

Suffix Trees

- String Indexing/String Dictionaries
- Tries
- Suffix Trees

Inge Li Gørtz

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String Indexing

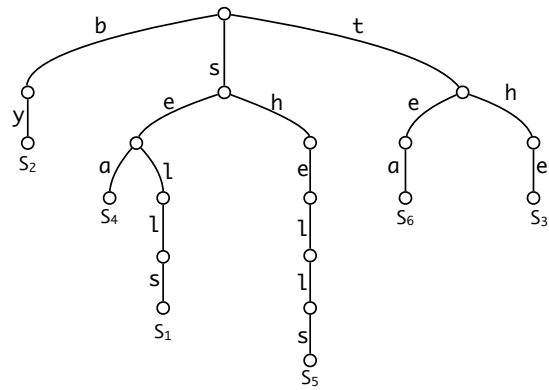
- **String indexing problem.** Let S be a string of characters from alphabet Σ . Preprocess S into data structure to support:
 - $\text{search}(P)$: Return the starting positions of all occurrences of P in S .
- **Example.**
 - $S = \text{yabbadabbado}$
 - $\text{search}(\text{abba}) = \{1,6\}$
- **String dictionary problem.** Let $S = \{S_1, S_2, \dots, S_k\}$ be a set of strings of characters from alphabet Σ . Preprocess S into data structure to support:
 - $\text{search}(P)$: Return yes if $P = S_i$ for some S_i in S .
 - $\text{prefix-search}(P)$: Return all strings in S for which P is a prefix.

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Tries

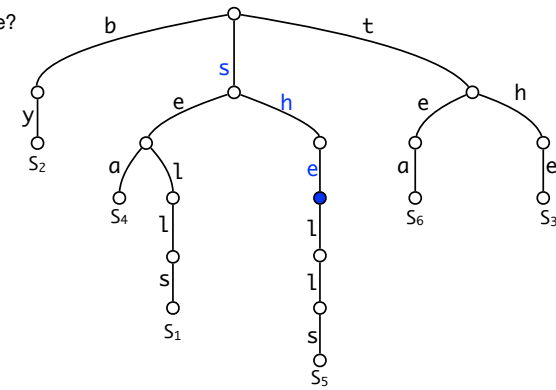
- Text retrieval



- Trie over the strings: sells, by, the, sea, shells, tea.

Tries

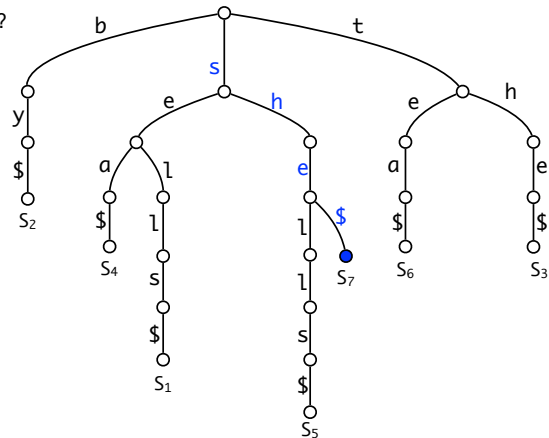
- Text retrieval
- Prefix-free?



- Trie over the strings: sells, by, the, sea, shells, tea, she.

Tries

- Text retrieval
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- Trie over the strings: sells\$, by\$, the\$, sea\$, shells\$, tea\$, she\$.

Tries

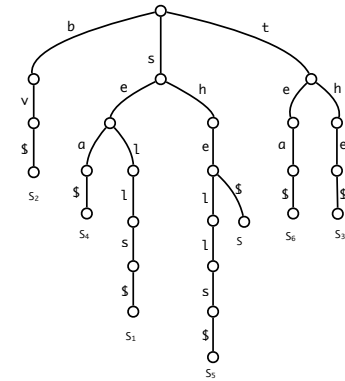
- [Fredkin 1960](#). Retrieval. Store a set of strings in a rooted tree such that:
 - Each edge is labeled by a character. Edges to children of a node are sorted from left-to-right alphabetically.
 - Each root-to-leaf path represents a string in the set. (obtained by concatenating the labels of edges on the path).
 - Common prefixes share same path maximally.
- [Properties of the trie](#). A trie T storing a collection S of s strings of total length n from an alphabet of size d has the following properties:
 - How many children can a node have?
 - How many leaves does T have?
 - What is the height of T ?
 - What is the number of nodes in T ?

Tries

- **Fredkin 1960**. Retrieval. Store a set of strings in a rooted tree such that:
 - Each edge is labeled by a character. Edges to children of a node are sorted from left-to-right alphabetically.
 - Each root-to-leaf path represents a string in the set. (obtained by concatenating the labels of edges on the path).
 - Common prefixes share same path maximally.
- **Properties of the trie**. A trie T storing a collection S of s strings of total length n from an alphabet of size d has the following properties:
 - How many children can a node have? at most d
 - How many leaves does T have? s
 - What is the height of T ? length of longest string
 - What is the number of nodes in T ? $O(n)$

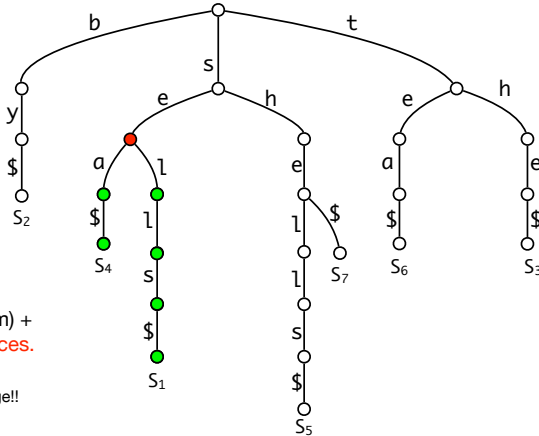
Trie

- **Search time**: $O(d)$ in each node $\Rightarrow O(dm)$.
 - $O(m)$ if d constant.
 - d not constant: use dictionary
 - Perfect hashing $O(1)$
 - Balanced BST: $O(\log d)$
- **Time and space for a trie (for constant d)**:
 - $O(m)$ for searching for a string of length m .
 - $O(n)$ space.
 - Preprocessing: $O(n)$



Tries

- **Prefix search**: return all words in the trie starting with "se"



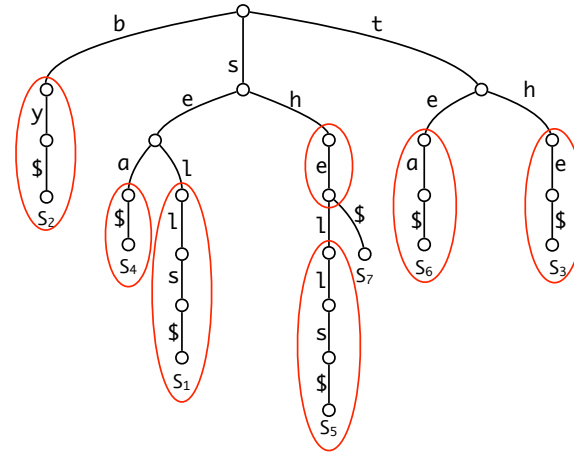
- Time for prefix search: $O(m)$ + time to report all occurrences.

Could be large!!

- Solution: compact tries.

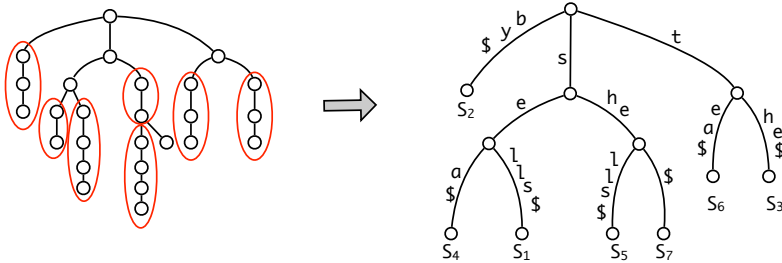
Tries

- **Compact trie**. Chains of nodes with a single child is merged into a single edge.



Tries

- **Compact trie.** Chains of nodes with a single child is merged into a single edge.



- **Properties of the compact trie.** A compact trie T storing a collection S of s strings of total length n from an alphabet of size d has the following properties:
 - Every internal node of T has at least 2 and at most d children.
 - T has s leaves
 - The number of nodes in T is $< 2s$.

Trie

- **Time and space for a compact trie (constant d).**
 - $O(m)$ for searching for a string of length m .
 - $O(m + \text{occ})$ for prefix search, where $\text{occ} = \#\text{occurrences}$
 - $O(n)$ space.
 - Preprocessing: $O(n)$

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Suffix tree

- **String indexing problem.** Given a string S of characters from an alphabet Σ . Preprocess S into a data structure to support
 - $\text{Search}(P)$: Return starting position of all occurrences of P in S .
- **Observation:** An occurrence of P is a prefix of a suffix of S .



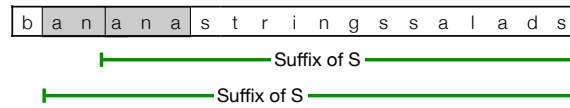
Suffix tree

- **String indexing problem.** Given a string S of characters from an alphabet Σ . Preprocess S into a data structure to support
 - Search(P): Return starting position of all occurrences of P in S.

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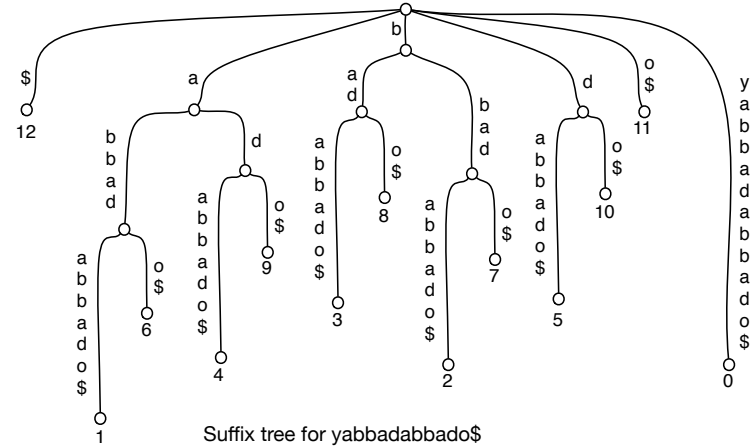


- Example: P = ana.



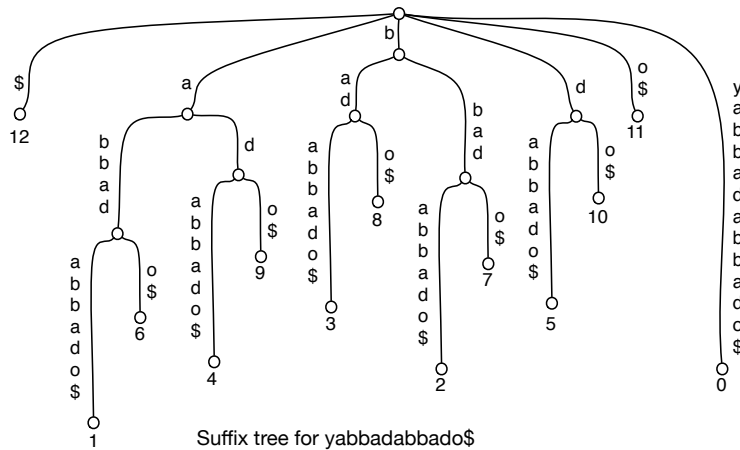
Suffix Trees

- **Suffix trees.** The compact trie of all suffixes of S.



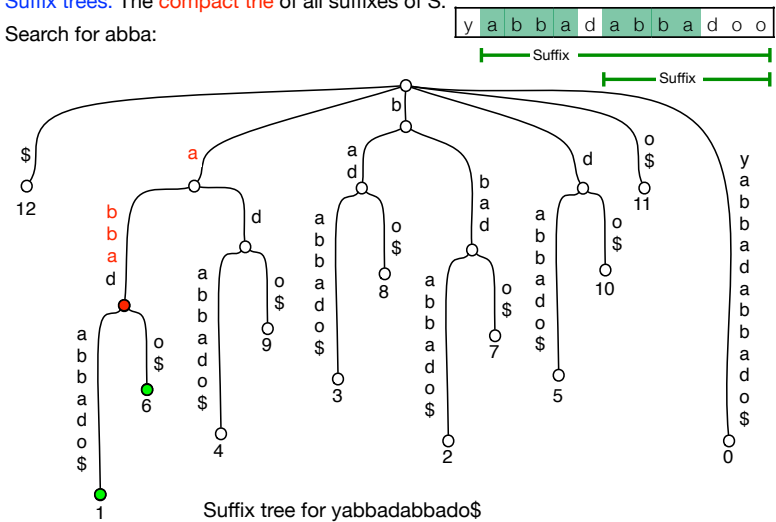
Suffix Trees

- **Suffix trees.** The compact trie of all suffixes of S.
- Search for abba:



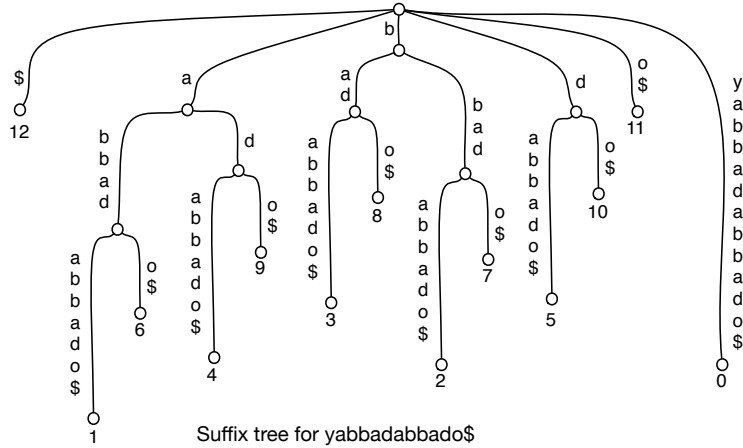
Suffix Trees

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Suffix Trees

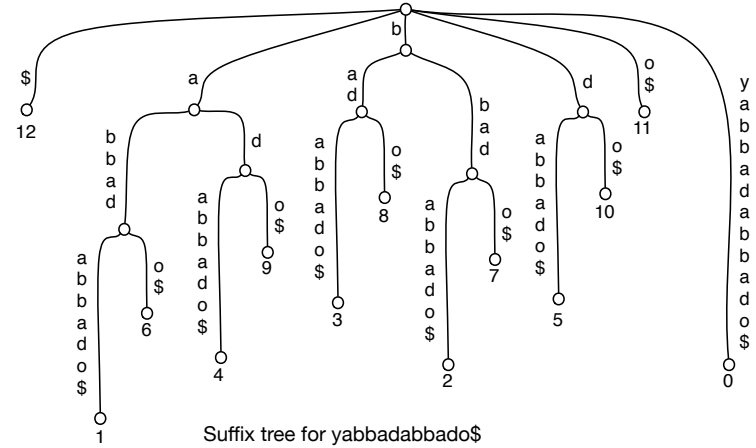
- Suffix trees. The compact trie of all suffixes of S.
- Space?



Suffix Trees

- Suffix trees. The compact trie of all suffixes of S.
- Store S and store edge labels by reference to S.

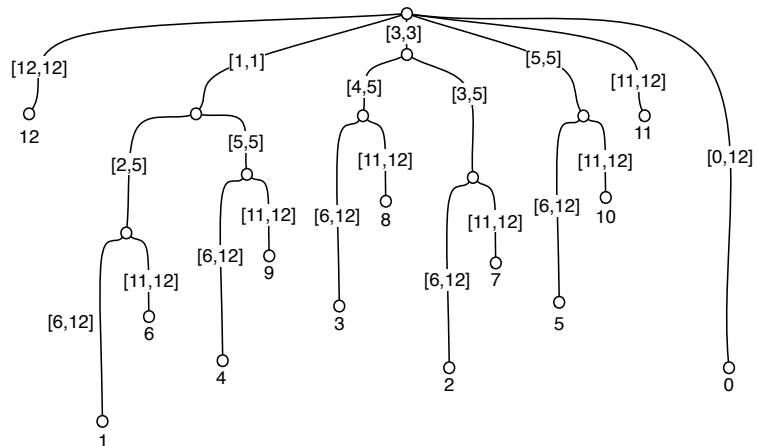
0 1 2 3 4 5 6 7 8 9 10 11 12
y a b b a d a b b a d o \$



Suffix Trees

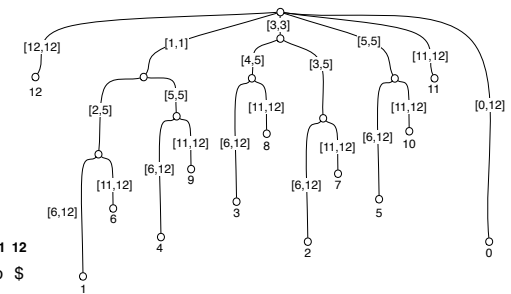
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Suffix Trees

- Space.
 - Number of edges + space for edge labels + string
 - $\Rightarrow O(n)$ space
- Preprocessing. $O(\text{sort}(n, |\Sigma|))$
- $\text{sort}(n, |\Sigma|)$ = time to sort n characters from an alphabet Σ .
- Search(P): $O(m + \text{occ})$.



0 1 2 3 4 5 6 7 8 9 10 11 12
y a b b a d a b b a d o \$

Suffix tree for yabbdabbado\$

Suffix Trees

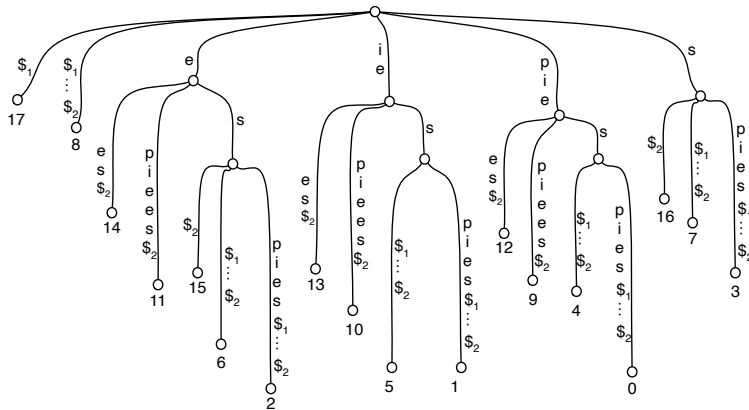
- **Theorem.** We can solve the string indexing problem in
 - $O(n)$ space and $\text{sort}(n, |\Sigma|)$ preprocessing time.
 - $O(m + \text{occ})$ time for queries.

Suffix Trees

- **Applications.**
 - Approximate string matching problems
 - Compression schemes (Lempel-Ziv family, ...)
 - Repetitive string problems (palindromes, tandem repeats, ...)
 - Information retrieval problems (document retrieval, top-k retrieval, ...)
 - ...

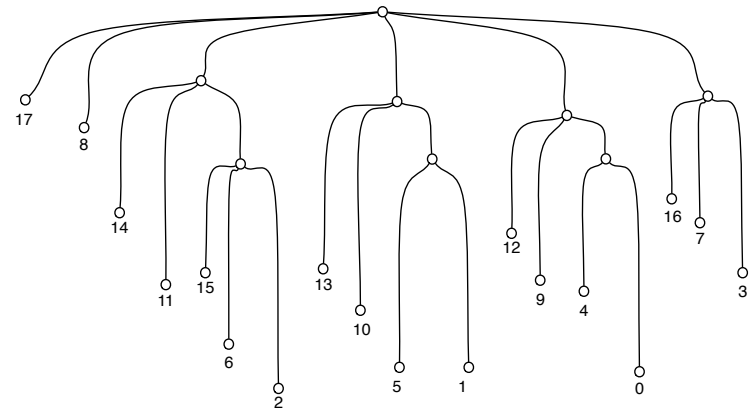
Longest common substring

- Find **longest common substring** of strings S_1 and S_2 .
- Construct the suffix tree over S_1S_2 .
- **Example.** Find longest common substring of **piespies** and **piepiees**:
 - Construct suffix tree of **piespies** $_1$ **piepiees** $_2$.



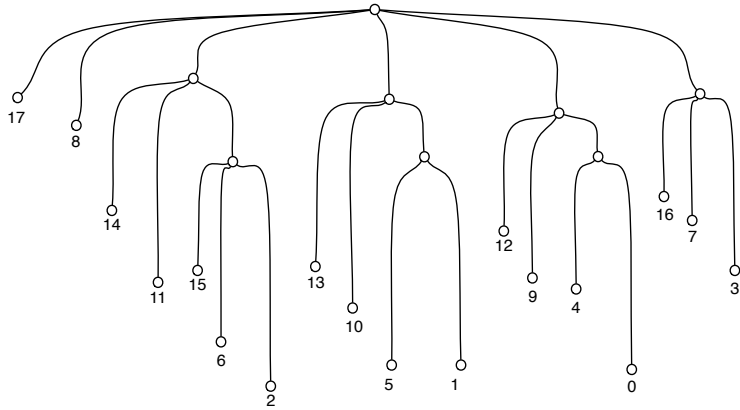
Longest common substring

- Suffix tree of **piespies** $_1$ **piepiees** $_2$.



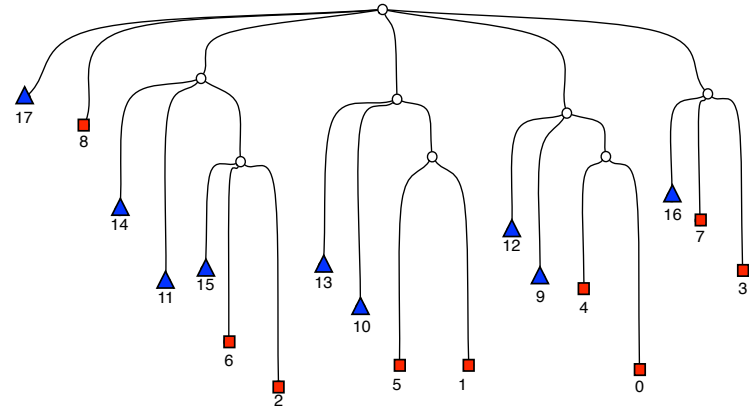
Longest common substring

- Suffix tree of $\text{piespies}_1\text{piepies}_2$.
- Mark leaves: $\blacksquare = S_1$ $\blacktriangle = S_2$



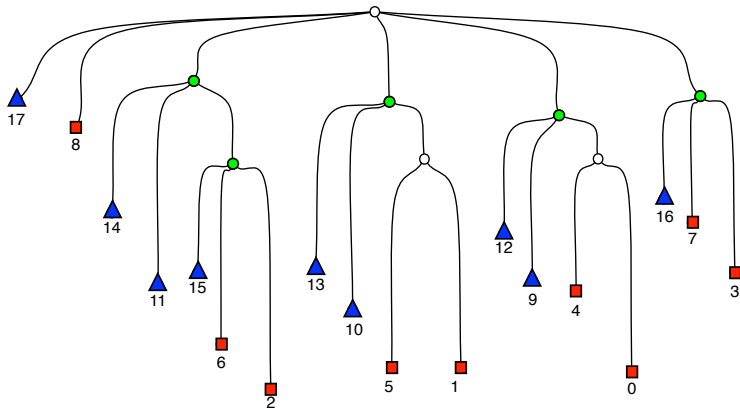
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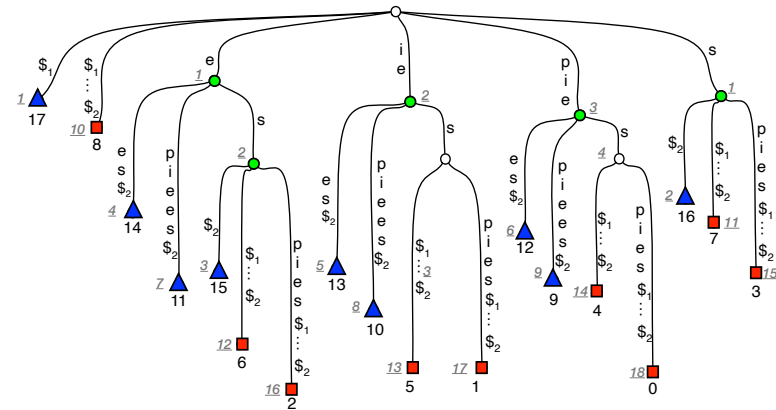
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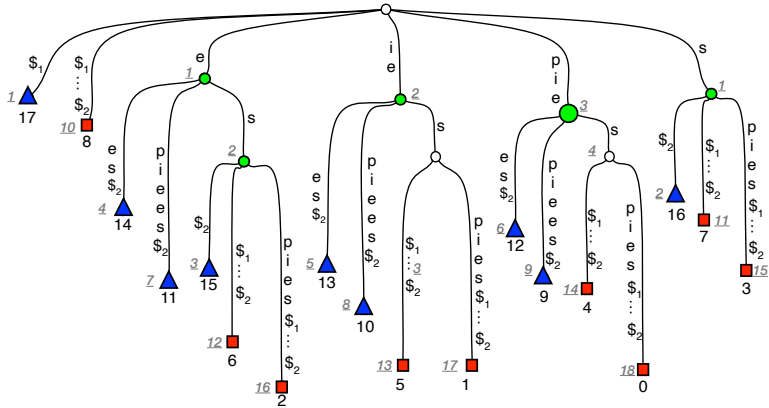
Longest common substring

- Suffix tree of $\text{piespies}_1\text{piepies}_2$.
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- Add string depth.



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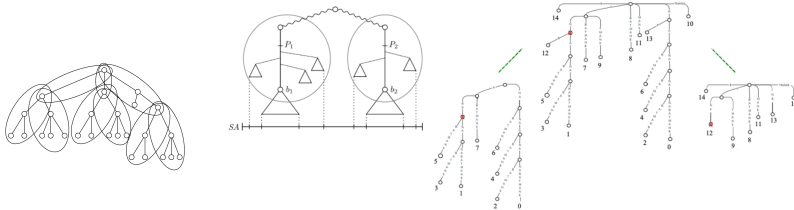
- Using a suffix tree we can solve the longest common substring problem in linear time (for a constant size alphabets).

Gapped Pattern Indexing

- Search for name:

“Inge Gørtz” or “Inge Li Gørtz” \rightarrow “Inge” [1-4] “Gørtz”
← Gapped pattern

- Techniques:
 - Clustering of the suffix tree
 - Induced suffix tree decomposition: recursively divide the suffix tree in half by index in the string.



Gapped Pattern Matching

- Search for my name:

“Inge Gørtz” or “Inge Li Gørtz” \rightarrow “Inge” [1-4] “Gørtz”
← Gapped pattern

- General gapped pattern:

$$P_1 [a_1, b_1] P_2 [a_2, b_2] P_3 \dots [a_{k-1}, b_{k-1}] P_k$$

- Other variants (indexing):

- Wildcards
- Top-k closest consecutive occurrences