
02917: Advanced Topics in Embedded Systems

Martin Fränzle

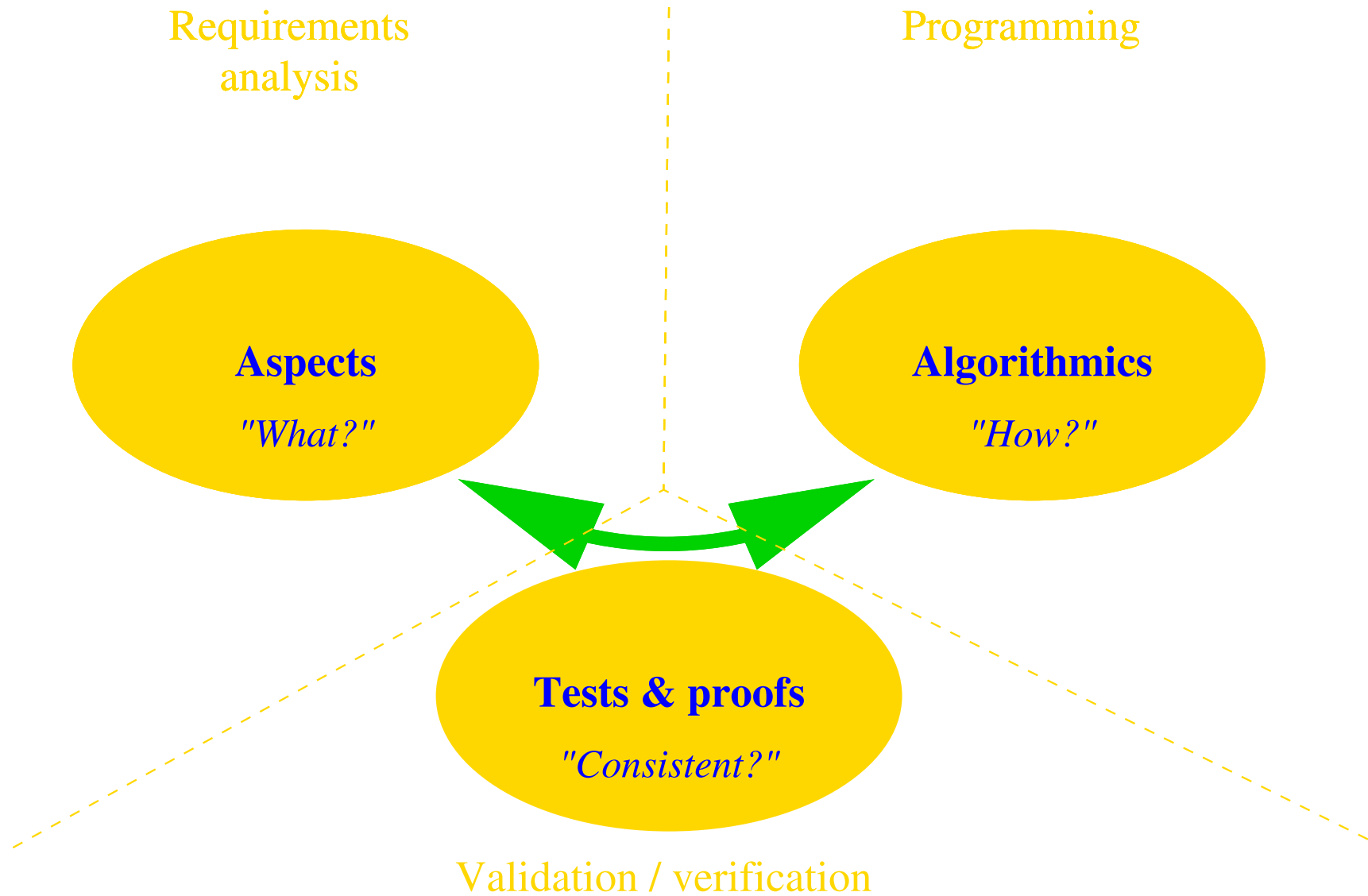
Carl von Ossietzky Universität

Dpt. of Computing Science

Res. Group Hybrid Systems

Oldenburg, Germany

Multiple viewpoints

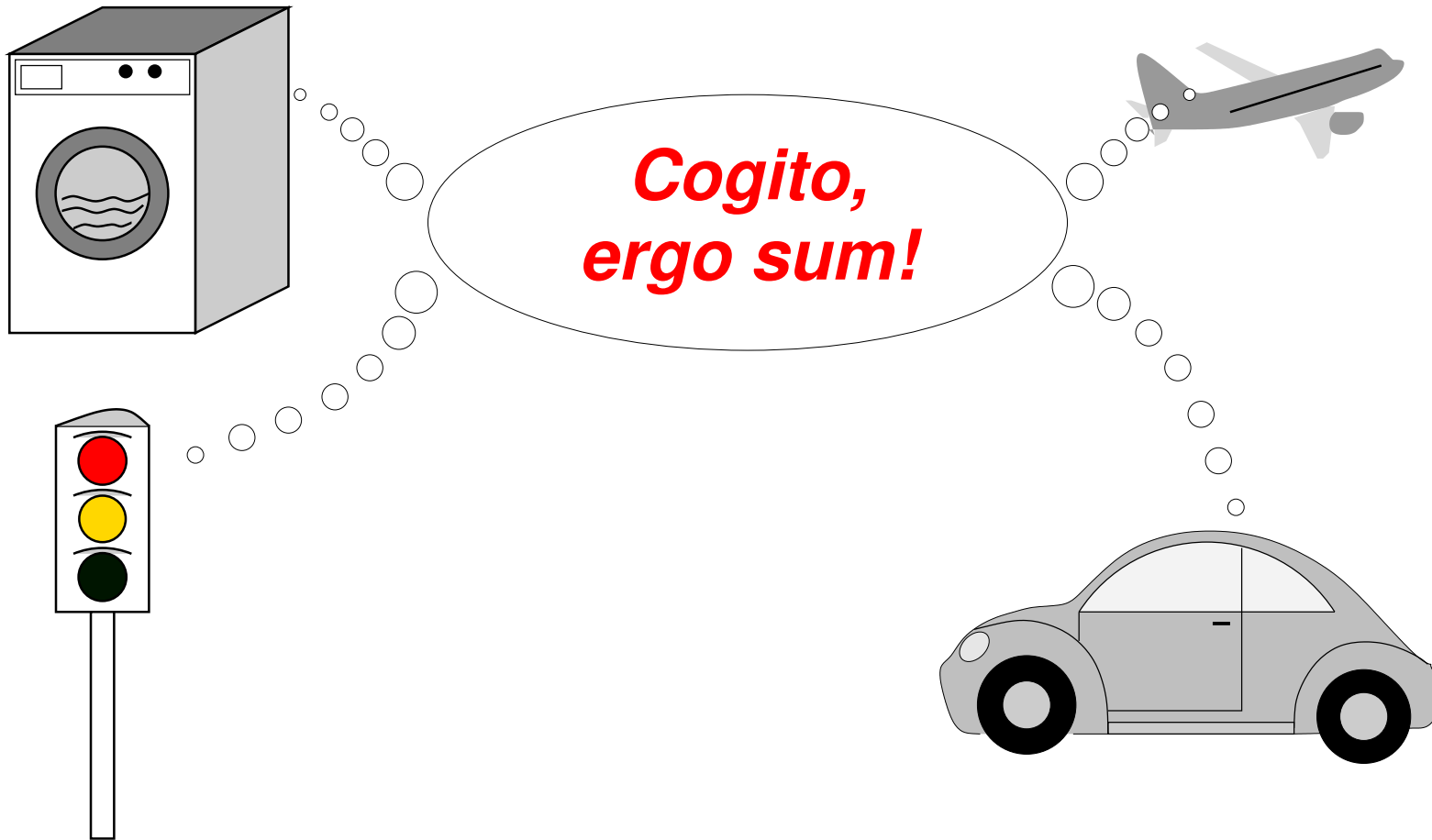


Formal Methods

- Formal methods are **mathematically-based techniques** for the specification, development and verification of software and hardware systems. [R.W. Butler, 2001]
- Motivated by the expectation that appropriate **mathematical analyses** can contribute to the reliability and robustness of a design. [M. Holloway, 1997]
- Alternative to less exhaustive analyses:

(Cartoon)

Embedded computer systems



Estimates for number of embedded systems in current use exceed 10^{10} .

[Rammig 2000, Motorola 2001]

Application domains

- **Consumer & household products:**
CD players, TV sets, handheld games, electronic pets, cameras, alarm clocks, remote controls, dishwashers, microwave ovens, ...
- **Office, telecommunications, etc.:**
Printers, network controllers, mobile phones, keyboards, CRTs and flatscreens, ...
- **Environmental control:**
 λ control, programmable heating systems, exhaust control, ...
- **Traffic systems and traffic management:**
Cars (body, powertrain, suspension, brakes), signalling devices, balises, interlocks, autopilots, traffic information, ...
- **Medicine:**
Measurement devices (thermometers, RR's, X-ray, sonographic imaging, EEG, ECG ...), treatment devices (perfusors, respirators, microwave radiation treatment, ...)
- **Supplies:**
Power plants, distribution networks, ...

The roles, they are a changing...

Phase 1: Added value through add-on functionality:

- automatic climate control,
- adaptive power steering,
- keyless entry,
- navigation system.

Phase 2: Integration into/hooks onto vital safety components:

- anti-locking brake,
- electronic stability control,
- electric power steering,
- electronically variable transmission ratio of steering column.

Phase 3: Replacement of vital safety components:

- steer-by-wire,
- brake-by-wire,
- driver-less go (automatic parking, autonomous lane change, . . .).

A little mishap...



Source of problem:

- Car geometry:
 - center of gravity
 - wheelbase
- Reduced component-count axles

Solution:

- Patched with embedded control ("ESP")

How to validate the patch?

Continuity?



Around 1999, the car industry fought for a unification of European and American crash test scenarios because

“it is hardly possible to adjust the firing delay of the airbag to both the ECE and the NCAP frontal crash.”

ECE test: $56 \frac{\text{km}}{\text{h}}$,

NCAP test: $64 \frac{\text{km}}{\text{h}}$.

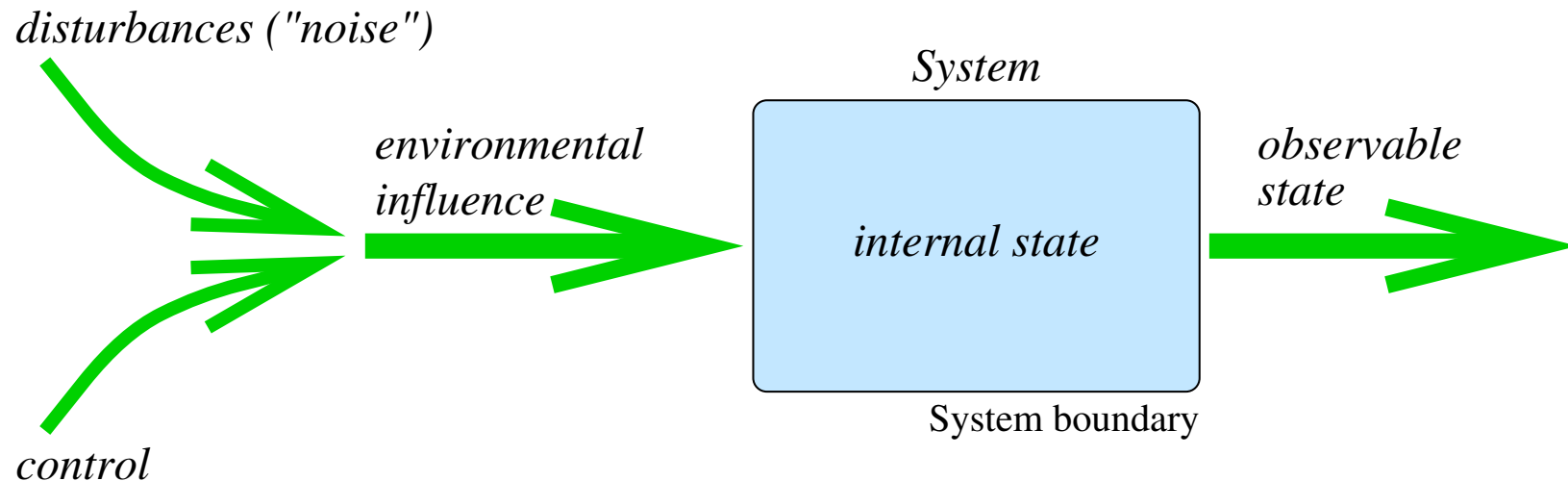
A Suggestion: Formal Methods

The term refers to a broad set of notations and tools for

1. Mathematically rigorous documentation of requirements
 - less ambiguous than prose
 - amenable to formal analysis (check for consistency, check for adherence to well-formedness criteria,...)
2. Mathematically rigorous models of designs
 - rigorous semantics, removes ambiguity of design documentation in prose, albeit only wrt. the viewpoint focused at
 - early availability of abstract, yet concise model of the system under design
 - amenable to formal analysis (check for absence of design flaws, like deadlock, wrong interfaces,...; check for side-conditions of design steps,...)
3. Check of consistency between such
 - correctness of a design relative to requirements
 - replacability of a design by another one

State-Based Models

Open (contin. time & state) dynamical system



- Time is continuous: $\mathbb{R}_{\geq 0}$,
- **internal state** is a bunch of real-valued (or complex-valued) functions of time: $\vec{x}(\cdot) : Time \rightarrow \mathbb{R}^n$,
- **observable state** is a time-invariant function (usually projection) thereof,
- **environment influence** is a bunch of real-valued (or complex-valued) functions of time: $\vec{u}(\cdot) : Time \rightarrow \mathbb{R}^m$.

Some state-based models

- **Finite automata**
 - discrete state space, finitely many states
 - evolution through discrete transitions
- **Differential equations**
 - continuous state space
 - continuous flows
- **Hybrid systems**
 - continuous and discrete state components
 - both jumps (= discrete transitions) and continuous flows

State-based models:

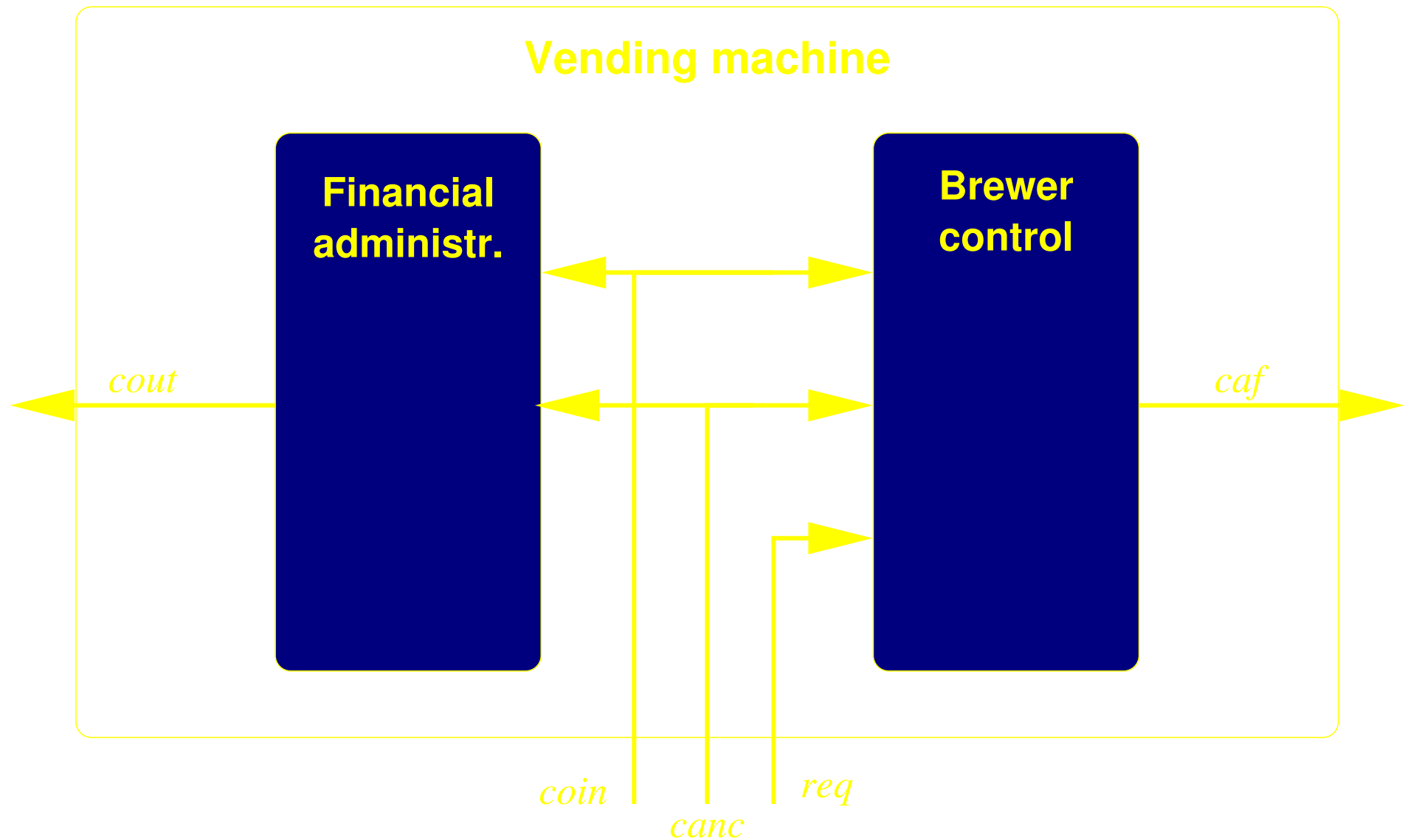
Finite Automata

Finite-state models

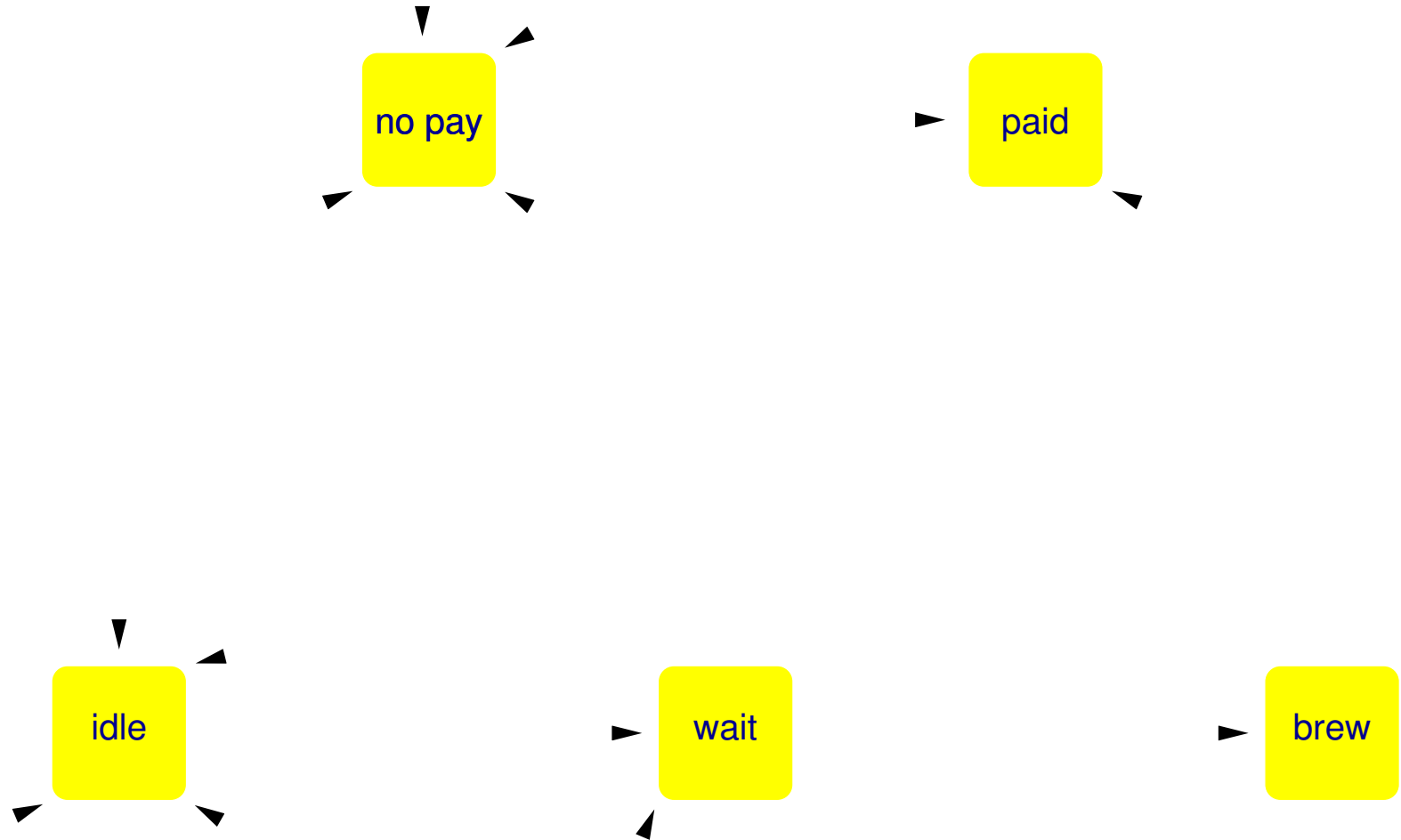
arise naturally in

- descriptions of **hardware components**,
 - because these are computational devices with finite (though sometimes large...) memory,
- descriptions of **communication protocols**,
 - because these engage into an alternation of finitely many different phases,
- descriptions of **embedded computer systems**,
 - because these are computers with finite memory.

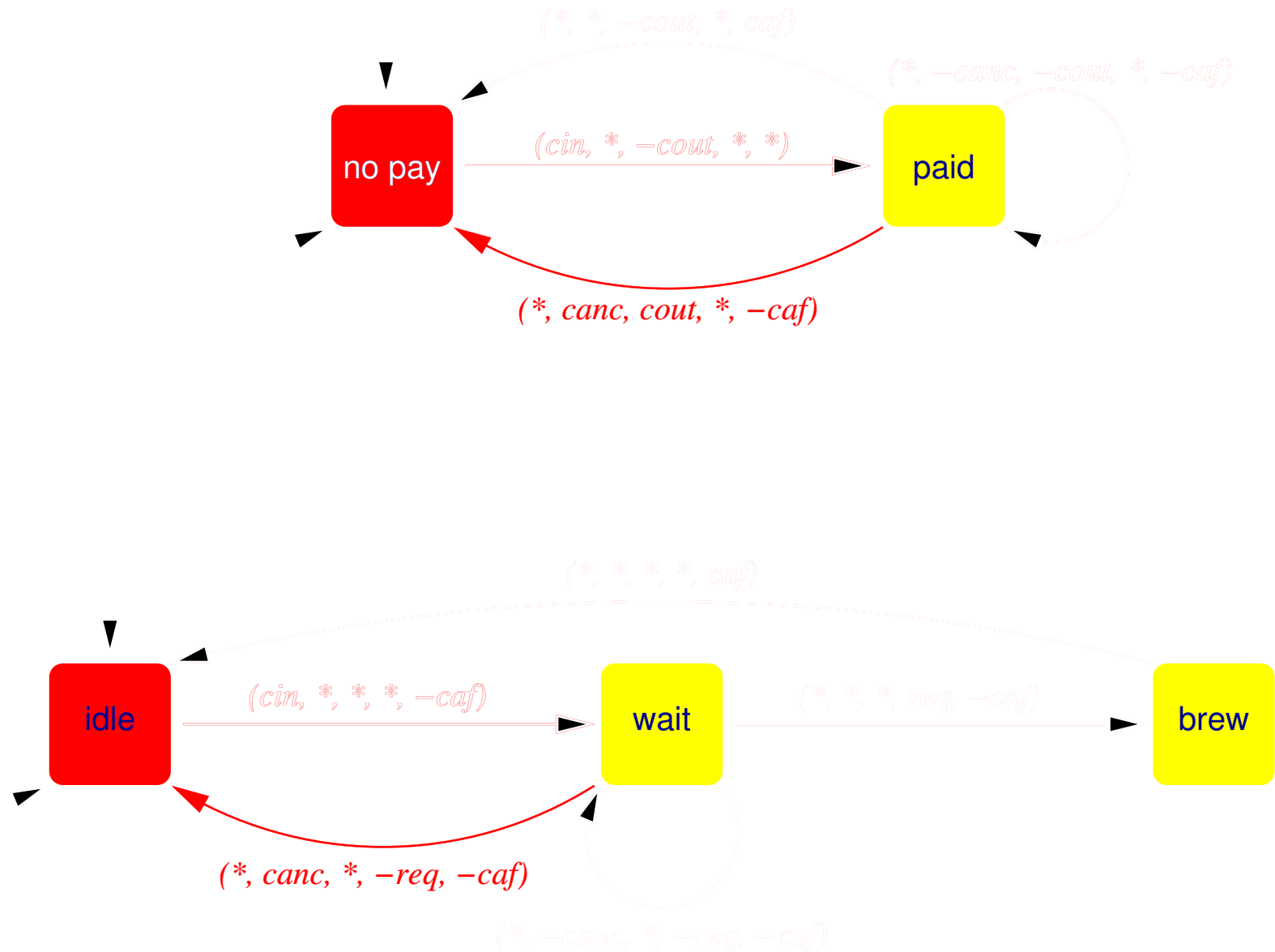
The coffee vending machine — architecture



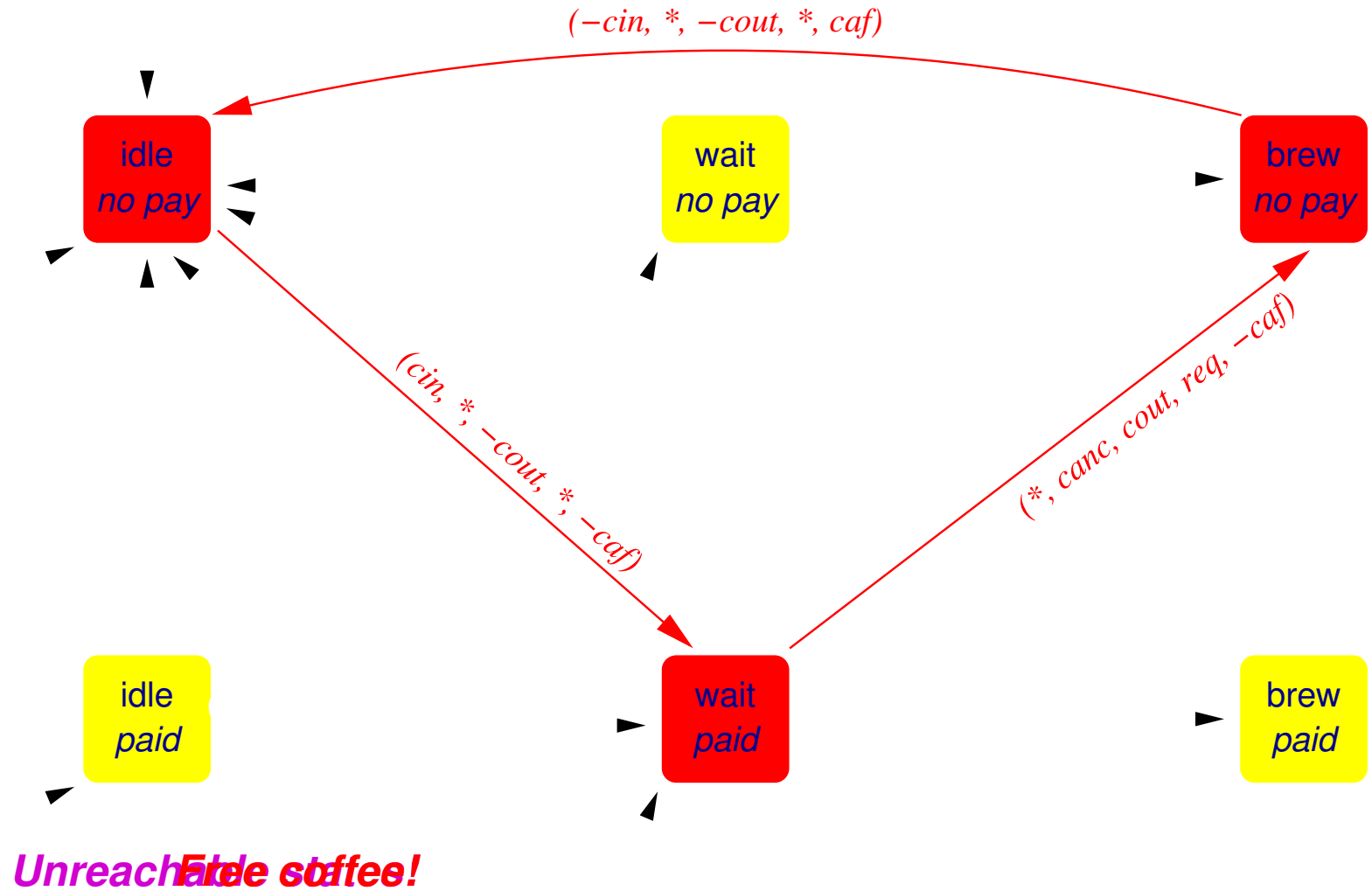
The coffee vending machine — dynamics



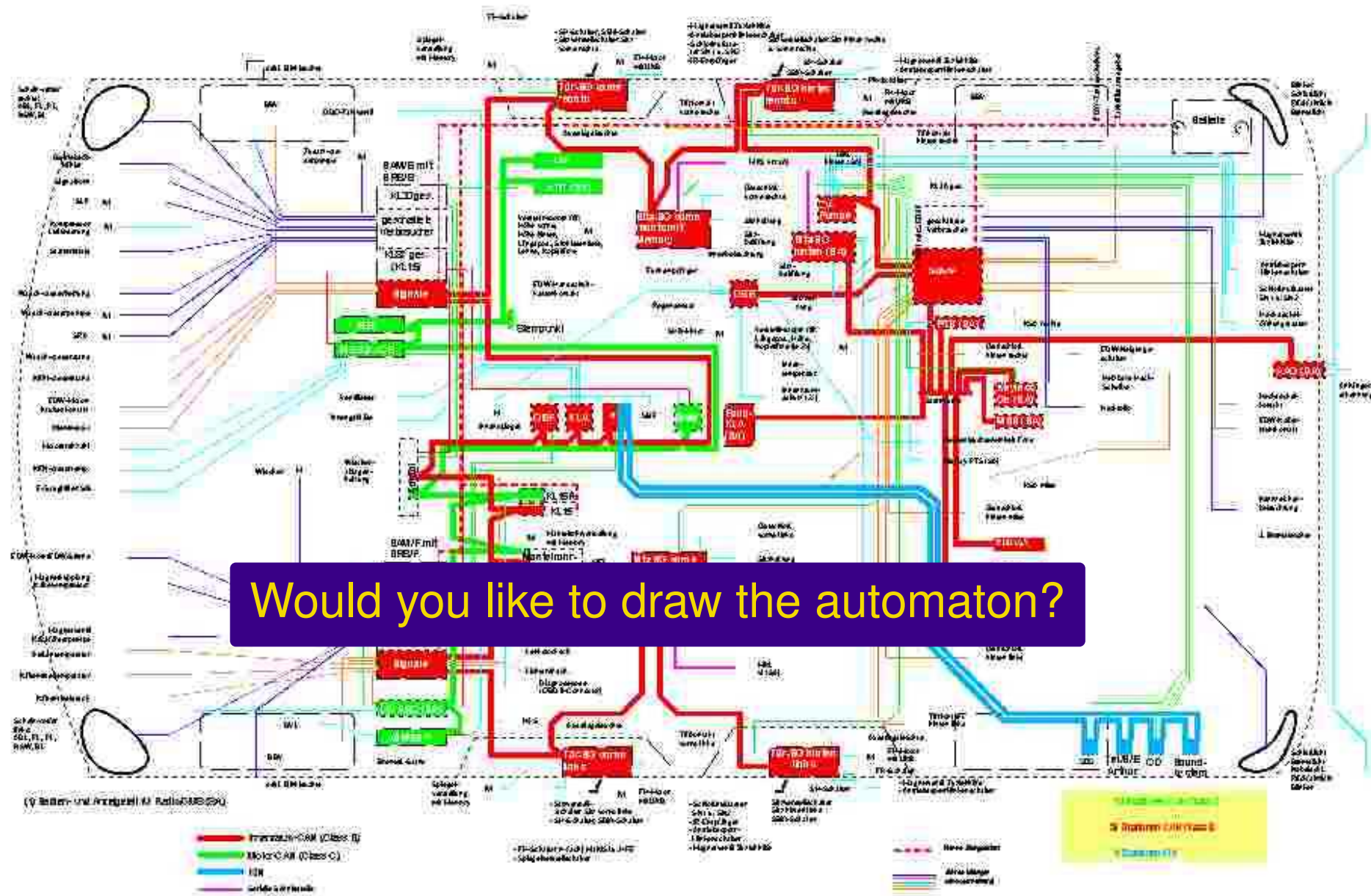
An example run



The coffee vending machine



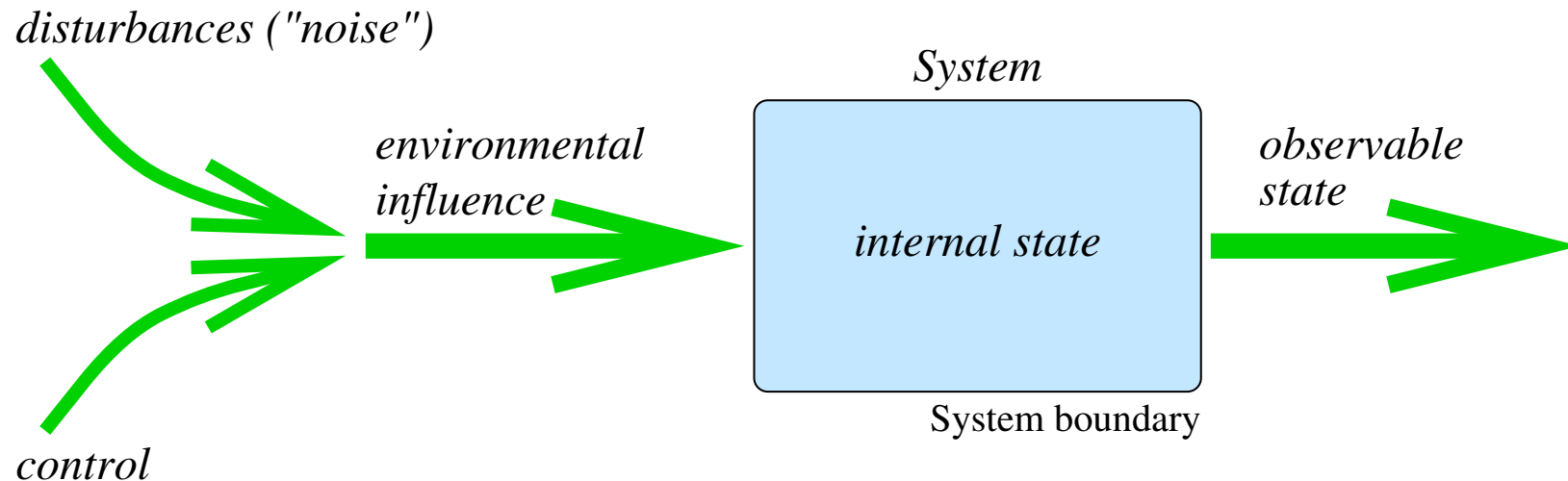
Embedded systems in-the-large



State-based models:

Differential equations

Open (contin. time & state) dynamical system



- Time is continuous: $\mathbb{R}_{\geq 0}$,
- **internal state** is a bunch of real-valued (or complex-valued) functions of time: $\vec{x}(\cdot) : Time \rightarrow \mathbb{R}^n$,
- **observable state** is a time-invariant function (usually projection) thereof,
- **environment influence** is a bunch of real-valued (or complex-valued) functions of time: $\vec{u}(\cdot) : Time \rightarrow \mathbb{R}^m$.

Continuous modeling with DEs

1. Add further, derived state components: the *derivatives*

$\dot{\vec{x}}(\cdot), \ddot{\vec{x}}(\cdot), \dots$ of the state components.

2. Formulate dynamics as equations between $\dot{\vec{x}}(\cdot), \ddot{\vec{x}}(\cdot), \vec{u}(\cdot), \dots$

N.B. Higher-order derivatives $x^{(n)}$, $n > 1$, can always be removed by

1. adding a fresh state variable $y(\cdot)$,

2. adding the equation $y(t) = x^{(n)}(t)$,

3. replacing every occurrence of $x^{(n+1)}$ by \dot{y} .

Differential equation w/o input / disturbance

The **DE** describes dynamics of the system by

- providing a **state space** \mathbb{R}^n ,
- providing a (piecewise) continuous **vector field** $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$ constraining the possible evolutions through the equation

$$\frac{dx}{dt} = f(x)$$

The **initial value** $x_0 \in \mathbb{R}^n$ defines the start state of the dynamic evolution.

A solution in the sense of Carathéodory is **a time-dependent signal**

$x : [0, a) \rightarrow \mathbb{R}^n$ such that

- x is **piecewise differentiable**,
- $\forall t \in [0, a) \bullet x(t) = x_0 + \int_0^t f(x(s)) ds$.

Then $\frac{dx}{dt}(t) = f(x(t))$ for *almost* all $t \in [0, a)$.

Differential equation with input

The DE describes dynamics of the system by

- providing a **state space** \mathbb{R}^n ,
- providing an **input space** \mathbb{R}^m ,
- providing a (piecewise) contin. **vector field** $f : \mathbb{R}^{n+m} \rightarrow \mathbb{R}^n$ constraining the possible evolutions through the equation

$$\frac{dx}{dt} = f(x, u)$$

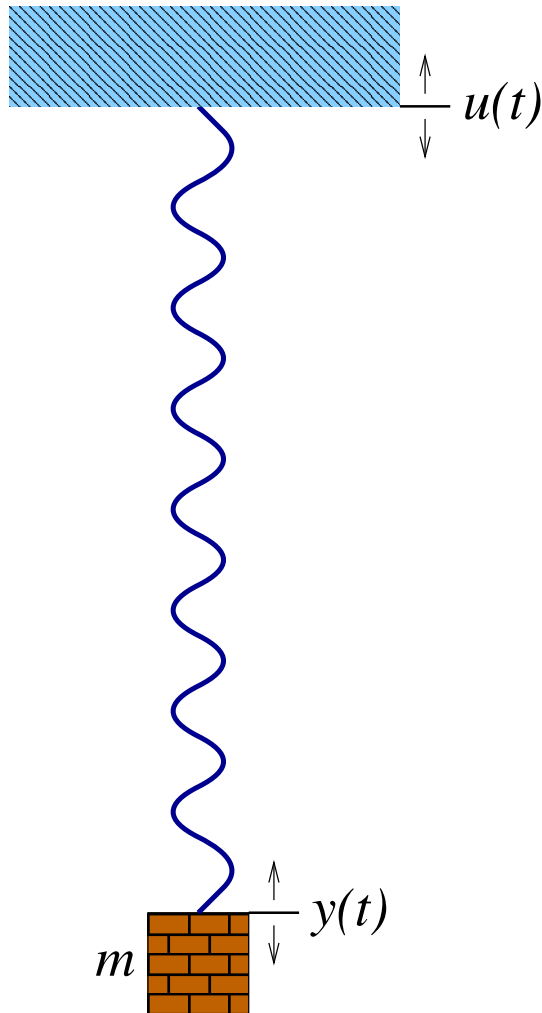
The initial value $x_0 \in \mathbb{R}^n$ defines the start state of the dynamic evolution.

A solution wrt. a (piecewise) continuous input $u : [0, a) \rightarrow \mathbb{R}^m$ is a time-dependent signal $x : [0, a) \rightarrow \mathbb{R}^n$ such that

- x is **piecewise differentiable**,
- $\forall t \in [0, a) \bullet x(t) = x_0 + \int_0^t f(x(s), u(s)) ds.$

Then $\frac{dx}{dt}(t) = f(x(t), u(t))$ for almost all $t \in [0, a)$.

Example: spring-mass system w. disturbance



- **Basic model:**

$$\ddot{y}(t) = \frac{F(t)}{m}$$

$$F(t) = k(l(t) - l_0)$$

$$l(t) = u(t) - y(t)$$

- **Replace higher-order derivatives:**

Add $v(t) = \dot{y}(t)$.

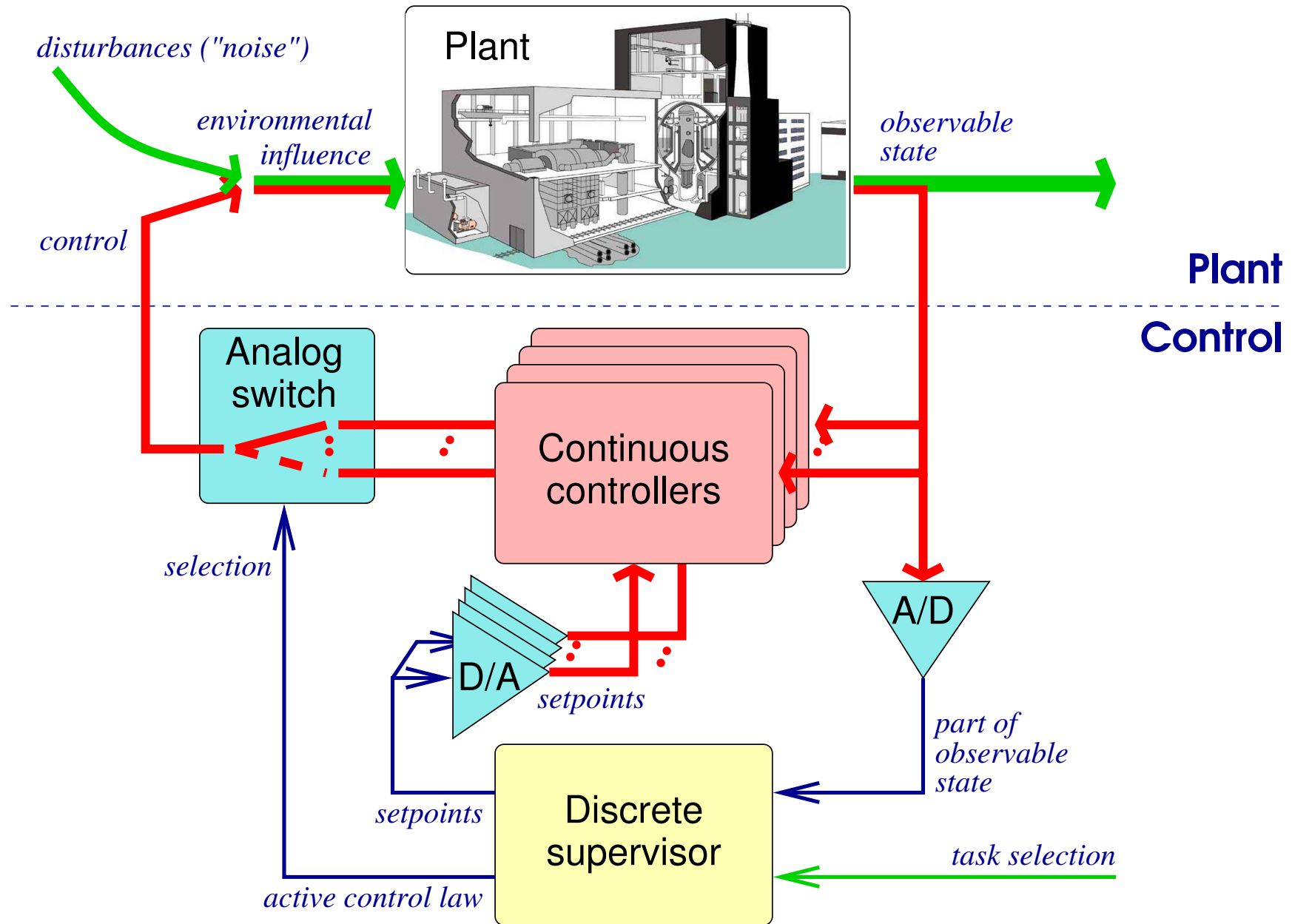
Gives $\dot{y}(t) = v(t)$

$$\dot{v}(t) = \frac{k}{m}(u(t) - y(t) - l_0)$$

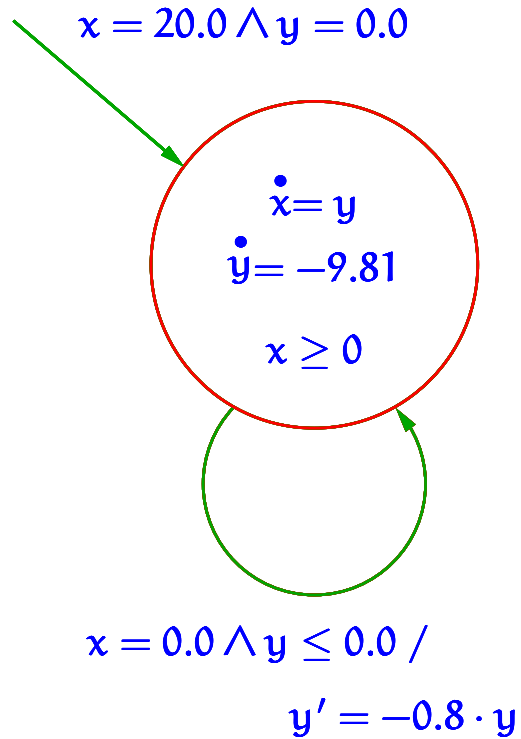
State-based modeling:

Hybrid systems

Hybrid Systems



Hybrid Automata

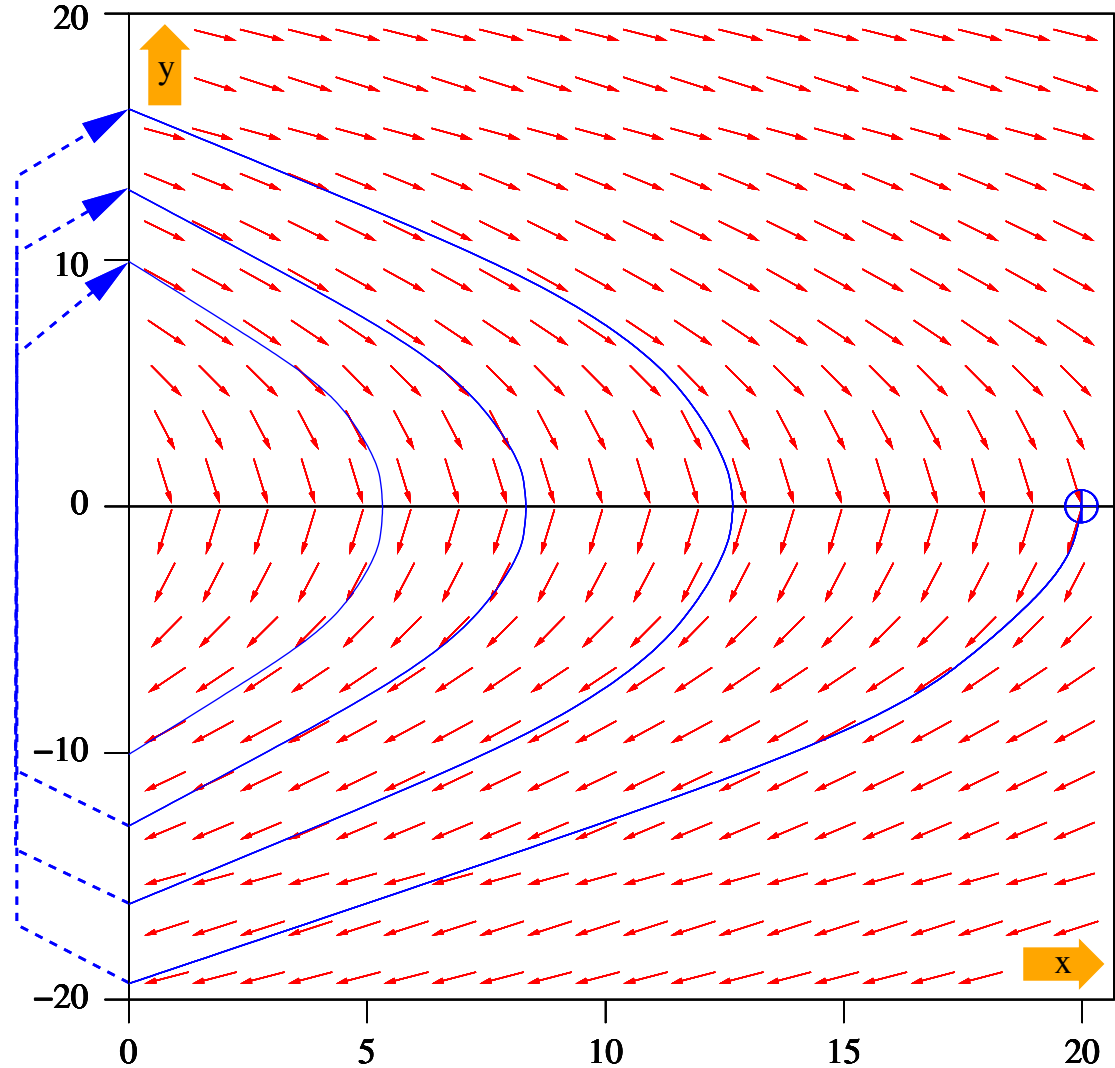


x : vertical position of the ball

y : velocity

$y > 0$ ball is moving up

$y < 0$ ball is moving down



State and Dimension Explosion



Number of **continuous variables linear in number of cars**

- Positions, speeds, accelerations,
- torque, slip, ...

Number of **discrete states exponential in number of cars**

- Operational modes, control modes,
- state of communication subsystem, ...

Symmetry reduction often impossible

- Latency in ctrl. loop depends on number of cars due to communication subsystem.
- Hidden channels due to coupled dynamics.

- Need a scalable approach
- Trying to achieve this through strictly symbolic methods.

Semantic Modeling of ES

“Embedded Systems are Predicates”
(©E. Hehner)

Symbolic transition system

Given a predicate language L , a **symbolic transition system STS** over L comprises

- a set V of **variable names** belonging to L , plus a **sort-preserving renaming operation** \cdot' assigning to each variable in V a “copy” $v' \notin V$,
- an **initialization predicate** $I \in L$ with $\text{free}(I) \subseteq V$,
- a **(symbolic or predicative) transition relation** $T \in L$ with $\text{free}(T) \subseteq V \cup V'$.

A **run of symb. trans. sys. STS** is a (finite or infinite) **sequence** $r = \langle \sigma_1, \sigma_2, \sigma_3, \dots \rangle$ of L -interpretations such that

Initiation: $\sigma_1 \models I$ and

Consecution: $\sigma_{i,i+1} \models T$ for each $i < \text{len}(r)$, where

$$\sigma_{i,i+1}(x) = \begin{cases} \sigma_i(x) & \text{iff } x \notin V' \\ \sigma_{i+1}(x) & \text{iff } x \in V' \end{cases}$$

Parallel composition

Assume $STS_1 = (V_1, I_1, T_1)$ and $STS_2 = (V_2, I_2, T_2)$

- control (i.e., constrain v') a subset $Out_i \subset V_i$ of variables,
- leave the remaining variables unconstrained.

Synchronous execution: If $Out_1 \cap Out_2 = \emptyset$ then

$$STS_{\parallel} = (V_1 \cup V_2, I_1 \wedge I_2, T_1 \wedge T_2)$$

yields step-synchronous parallel execution.

Asynchronous execution:

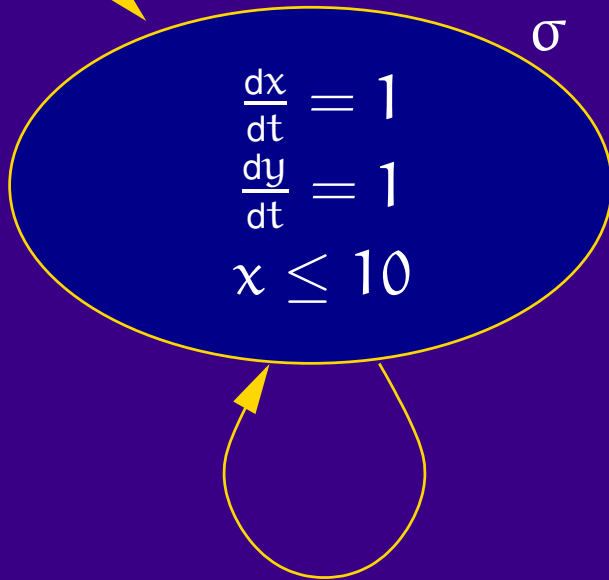
$$STS_{\parallel} = (V_1 \cup V_2, I_1 \wedge I_2, (T_1 \wedge \underbrace{\bigwedge_{v \in Out_2 \setminus Out_1} v' = v}_{\text{framing}}) \vee (T_2 \wedge \underbrace{\bigwedge_{v \in Out_1 \setminus Out_2} v' = v}_{\text{framing}}))$$

yields (non-fair) interleaving.

Symbolic Representation: Principle

A:

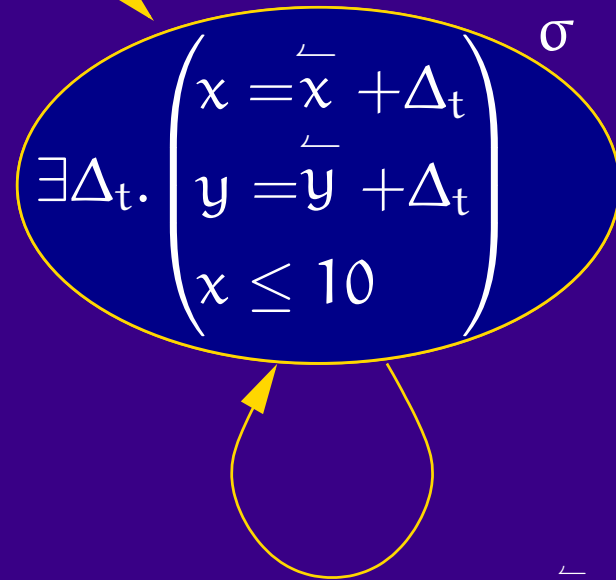
$$x := 0, y := 0$$



$$x = 10 \rightarrow x := 0, y := \frac{y}{2} - 1$$

A:

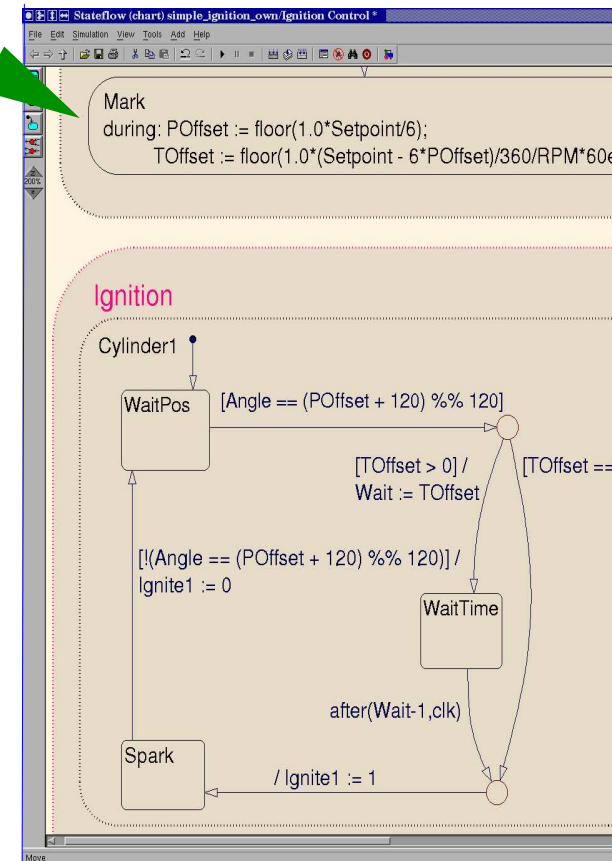
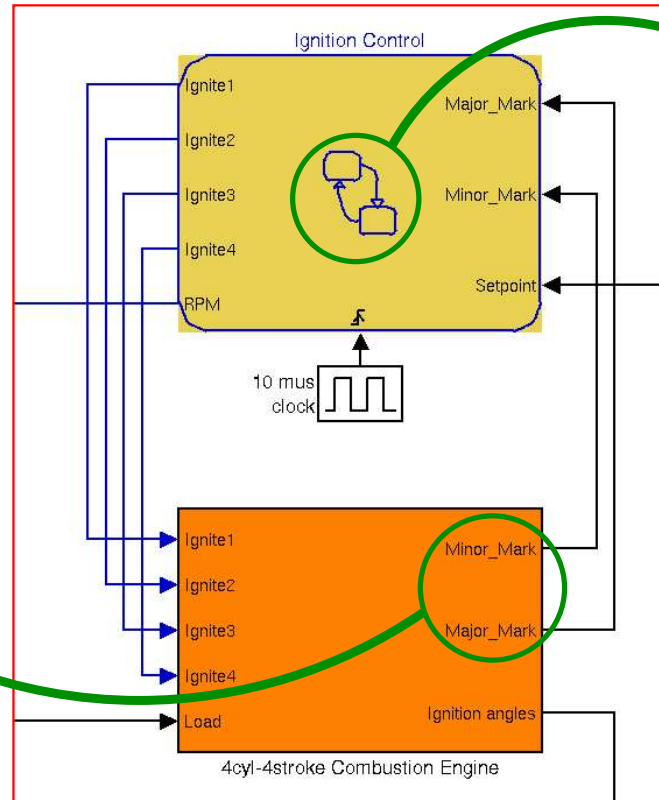
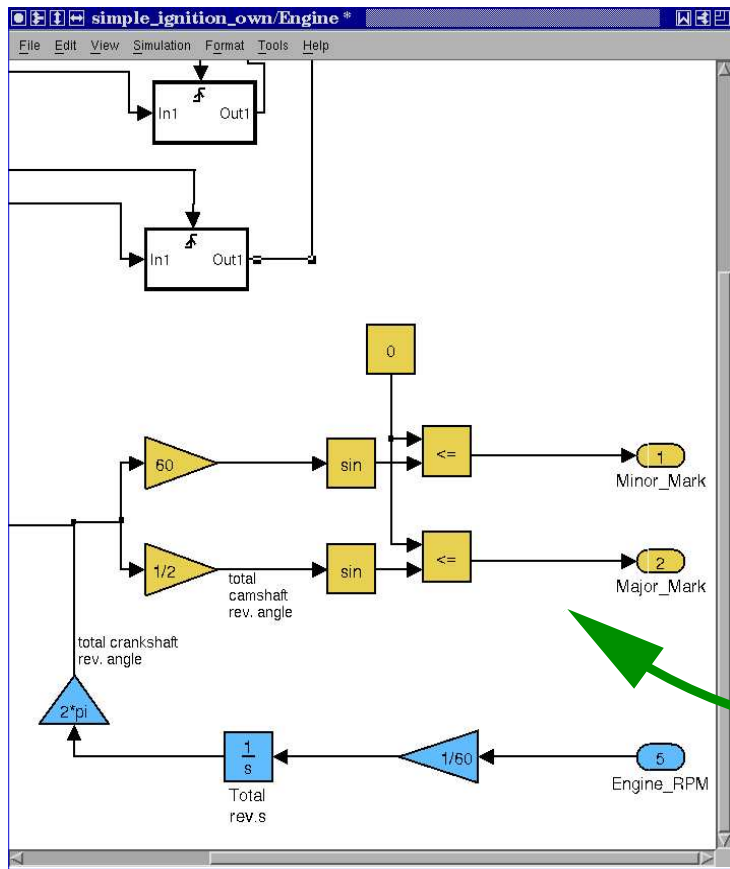
$$x = 0 \wedge y = 0$$



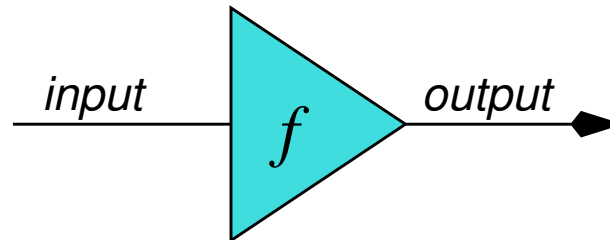
$$\bar{x} = 10 \wedge x = 0 \wedge y = \frac{\bar{y}}{2} - 1$$

- symbolic representation of linear size
- provided ODEs are of appropriate kind.

Generalizing the concept: Simulink+Stateflow



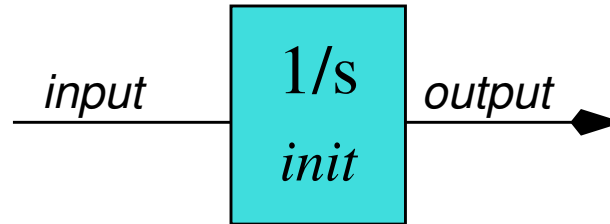
'Algebraic' blocks



- time-invariant transfer function $output(t) = f(input(t))$
- made 1st-order by making time implicit: $Flow \equiv output = f(input)$
- no constraints on initial value: $Init \equiv true$,
- discontinuous jumps always admissible $Jump \equiv true$,

All the formulae are elements of a suitably rich 1st-order logics over \mathbb{R} .

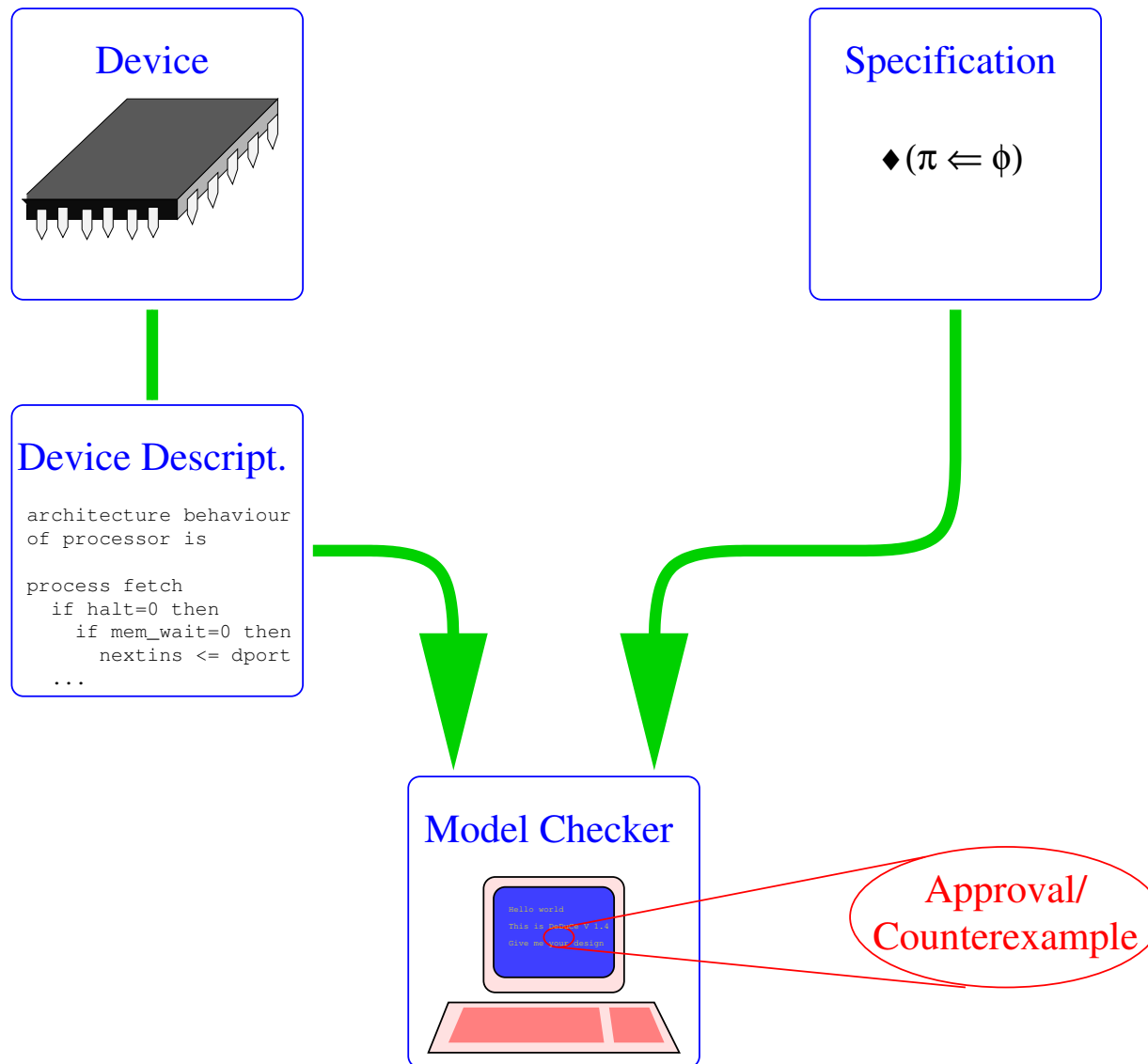
Integrators



- integrates its input over time: $output(t) = init + \int_0^t input(u) du$.
- made semi-1st-order by using derivatives: $Flow \equiv \frac{doutput}{dt} = input$
- initial value is rest value: $Init \equiv output = init$,
- discontinuous jumps don't affect output $Jump \equiv output = \overset{\leftarrow}{output}$,

Getting started with STS: NuSMV

Model checking



NuSMV

- NuSMV (A. Cimmati, E. Clarke, F. Giunchiglia, A. Morichetti, M. Roveri et al.) is an optimized reengineering of the symbolic model checker SMV (K. McMillan, 1993)
- It is dedicated to finite state systems, providing
 - Booleans, bounded integers, enumerations as data types.
- It has a structured, symbolic language for describing initial state sets and transitions:
 - either *declarative*

TRANS

```
next(output) = !input;
```

where totality of the transition relation has to be guaranteed by the user, or

- “*imperative*” (single assignment!) providing such guarantee

ASSIGN

```
next(output) := !input;
```

The imperative syntax

An inverter taking one step delay:

```
MODULE main
VAR
  input : boolean;
  output : boolean;
ASSIGN
  init(output) := 0;
  next(output) := !input;
```

Note that `input` is unconstrained!

The imperative syntax

An inverter taking arbitrary delay (and missing transient inputs):

```
MODULE main
VAR
  input : boolean;
  output : boolean;
ASSIGN
  init(output) := 0;
  next(output) := (!input) union output;
```

Note that `output` has a non-deterministic assignment!

The imperative syntax

```
MODULE inverter(input)
VAR
    output : boolean;
ASSIGN
    init(output) := 0;
    next(output) := !input;
```

```
MODULE main
VAR
    gate1 : inverter(gate3.output);
    gate2 : inverter(gate1.output);
    gate3 : inverter(gate2.output);
```

This is synchronous execution, while...

The imperative syntax

```
MODULE inverter(input)
VAR
    output : boolean;
ASSIGN
    init(output) := 0;
    next(output) := !input;
```

```
MODULE main
VAR
    gate1 : process inverter(gate3.output);
    gate2 : process inverter(gate1.output);
    gate3 : process inverter(gate2.output);
```

this is asynchronous execution, and...

The imperative syntax

```
MODULE inverter(input)
VAR
    output : boolean;
ASSIGN
    init(output) := 0;
    next(output) := !input;
FAIRNESS
    running;
```

```
MODULE main
VAR
    gate1 : process inverter(gate3.output);
    gate2 : process inverter(gate1.output);
    gate3 : process inverter(gate2.output);
```

is fair asynchronous execution.

Rules governing the “imperative” fragment

In order to ensure totality of the transition relation, there is a

single assignment rule: For each variable, there is *at most one* assignment (which may contain case distinctions).

```
next (abr) :=  
  case forget = 0 : ab;  
           forget = 1 : abr;  
  esac;
```

Note that assignments to both v and $\text{next}(v)$ do also constitute multiple assignments to v !

non-circular dependencies rule: Circular dependencies are to be broken by “delays”, i.e. a variable may only depend on older values of itself.

Specification patterns for the exercises

You'll need the following types of CTL (computation tree logic formulae):

ϕ holds invariably: $AG \phi$

- On all computation paths, ϕ holds generally.

ϕ leads to ψ : $AG (\phi \rightarrow AF \psi)$

- On all computation paths, it holds generally that if ϕ holds then necessarily (= on all computation paths), ψ holds eventually.

Tutorial available at

<http://nusmv.irst.itc.it/NuSMV/tutorial/v24/tutorial.pdf>

Course Schedule

(this week)

Schedule

4 Slots a day, 2 in the morning, 2 in the afternoon.

Monday:

1. Introductory lecture
- 2.+3. Exercise class: State-exploratory verification using NuSMV
4. Lecture: CTL and CTL model checking

Tuesday:

1. Lecture: Checking Circuit Equivalence
- 2.+3. Exercise class: dito
4. Lecture: Satisfiability solving of large propositional formulae

Schedule (cntd.)

Wednesday:

1. Lecture: Symbolic methods for finite-state model checking
- 2.+3. Exercise class: Circuit equivalence (cntd.)
4. Lecture: Symbolic methods for real-time

Thursday:

1. Lecture: Arithmetic satisfiability solving
- 2.+3. Exercise class: Using arith. SAT solving for scheduling
4. Lecture: Hybrid state-space exploration I

Friday:

1. Lecture: Hybrid state-space exploration II
2. Exercise class: Introduction to the projects,
time to continue with previous exercises
3. Lecture: Game-theoretic synthesis