendRecv(cht)

**Annotation:**

**wf_Cht:** All events are well-formed and so are all send-receive pairs.■

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```
value
  wf_Evs: Cht → PDecls → Bool
  wf_Evs(cht)(pdecls) ≡
    let (pevs,_) = cht in
    ∀ p:P,ev:Ev•
      p ∈ dom pevs ∧ ev ∈ elems pevs(p) ⇒
        case ev of
          mkSe(q,exp)→q ∈ dom pevs\{p}∧wf_Exp(exp)(pdecls(p)),
          mkRe(q,var)→q ∈ dom pevs\{p}∧wf_Var(var)(pdecls(p)),
          mkAct(act)→wf_Act(act)(pdecls(p))
        end
    end
```

## Annotation:

**wf_Evs:** All events are well-formed (with respect to source,target processes, expressions, etc.)

- Sends and receives are between different instances, that is, processes.
- Corresponding expressions and variables are well-formed.
- Internal actions are well-formed.



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**value**
  wf_Var: Var → VarDecl → **Bool**
  wf_Var(var)(vardecl) ≡ var ∈ **dom** vardecl

  wf_SendRecv: Cht → **Bool**
  wf_SendRecv(cht) ≡
    Well_Matched(cht) ∧ All_Matched(cht) ∧ ∼is_cyclic(cht)

**Annotation:**

**wf_SendRecv:** The send-receive matching relation is well-formed. ■

**value**
  is_cyclic: Cht → **Bool**
  is_cyclic(cht) ≡ ... /∗ trivial ∗/

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```
value
  Well_Matched: Cht → Bool
  Well_Matched(cht) ≡
    let (pevs,sendrecv) = cht in
    card dom sendrecv = card rng sendrecv ∧
    ∀ (p,i),(q,j):P × Pos·sendrecv((p,i)) = (q,j) ⇒
      ∃ exp:Exp,var:Var·
        pevs(p)(i)=(q,exp) ∧
        pevs(q)(j)=(p,var) ∧
        typeof(exp)=typeof(var)
    end
```

**Annotation:**

**Well_Matched:** The matching is proper.

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**value**
  All_Matched: Cht → **Bool**
  All_Matched(cht) ≡
    **let** (pevs,sendrecv) = cht **in**
    **dom** sendrecv = {(p,i)|(p,i):P×Pos · is_Send_Ev(pevs(p)(i))}
    **end**

**Annotation:**

**All_Matched:** All send/receive events are matched.



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**value**
  is_Send_Ev: Ev → **Bool**
  is_Send_Ev(ev) ≡ **case** ev **of** mkSe(_,_) → **true**, _ → **false end**

## Annotation:

**is_Send_Ev:** The event must be a send event. ■



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# Dynamic Semantics, Types

## Semantic Types

**type**

$$\mathrm{P}\Psi = \mathrm{P} \xrightarrow[m]{} \Psi$$

$$\Psi = \Pi \times \Sigma \times \Theta$$

## Annotation:

**P**$\Psi$ : The current "stage" of a CTP program is given by associating each process, a "stage", $\Psi$.

$\Psi$**:** The process state consists of a triple: the current program point, $\Pi$, the current values of all its variables, $\Sigma$, and the (evaluated) values of expressions of executed output (send) events, $\Theta$.

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**type**

$\Pi$ == mkS(s:S) | mkT(t:T,chtn:Chtn,i:Pos)

$\Theta$ = Pos $\overrightarrow{m}$ VAL

Pos = **Nat**

## Annotation:

$\Pi$ : The program pointer (of a process) either designates a process control state mkS(s:S) or a position i:Pos within a transaction chart chtn:Chtn of a transaction schema t:T;

**i=0** i=0 indicates that the process has just entered the chart.

$\Theta$**:** The input/output queue is related to the position, Pos, of the input/output event and holds a value VAL.

**Pos:** position of the input/output event.

■



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**type**

$$\mathrm{P}\Delta = \mathrm{P} \xrightarrow{m} \Delta$$

## Annotation:

**P**$\Delta$ : For each (invoked) process **P** we record their stepwise progress $\Delta$ of that process.



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**type**

$$\Delta = \mathrm{T} \times \mathrm{Chtn} \times \Phi$$
$$\Phi == \mathrm{mkEnter} \mid \mathrm{mkEv(i{:}Pos)} \mid \mathrm{mkExit}$$

## Annotation:

$\Delta$ : The stepwise progress within a transaction chart, Chtn, of a transaction schema, T, is recorded by a quantity $\Phi$.

$\Phi$ : Either the process , at an instance, is at the point of entering, mkEnter, or leaving, mkExit, or is at some event position, mkEv(i:Pos).

**i=0** indicates that the chart has just been entered.



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## Well-formedness

```
value
  wf_PΔ: PΔ → Prog → Bool
  wf_PΔ(pδ)(prog) ≡
    let (pdecls,_,_,_) = prog in
    dom pδ ⊆ dom pdecls ∧
    ∀ p:P•p ∈ dom δ ⇒ wf_Δ(p)(pδ)(prog)
    end
```

**Annotation:**

**wf_PΔ :**

- The invoked processes must first have been declared.
- And for each such process its progress must be well-formed. ■

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**value**
  wf_Δ: P → PΔ → Prog → **Bool**
  wf_Δ(p)(pδ)(prog) ≡
    **let** (pdecls,tdecls,_,_) = prog, (t,chtn,φ) = pδ(p) **in**
    t ∈ **dom** tdecls ∧ chtn ∈ **dom** tdecls(t) ∧ p ∈ participants(t)(prog) ∧
    **case** φ **of**
      mkEv(i:Pos)
        → **let** (pevs,_) = tdecls(t)(chtn) **in** i ∈ **inds** pevs(p) **end**
      _ → ∀ q:P•q ∈ participants(t)(prog) ⇒ pδ(q) = pδ(p)
    **end end**

## Annotation:

**wf_Δ** : For the invoked process

- the designated transaction schema and transaction chart (of that schema) must be declared, and the designated process (name) must be an instance of that chart.
- In addition the program point (ppt) must be well-formed:
  - ⋆ if an event index it must be into the process instance, otherwise
  - ⋆ all processes of that transaction chart must be in the same (either entry or exit) state. ■



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# Dynamic Semantics, Functions

## Auxiliarly Functions

```
value
  xtr_preS: Prog → T → P → S
  xtr_preS(prog)(t)(p) ≡
    let (_,_,wiring,_) = prog in
    let (s,_) = wiring(t)(p) in s end end
    pre t ∈ dom wiring ∧ p ∈ dom wiring(t)
```

**Annotation:**

**xtr_preS** : Extract from a transaction schema, the precondition (a control state) corresponding to a process.

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**value**

xtr_postS: Prog → T → P → S
xtr_postS(prog)(t)(p) ≡
  **let** (_,_,wiring,_) = prog **in**
  **let** (_,s) = wiring(t)(p) **in** s **end end**
  **pre** t ∈ **dom** wiring ∧ p ∈ **dom** wiring(t)

**Annotation:**

**xtr_postS** : Given a

- program, a transaction schema (name) and a process (name)
- yield the output control state (from the wiring).



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**value**

xtr_Ev: Prog → (T × Chtn × P × Pos) → Ev
xtr_Ev(prog)(t,chtn,p,i) ≡
**let** (_,tdecls,_,_) = prog **in let** (_,(pevs,_)) = tdecls(t)(chtn) **in**
pevs(p)(i) **end end**
**pre** t ∈ **dom** tdecls ∧ chtn ∈ **dom** tdecls(t) ∧
p ∈ **dom** pevs ∧ i ∈ **inds** pevs(p)

## Annotation:

**xtr_Ev** : Given

- a program,
- a transaction schema name (within that program),
- the name of a chart (within that schema),
- a process (name) and
- a position (within the designated chart),

yield the designated event.



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**value**

xtr_Prop: Prog → (T × Chtn) → P → Prop
xtr_Prop(prog)(t,chtn)(p) ≡
  **let** (_,tdecls,_,_) = prog **in**
  **let** (gd,_) = tdecls(t)(chtn) **in** gd(p) **end end**
  **pre** t ∈ **dom** tdecls ∧ chtn ∈ **dom** tdecls(t)

**Annotation:**

**xtr_Prop** :

- Given
  - ⋆ a program,
  - ⋆ a transaction schema name (within that program),
  - ⋆ the name of a chart (within that schema), and
  - ⋆ a process (name)
- yield the designated proposition.

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**value**

last_Pos: Prog → (T × Chtn) → P → Pos
last_Pos(prog)(t,chtn)(p) ≡
  **let** (_,tdecls,_,_) = prog **in**
  **let** (_,(pevs,_)) = tdecls(t)(chtn) **in len** pevs(p) **end end**
  **pre** t ∈ **dom** tdecls ∧ chtn ∈ **dom** tdecls(t)

**Annotation:**

**last_Pos** :

- Given
  - ⋆ a program,
  - ⋆ a transaction schema (name, within that program),
  - ⋆ a chart (name, withing that schema), and
  - ⋆ a process (name)
- yield the position of the last event of the designated process instance. ■



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```
value
  xtr_Send: Prog → (T × Chtn) → (P × Pos) → (P × Pos)
  xtr_Send(prog)(t,chtn)(p,i) as (q,j)
    pre
      let (_,tdecls,_,_) = prog in
      t ∈ dom tdecls ∧  chtn ∈ dom tdecls(t) ∧
      let (_,(pevs,_)) = tdecls(t)(chtn) in i ∈ inds pevs(p) end end
    post
      let (_,tdecls,_,_) = prog in
      let (_,(_,sendrecv)) = tdecls(t)(chtn) in
      sendrecv((q,j)) = (p,i) end end
```

## Annotation:

**xtr_Send** : Extract the matching send event, given a receiving event.

- The transaction schema and chart names must be declared and the event position be appropriate.
- The matching send event (q,j) is then found from the send-receive mapping.■



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# Initialization

**value**
  init_PΨ: Prog → PΨ
  init_PΨ(prog) ≡
    **let** (_,_,_,init) = prog **in**
    [ p↦convert_Ψ(init(p))|p:P•p ∈ **dom** init ] **end**

  convert_Ψ: (S × VarInit) → Ψ
  convert_Ψ(s,varinit) ≡ (mkS(s),varinit,[ ])

## Annotation:

**init_PΨ** : To initialise a program is to create the collection of all process initial states.

**convert_Ψ** : Mark the initial control state, use the initial control vaiable values and set the initial queues of values of expression of send events to empty. ■



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# Enabling

```
value
  is_enabled: PΔ → (Prog × PΨ) → Bool
  is_enabled(pδ)(prog,pψ) ≡
   ∀ p:P•p ∈ dom pδ ⇒ let (t,chtn,φ) = pδ(p) in
     case φ of
         mkEnter → is_enabled_Enter_Chtn(t,chtn)(prog,pψ),
         mkExit → is_enabled_Exit_Chtn(t,chtn)(prog,pψ),
         mkEv(i) → is_enabled_Ev(t,chtn,p,i)(prog,pψ)
     end end
   pre wf_PΔ(pδ)(prog)
```

**Annotation:**

**is_enabled** : A program step, pδ, is enabled at the current stage of the program, if every process step corresponding to processes in the domain of this program step is enabled:

- either all are enabled for entering or all are enabled for leaving the chart,
- or all are enabled for an event in that state.



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**value**

is_enabled_Enter_Chtn: (T × Chtn) → (Prog × PΨ) → **Bool**
is_enabled_Enter_Chtn(t,chtn)(prog,pψ) ≡
  ∀ p:P•p ∈ participants(t)(prog) ⇒
   **let** s = xtr_preS(prog)(t)(p),
       pr = xtr_Prop(prog)(t,chtn)(p),
       (π,σ,_) = pψ(p) **in**
   (π=mkS(s)) ∧ eval_Prop(pr)(σ) **end**

## Annotation:

**is_enabled_Enter_Chtn** : A chart of a transaction schema can be entered if for every process participating in this transaction schema, its current control state is the precondition of this transaction schema, and the proposition associated with this process in the guard associated with this chart evaluates to true with respect to the current values of variables. ■

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**value**

is_enabled_Exit_Chtn: (T × Chtn) → (Prog × PΨ) → **Bool**
is_enabled_Exit_Chtn(t,chtn)(prog,pψ) ≡
  ∀ p:P•p ∈ participants(t)(prog) ⇒
    **let** (mkT(t,chtn,i),σ,_)=pψ(p) **in** i=last_Pos(prog)(t,chtn)(p) **end**

## Annotation:

**is_enabled_Exit_Chtn** : A chart of a transaction schema can be exited if for every process participating in this transaction schema, it has executed all its events in this chart.



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```
value
  is_enabled_Ev: (T × Chtn × P × Pos) → (Prog × PΨ) → Bool
  is_enabled_Ev(t,chtn,p,i)(prog,pψ) ≡
    let (mkT(t,chtn,i−1),_,_) = pψ(p) in
      case xtr_Ev(prog)(t,chtn,p,i) of
        mkRe(q,_) →
          let (q,j) = xtr_Send(prog)(t,chtn)(p,i) in
          let (mkT(t,chtn,j′),_,_) = pψ(q) in j ≤ j′ end end
        _ → true
      end end
```

## Annotation:

**is_enabled_Ev** : An event at a position of a process in a chart of a transaction schema is enabled, if this process has come to the previous position, and in case this event is a receive event, the matching send event has been executed. ■

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# Firing

**value**
  fire: (Prog × PΨ) → PΔ → (Prog × PΨ)
  fire(prog,pψ)(pδ) **as** (prog,pψ′)
    **pre** enabled(pδ)(prog,pψ)
    **post** pψ′=pψ†[ p↦upd_Ψ(prog,pψ)(pδ)(p)|p ∈ **dom** pδ ]

## Annotation:

**fire** : Firing an enabled program step updates the current stage of every process. ■



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```
value
  upd_Ψ: (Prog × PΨ) → PΔ → P → Ψ
  upd_Ψ(prog,pψ)(pδ)(p) ≡
    let (π,σ,θ) = pψ(p), (t,chtn,φ) = pδ(p) in
     case φ of
       mkEnter → (mkT(t,chtn,0),σ,[ ])
       mkEv(i) →
         let σ′ = upd_Σ(prog,θ)(p)(t,chtn,i),
             θ′ = upd_Θ(prog,θ)(p)(t,chtn,i) in
         (mkT(t,chtn,i),σ′,θ′) end
       mkExit → let s = xtr_postS(prog)(t)(p) in (mkS(s),σ,[ ]) end
    end end
  pre ...
```

## Annotation:

**upd_Ψ** : Upon firing an enabled program step, the current stage of a process should be updated as follows.

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- If this process enters a chart of a transaction schema, then this process goes to position zero of this chart (in this transaction schema), retains the current values of variables and initializes an empty map of positions to values of expressions of send events.
- If this process executes an event at a position of a chart of a transaction schema, then this process goes to this position and updates the current values of variables and the map of positions to values of expressions of send events.
- If this process exits a chart of a transaction schema, then this process goes to the postcondition associated with this process of this transaction schema, retains the current values of variables and empties the map of positions to values of expressions of send events. ■

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**value**

upd_Σ: (Prog × PΨ) → P → (T × Chtn × Pos) → Σ
upd_Σ(prog,pψ)(p)(t,chtn,i) ≡
**let** (_,σ,_) = pψ(p), ev = xtr_Ev(prog)(t,chtn,p,i) **in**
**case** ev **of**
mkSe(q,exp) → σ
mkRe(q,var) → **let** (_,_,θ) = pψ(q), (q,j) = xtr_Send(prog)(t,chtn)(p,i) **in** σ † [ var ↦ θ(j) ] **end**
**end end**
**pre** ...

## Annotation:

**upd_Σ** : Upon execution of an event, the current value of variables should be updated as follows.

- Executing a send event does not change the values of any variable.
- Executing a receive event amounts to assigning the value of the expression of the matching send event to the variable associated with this receive event, and leaving the values of all other variables untouched.
- Executing an internal action amounts to evaluating it with respect to the current values of variables, possibly leading to changes in the values of variables. ■



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**value**

upd_Θ: (Prog × PΨ) → P → (T × Chtn × Pos) → Θ
upd_Θ(prog,ψ)(p)(t,chtn,i) ≡
  **let** (_,σ,θ) = pψ(p) **in**
  **case** ev **of** mkSe(q,exp) → θ ∪ [ i ↦ eval_Exp(exp)(σ) ],
                _ → θ **end end**
  **pre** ...

## Annotation:

**upd_Θ** : Upon execution of an event, the map of positions to values of expression of send events is updated as follows. Executing a send event amounts to adding to this map the value of the expression of this send event associated with its position. Executing a receive event or an internal action does not touch this map. ■



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# The Original CTP Paper

## The CTP Paper

- DB has handed out the 10 page conference version of the cited CTP paper.
  - ⋆ DB goes through this paper by asking attendees to "thumb" through the paper.
  - ⋆ DB points out (mathematical) texts
  - ⋆ while commenting on these texts.
- DB recounts the story on attempts to analyse the cited paper.

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# Questions about CTP

1. What is the need of the restriction for "free choice"? Condition (1), just before Definition 1.
2. In the definition of CTP, variables are not specified explicitly.
   - Should the initial state of a CTP consists of initial control states and values of variables (instead of truths of atomic propositions)?
   - In the examples in the paper, atomic propositions are also used as Boolean variable of processes. It might be good to make a distinction.
   - Correspondingly, in the Petri net semantics, do we have to deal with variables explicitly?

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3. Do processes have to jointly enter a transaction schema?

- The Petri net semantics suggests it is not the case.
- In other words,
  - ⋆ if t1,t2 are two transaction schemas such that p,q participate in t1, and q,r participate in t2,
  - ⋆ then it is possible to p to enter t1 (without waiting for q) and q to enter t2 (without knowing p has entered t1) simultaneously.
- But this differs from the informal semantics of the high level condition event Petri net.

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4. In a transaction schema, do the processes jointly choose a chart and then execute that chart?
   - Or could they make up their mind on which chart to execute as they go along in the transcation schema?
   - The former seems to be suggested by informal description of semantics and the latter by the Petri net semantics.



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5. Do processses have to synchronize (i.e. wait for each other) when exiting from a transaction schema?
6. In a transaction schema, events in different charts with isomorphic history are collapsed into one single class.
   - Is this essential or just for efficiency?
   - i.e. can't we just translate each single chart into a Petri net?
7. Suppose in some transaction schema, there are events in different charts with a large isomorphic history.
   - Does it mean that this transaction schema is not well-specified,
   - i.e. should it be decomposed into smaller transaction schemas?
8. Does the current implementation of CTP follow "closely" the Petri net semantics?

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# Inconsistencies of the CTP Journal Paper

1. The implementations of the CTP language consist of several tools that translate CTP programs to SystemC, Verilog, HandelC codes.
   - It is not known whether these translators follow "closely" the Petri net semantics of CTP.

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2. The free-choice control flow restriction, that is, condition (1) (preceding definition 1) of page 6, does not achieve what its claimed purpose as in line 2 of page 6.
   (a) The claimed purpose of condition (1) is that at every local state of a process p, the choices as to which transaction schema that p will take part in is decided locally by p.
   (b) The definition of condition (1) however only says that at every local state of a process p, no matter which transaction schema p chooses to take part in, p will land at the same next local state.
   (c) It is not known whether this restriction is demanded in the various CTP implementations.

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3. In the Petri net semantics, during the execution of a CTP program, one keeps track of only the truths of some atomic propositions about the values of variables, instead of the exact values of variables.
   (a) However, one need the exact values of variables for execution of the send, receive and internal actions in a message sequence chart.
   (b) In fact, in the CTP to SystemC translator tool, exact values of variables are used.

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4. Corresponding to that exact values of variables should be kept track of instead of atomic propositions, the definition of the "blow-up" Petri net need to handle variables explicitly.
   - Actually it would be simpler to define the "blow-up" Petri net just as a colored Petri net instead of an elementary net system (as also pointed out in the CTP journal paper).

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# Discussion
# What's the Problem?

- The way I see it, the problem is
  - ⋆ that it is possible to formulate,
    - ◇ what I claim to be far more precise,
    - ◇ in fact formally precise definitions of, in this case CTP
    - ◇ than in the conventional "classico-mathematical" style.
  - ⋆ But that it is not done!

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# Two Disciplines of Computers

- Computer science and computing science are two closely related disciplines.
- **Computer science**
  - ⋆ is the study and knowledge of what may exist inside computers: data and processes,
  - ⋆ and usually computer science papers are couched in "classico-mathematics".
- **Computing science**
  - ⋆ is the study and knowledge of how to construct the artifacts that exist inside computers: data and processes,
  - ⋆ and usually computing science papers are couched in some refinement calculus, in B, VDM-SL, RSL, Z or other.

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# Hindrances to Progress / pagation

- Our CS department have staff of both kinds— and that is good.
- But usually the computer scientists are refinement calculi, B, VDM-SL, RSL and Z illiterates — and that is bad.
- The computing scientists are usually well-trained in the topics and notations of computer science.
- How can students of computing science lecturers take formal methods serious when our computer scientist colleagues do not?

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# Conclusion

- B or VDM-SL or RSL or Z, integrated with Petri Nets and/or Message or Live Sequence Charts and/or Statecharts and/or TLA+ or Duration Calculus
- can be used to formalise domain, requirements and design of
  - ⋆ programming languages and their compilers,
  - ⋆ operating systems,
  - ⋆ database management systems and databases,
  - ⋆ distributed systems,
  - ⋆ etc.
- In consequence we ought to teach these topics based on formal models.
- The Danish CHILL and Ada compilers were so developed (early 80s).
- Wolfgang Paul, Saarbrücken, is now doing it — verification included.
- We should all do it!

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# A Very Merry Christmas

# And A Happy New Year