

# Range Minimum Queries and Lowest Common Ancestor

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# Range Minimum Queries and Lowest Common Ancestor

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- Range Minimum Queries (RMQ) and Lowest Common Ancestor (LCA)
- RMQ
  - Simple solutions
  - Better solution
  - 2-level solution
- Reduction between RMQ and LCA
- Dynamic RMQ

# Range Minimum Queries

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- **Range minimum query problem.** Preprocess array  $A[1\dots n]$  of integers to support
  - $\text{RMQ}(i,j)$ : return the (entry of) minimum element in  $A[i\dots j]$ .

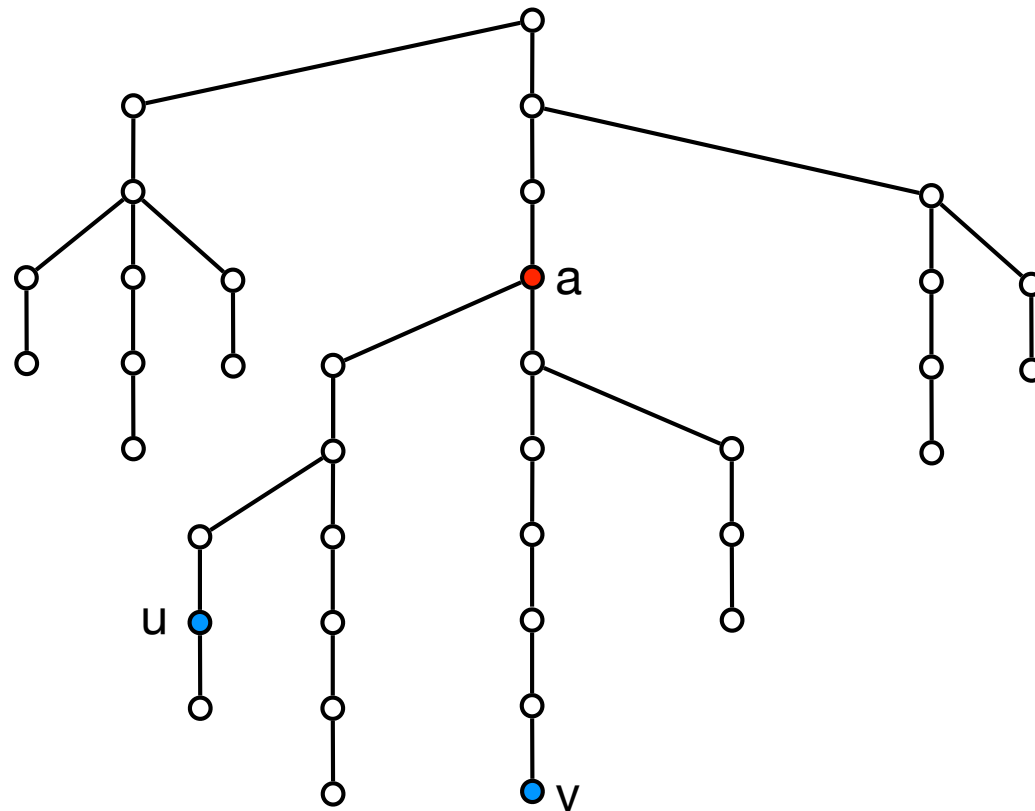
0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

- $\text{RMQ}(2,5) = 2$  (index 4)
- Basic (extreme) solutions
  - **Linear search:**
    - Space:  $O(n)$ . Only keep array (no extra space)
    - Time:  $O(j-i) = O(n)$
  - **Save all possible answers:** Precompute and save all answers in a table.
    - Space:  $O(n^2)$  pairs  $\Rightarrow O(n^2)$  space
    - Time:  $O(1)$

# Lowest Common Ancestor

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- **Lowest common ancestor problem.** Preprocess rooted tree  $T$  with  $n$  nodes to support
  - $LCA(u,v)$ : return the lowest common ancestor of  $u$  and  $v$ .



$LCA(u,v) = a$

# Lowest Common Ancestor

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- Basic (extreme) solutions
  - **Linear search**: Follow paths to root and mark when you visit a node.
    - Space:  $O(n)$ . Only keep tree (no extra space)
    - Time:  $O(\text{depth of tree}) = O(n)$
  - **Save all possible answers**: Precompute and save all answers in a table.
    - Space:  $O(n^2)$  pairs  $\Rightarrow O(n^2)$  space
    - Time:  $O(1)$

# RMQ and LCA

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- **Outline.**
  - Can solve both RMQ and LCA in linear space and constant time.
    - First solution to RMQ
    - Solution to a special case of RMQ.
    - See that RMQ and LCA are equivalent (can reduce one to the other both ways).

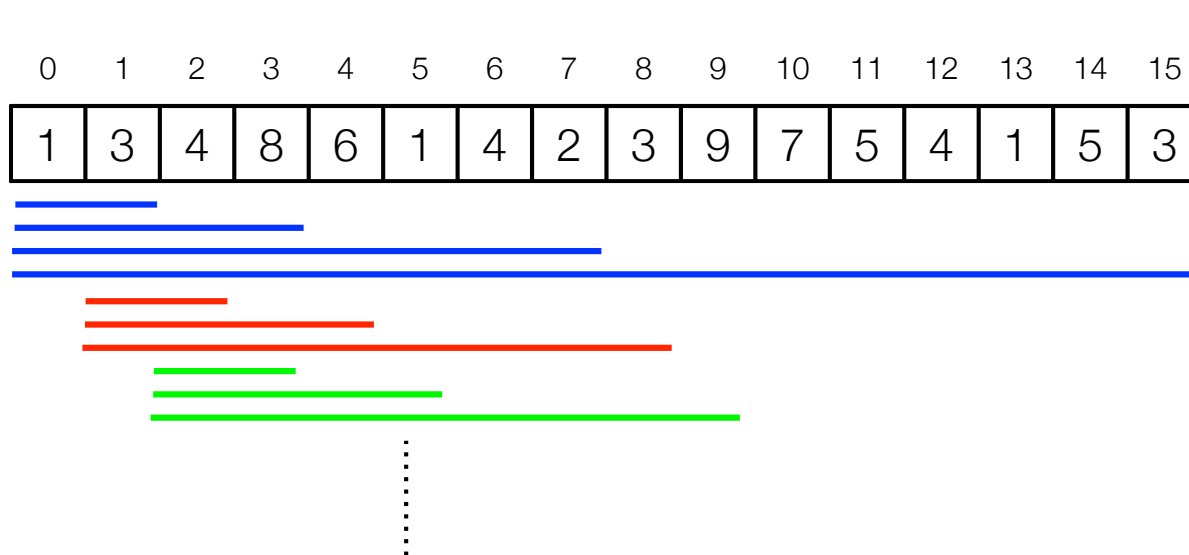
# RMQ

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Sparse table solution

# RMQ: Sparse table solution

- Save the result for all intervals of length a power of 2.

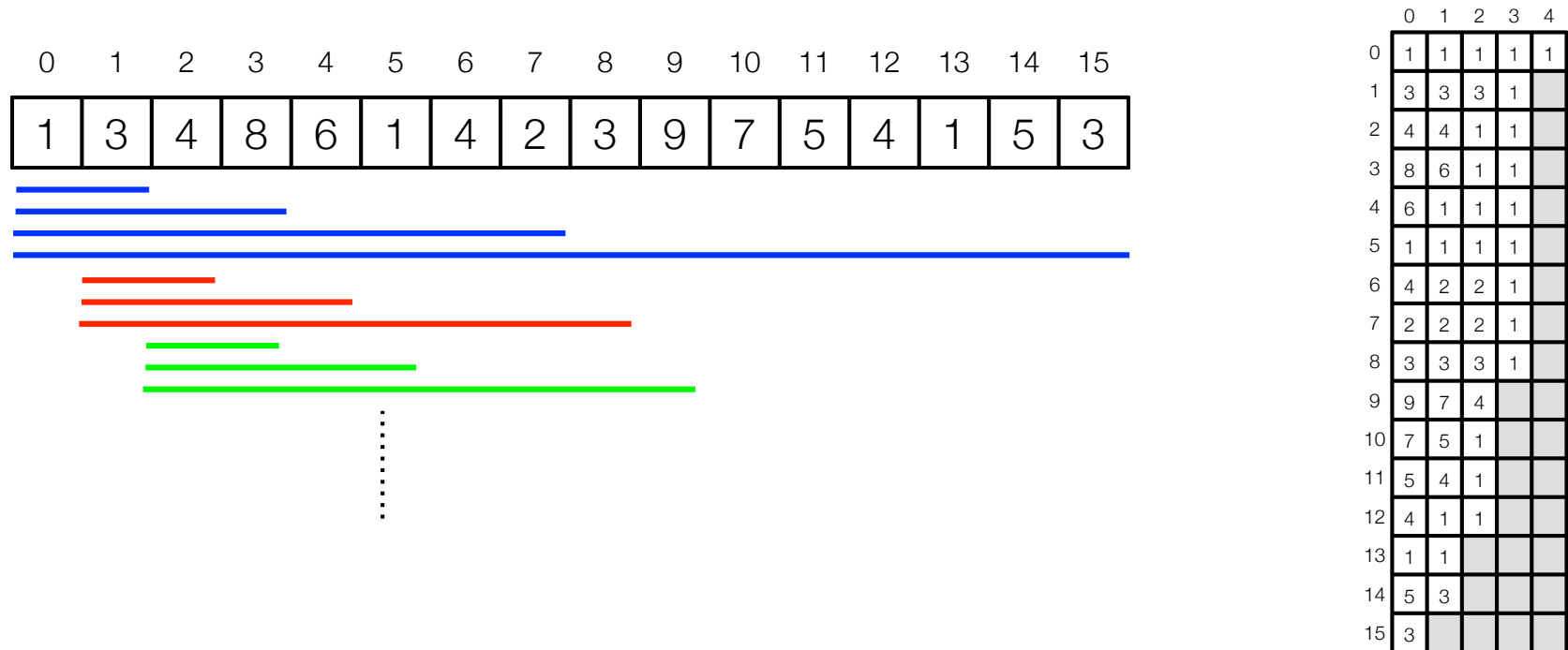


	0	1	2	3	4
0	1	1	1	1	1
1	3	3	3	1	
2	4	4	1	1	
3	8	6	1	1	
4	6	1	1	1	
5	1	1	1	1	
6	4	2	2	1	
7	2	2	2	1	
8	3	3	3	1	
9	9	7	4		
10	7	5	1		
11	5	4	1		
12	4	1	1		
13	1	1			
14	5	3			
15	3				



# RMQ: Sparse table solution

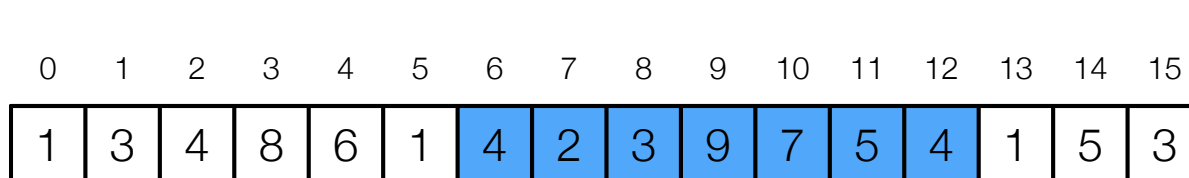
- Save the result for all intervals of length a power of 2.



- Space:  $O(n \log n)$

# RMQ: Sparse table solution

- Save the result for all intervals of length a power of 2.



RMQ(6, 12) = ?

	0	1	2	3	4
0	1	1	1	1	1
1	3	3	3	1	
2	4	4	1	1	
3	8	6	1	1	
4	6	1	1	1	
5	1	1	1	1	
6	4	2	2	1	
7	2	2	2	1	
8	3	3	3	1	
9	9	7	4		
10	7	5	1		
11	5	4	1		
12	4	1	1		
13	1	1			
14	5	3			
15	3				

- Space:  $O(n \log n)$

# RMQ: Sparse table solution

- Save the result for all intervals of length a power of 2.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	3	4	8	6	1	4	2	3	9	7	5	4	1	5	3



$$\text{RMQ}(6, 12) = \min(\text{RMQ}(6,9), \text{RMQ}(9,12)) = \min(2,4) = 2$$

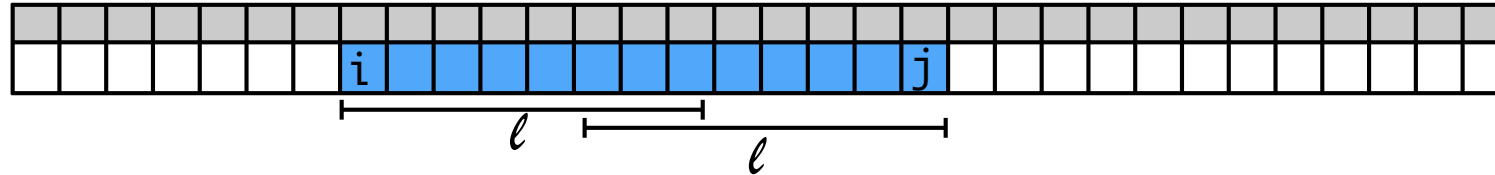
	0	1	2	3	4
0	1	1	1	1	1
1	3	3	3	1	
2	4	4	1	1	
3	8	6	1	1	
4	6	1	1	1	
5	1	1	1	1	
6	4	2	2	1	
7	2	2	2	1	
8	3	3	3	1	
9	9	7	4		
10	7	5	1		
11	5	4	1		
12	4	1	1		
13	1	1			
14	5	3			
15	3				

- Space:  $O(n \log n)$

# RMQ: Sparse table solution

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- Query:



- Any interval is the union of two power of 2 intervals.
  - $k$  largest number such that  $2^k \leq j - i + 1$ .
  - Lookup results for the two intervals and take minimum.
- Time:  $O(1)$
- Space:  $O(n \log n)$
- Preprocessing time:  $O(n \log n)$ 
  - To compute results for length  $2^i$  use results for length  $2^{i-1}$ .

$\pm 1 \text{RMQ}$

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# RMQ: Linear space

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- Consider  $\pm 1$ RMQ: consecutive entries differ by 1.

0	1	2	3	4	5	6	7	8	9	10	11	12
4	5	6	5	4	3	2	3	2	3	4	5	4

- 2-level solution: Combine
  - $O(n \log n)$  space,  $O(1)$  time
  - $O(n^2)$  space,  $O(1)$  time.

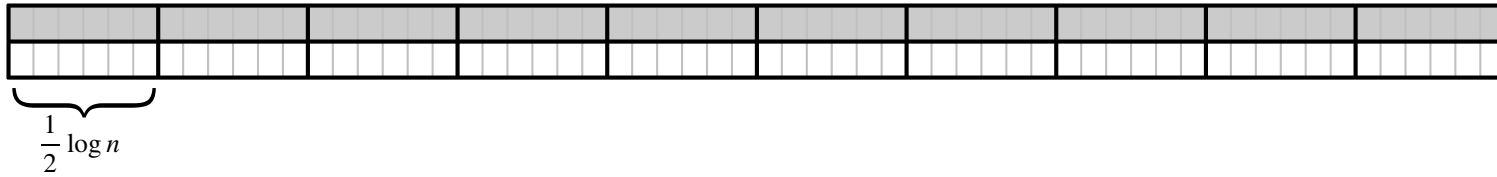
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  - $O(n)$  space,  $O(1)$  time.

# $\pm 1$ RMQ

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- Divide A into blocks of size  $\frac{1}{2} \log n$

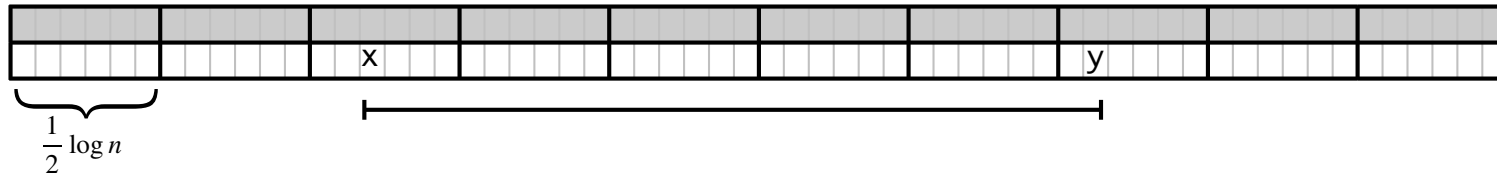


- 2-level data structure:
  - Sparse table on blocks
  - Tabulation inside blocks.

# $\pm 1$ RMQ

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- Divide  $A$  into blocks of size  $\frac{1}{2} \log n$



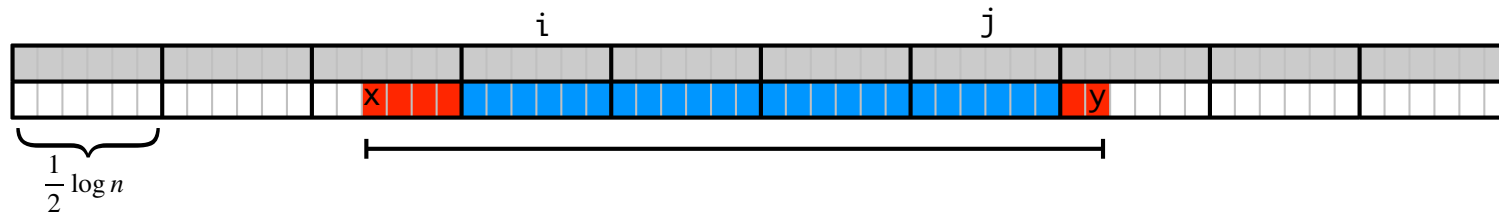
- 2-level data structure:
  - Sparse table on blocks
  - Tabulation inside blocks.



# $\pm 1$ RMQ

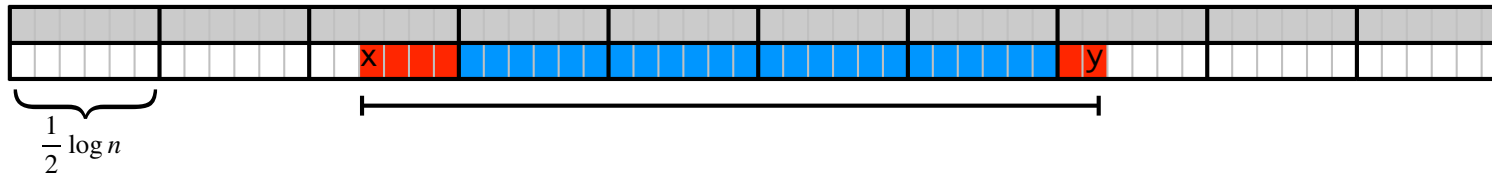
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- Divide  $A$  into blocks of size  $\frac{1}{2} \log n$



- 2-level data structure:
  - Sparse table on blocks
  - Tabulation inside blocks.
- $\text{RMQ}(x,y) = \min\{ \text{RMQ on blocks } i \text{ to } j, \text{RMQ inside block } i-1, \text{RMQ inside block } j+1 \}$ .

# $\pm 1$ RMQ: Data structure on blocks



- Two new arrays.

- Array  $A'$ : minimum from each block



- B: position in A where  $A'[i]$  occurs.

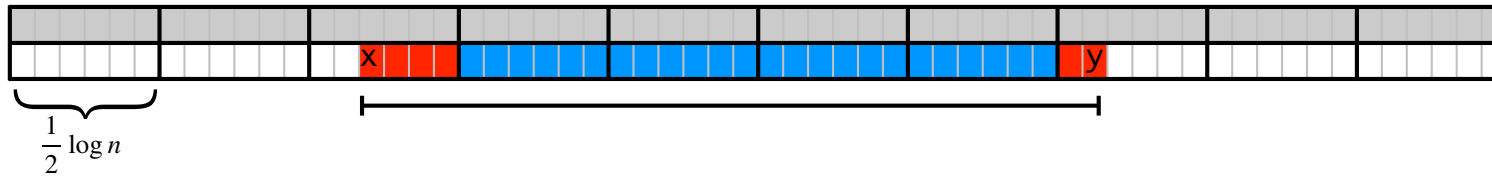
- Sparse table data structure on  $A'$ .

- Space:  $O(|A'| \log |A'|) = O\left(\frac{n}{\log n} \cdot \log \frac{n}{\log n}\right) = O(n)$ .

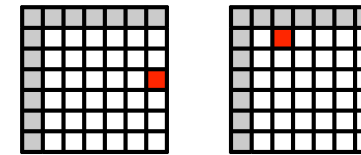
- Time:  $O(1)$

# $\pm 1$ RMQ: Data structure inside blocks

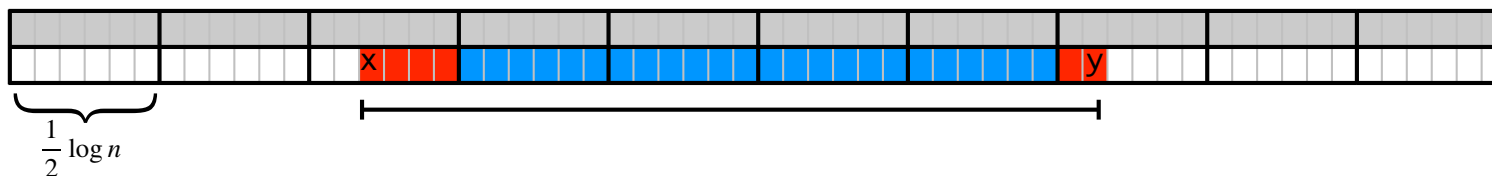
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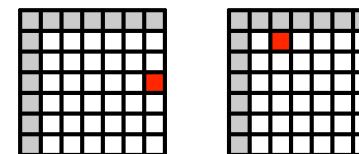
- Precompute and save all answers for each block.
- Gives solution using
  - Space:



# $\pm 1$ RMQ: Data structure inside blocks

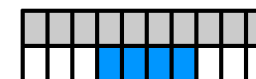


- Precompute and save all answers for each block.

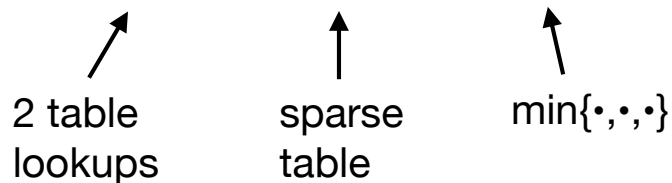


- Gives solution using

- Space:  $O(n)$  + space for precomputed tables.



- Time:  $O(1) + O(1) + O(1) = O(1)$ .



# $\pm 1$ RMQ: Storing the tables

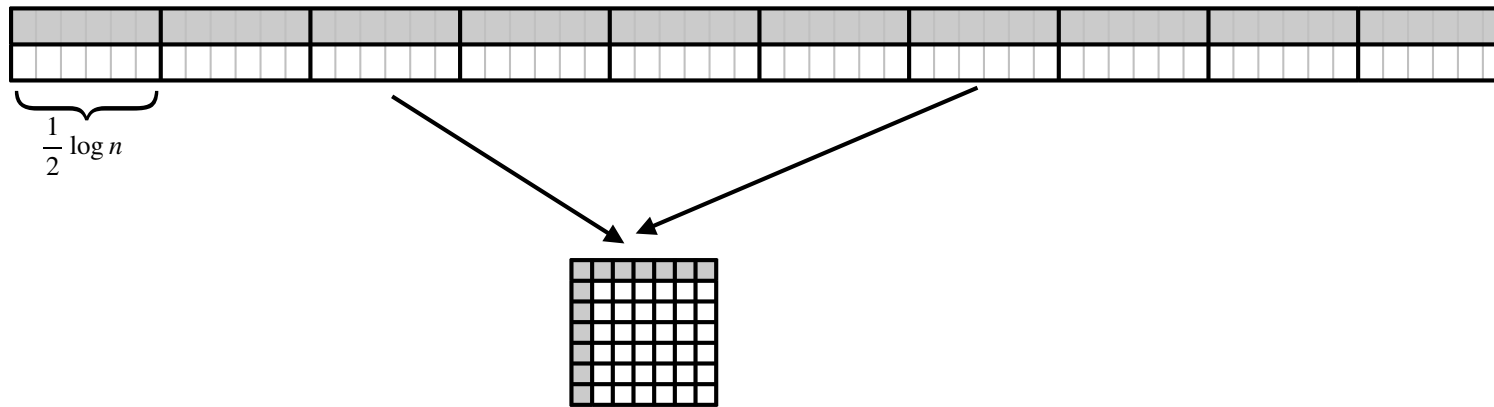
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- Naively:  $\log^2 n$  for each table  $\Rightarrow n \log n$  space. 😞
- **Observation:** If  $X[i] = Y[i] + c$  then all RMQ answers are the same for  $X$  and  $Y$ .
  - $X = [7, 6, 5, 6, 5, 4]$
  - $Y = [3, 2, 1, 2, 1, 0]$
- **Normalize** blocks:
  - $X = [0, -1, -2, -1, -2, -3] = Y$
- Normalized block described by sequence of  $+1$ s and  $-1$ s:
  - $X = Y = -1, -1, +1, -1, -1$ .
- How many different normalized blocks are there?
  - length of sequence  $= \frac{1}{2} \log n - 1$
  - #sequences  $= 2^{\frac{1}{2} \log n - 1} \leq \sqrt{n}$ .

# $\pm 1$ RMQ: Data structure inside blocks

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- Precompute and save all answers for each normalized block.
- Size of a table:  $O(\log^2 n)$
- For each block save which precomputed table it uses.



- Space:  $O(\sqrt{n} \cdot \log^2 n) + O(n/\log n) = O(n)$
- Plugging into 2-level solution:
  - Space:  $O(n)$  + space for precomputed tables =  $O(n)$ .

LCA and RMQ

# RMQ and LCA

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- We will show



If there is a solution to LCA using  $s(n)$  space and  $t(n)$  time, then there is a solution to RMQ using  $O(s(n))$  space and  $O(t(n))$  time.

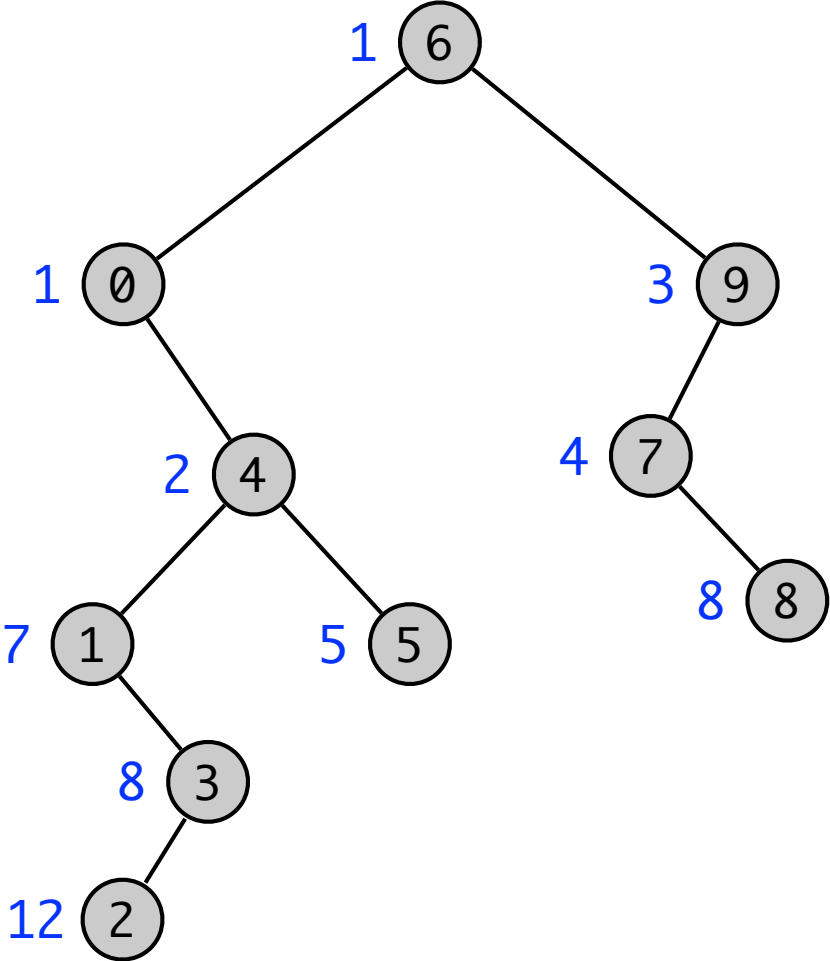
If there is a solution to  $\pm 1$ RMQ using  $s(n)$  space and  $t(n)$  time, then there is a solution to LCA using  $O(s(n))$  space and  $O(t(n))$  time.



# RMQ to LCA

0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

- Cartesian tree.

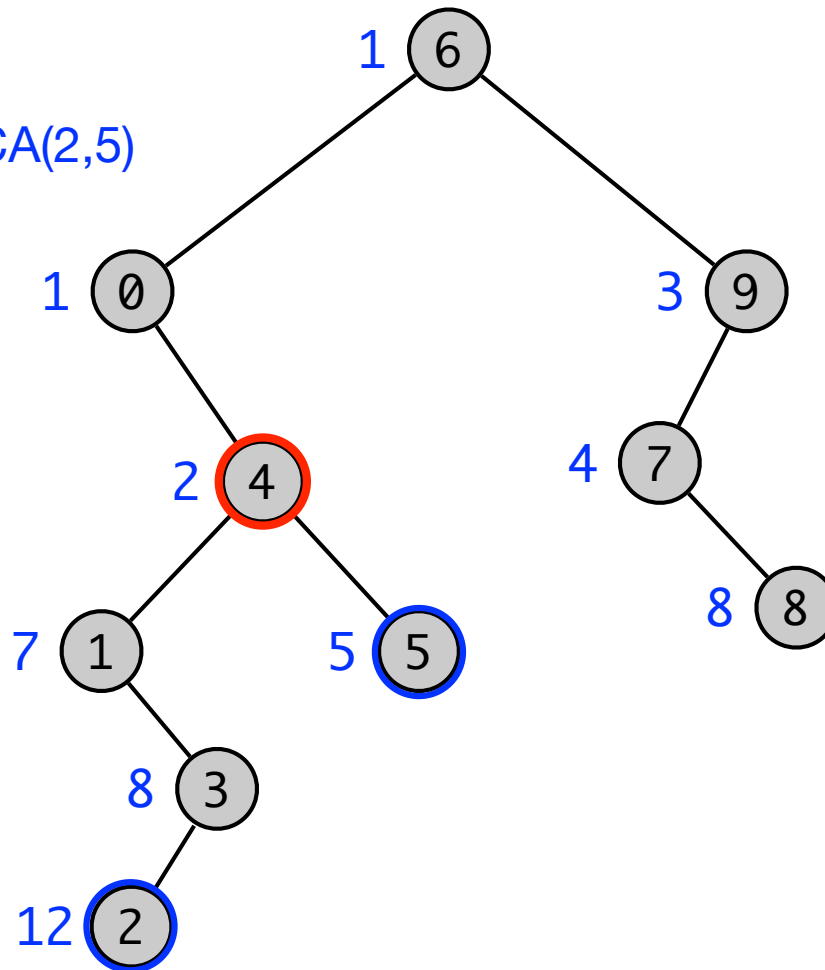


# RMQ to LCA

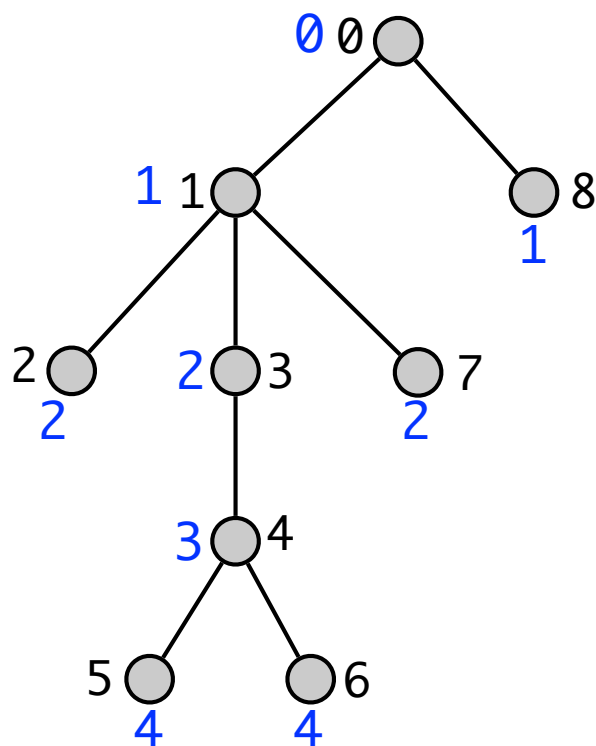
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0	1	2	3	4	5	6	7	8	9
1	7	12	8	2	5	1	4	8	3

- Cartesian tree.
- $RMQ(2,5) = LCA(2,5)$



# LCA to $\pm 1$ RMQ



• E =

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	3	4	5	4	6	4	3	1	7	1	0	8	0

• A =

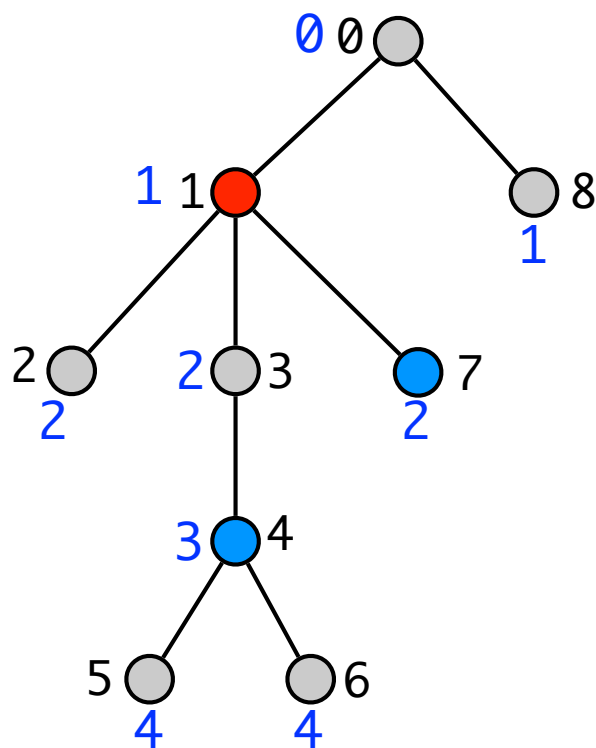
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	2	3	4	3	4	3	2	1	2	1	0	1	0

• R =

0	1	2	3	4	5	6	7	8
0	1	2	4	5	6	8	12	15

- **E**: Euler tour representation: preorder walk, write preorder number of node when met.
- **A**: depth of node node in E[i].
- **R**: first occurrence in E of node with preorder number i
- **LCA**(i, j) = E[RMQ<sub>A</sub>(R[i], R[j])].

# LCA to $\pm 1$ RMQ



•  $LCA(4,7) = RMQ_A(5, 12)$ .

•  $E =$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	3	4	5	4	6	4	3	1	7	1	0	8	0

•  $A =$

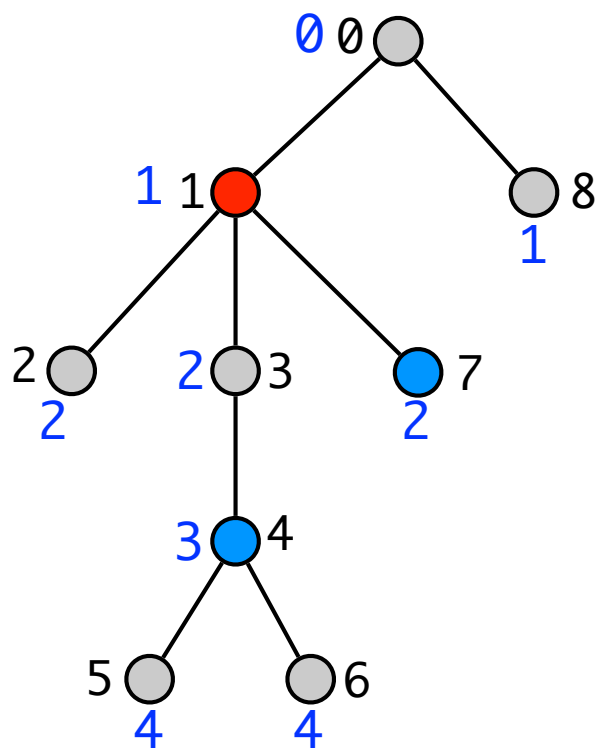
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	2	3	4	3	4	3	2	1	2	1	0	1	0

•  $R =$

0	1	2	3	4	5	6	7	8
0	1	2	4	5	6	8	12	15

- **E**: Euler tour representation: preorder walk, write preorder number of node when met.
- **A**: depth of node node in  $E[i]$ .
- **R**: first occurrence in  $E$  of node with preorder number  $i$
- $LCA(i, j) = E[RMQ_A(R[i], R[j])]$ .

# LCA to $\pm 1$ RMQ



•  $LCA(4,7) = RMQ_A(5, 12)$ .

•  $E =$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	3	4	5	4	6	4	3	1	7	1	0	8	0

$|E| = 2n$

•  $A =$

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
0	1	2	1	2	3	4	3	4	3	2	1	2	1	0	1	0

$|A| = 2n$

•  $R =$

0	1	2	3	4	5	6	7	8
0	1	2	4	5	6	8	12	15

$|R| = n$

Space  $O(n)$ :

- 3 tables
- $\pm 1$ RMQ data structure on table of length  $2n$

- **E**: Euler tour representation: preorder walk, write preorder number of node when met.
- **A**: depth of node node in  $E[i]$ .
- **R**: first occurrence in  $E$  of node with preorder number  $i$
- $LCA(i, j) = E[RMQ_A(R[i], R[j])]$ .

# RMQ and LCA

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- **Theorem.** RMQ and LCA can be solved in  $O(n)$  space and  $O(1)$  query time.

# Segment trees

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Dynamic Range Minimum Queries

# Segment trees

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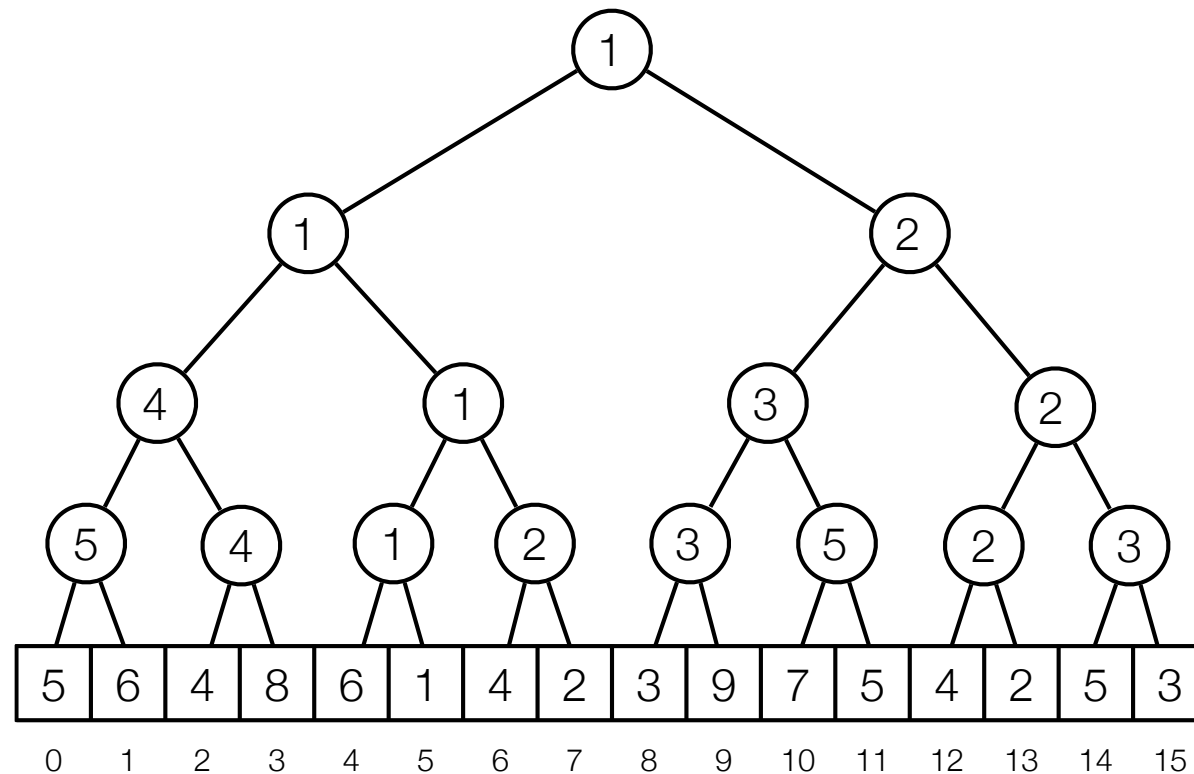
- Dynamic RMQ: Support following operations.
  - Add(i, k): Set  $A[i] = A[i] + k$  (k can be negative).
  - RMQ(i,j)



# Segment trees

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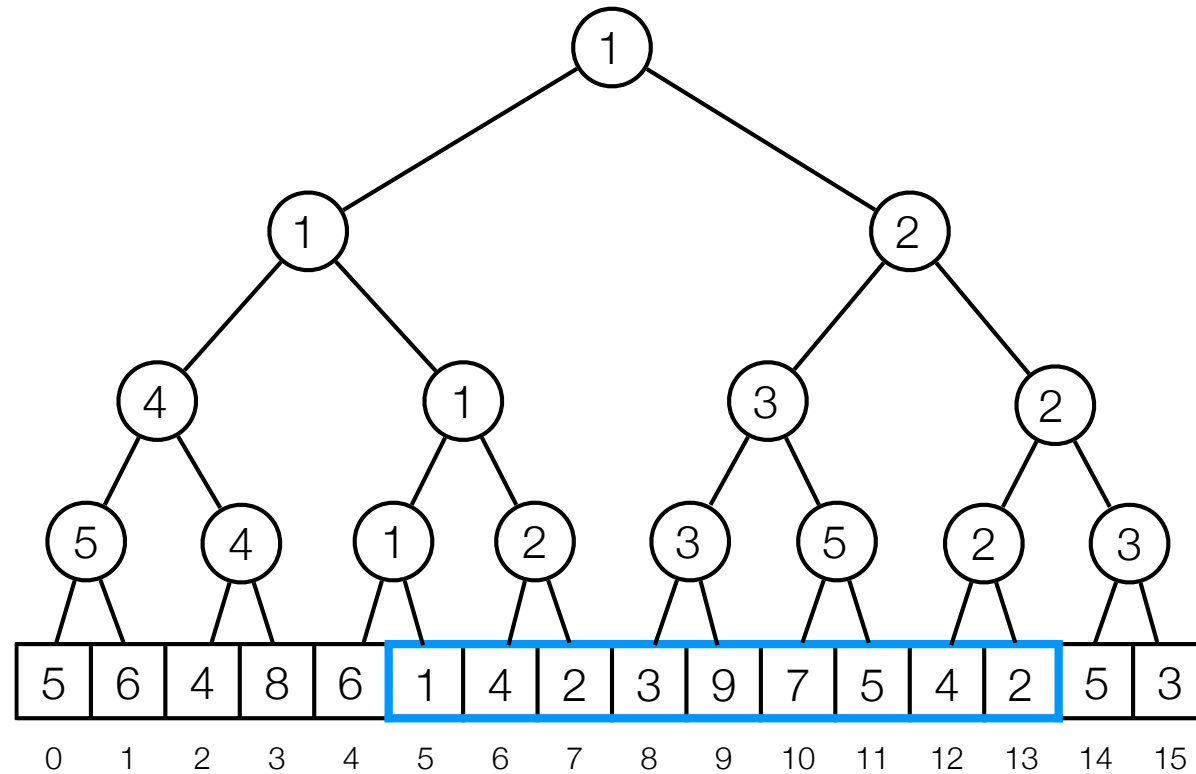
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# Segment trees

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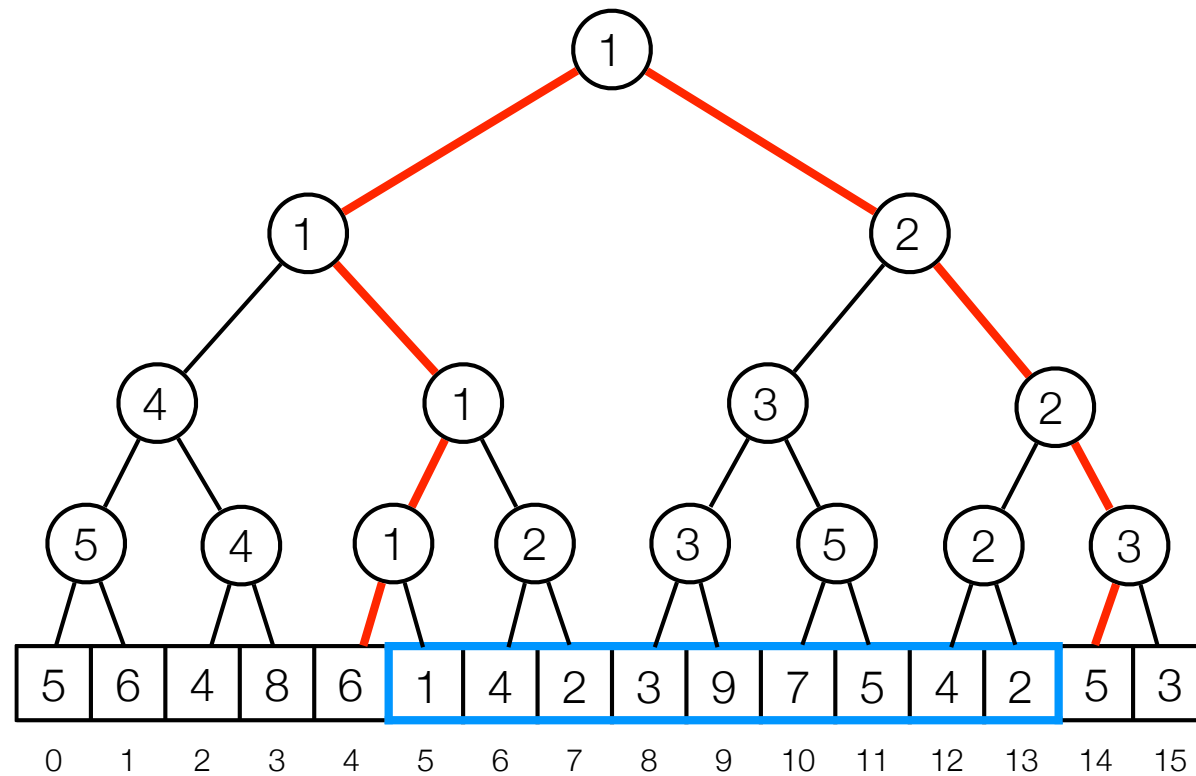
- Dynamic RMQ
  - $\text{RMQ}(5,13) = ?$



# Segment trees

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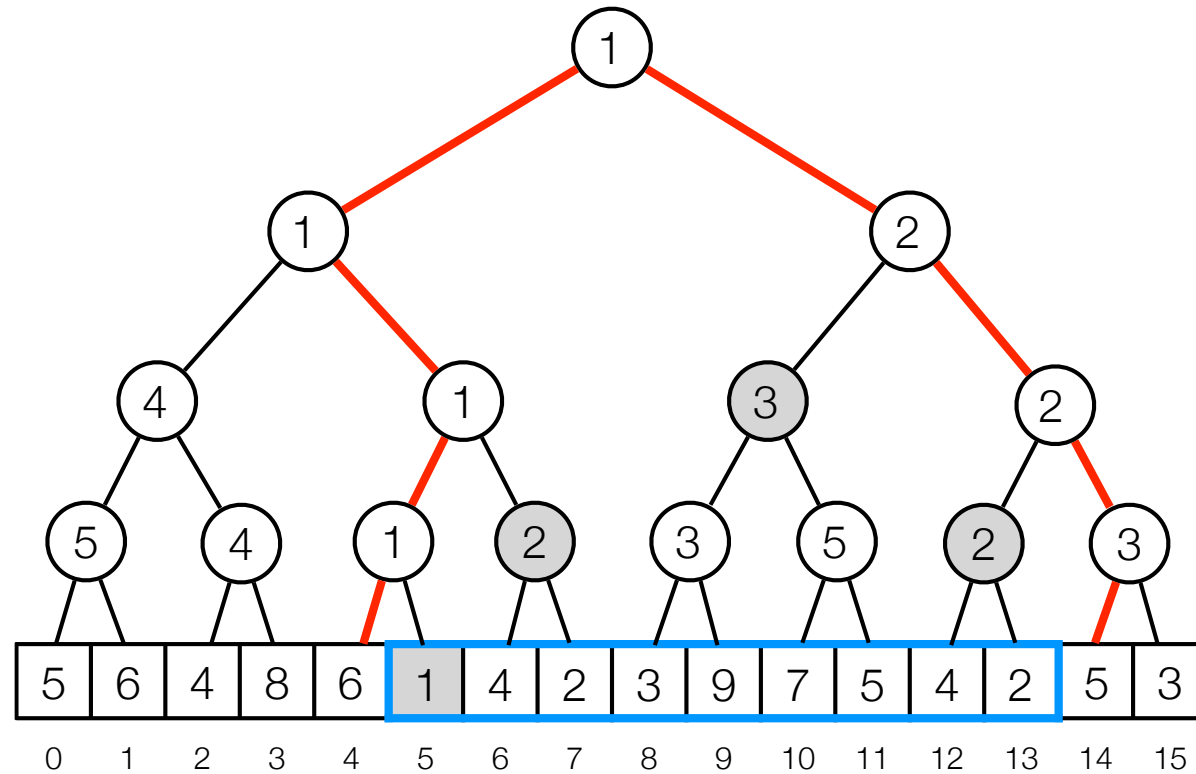
- Dynamic RMQ
  - $\text{RMQ}(5,13) = ?$



# Segment trees

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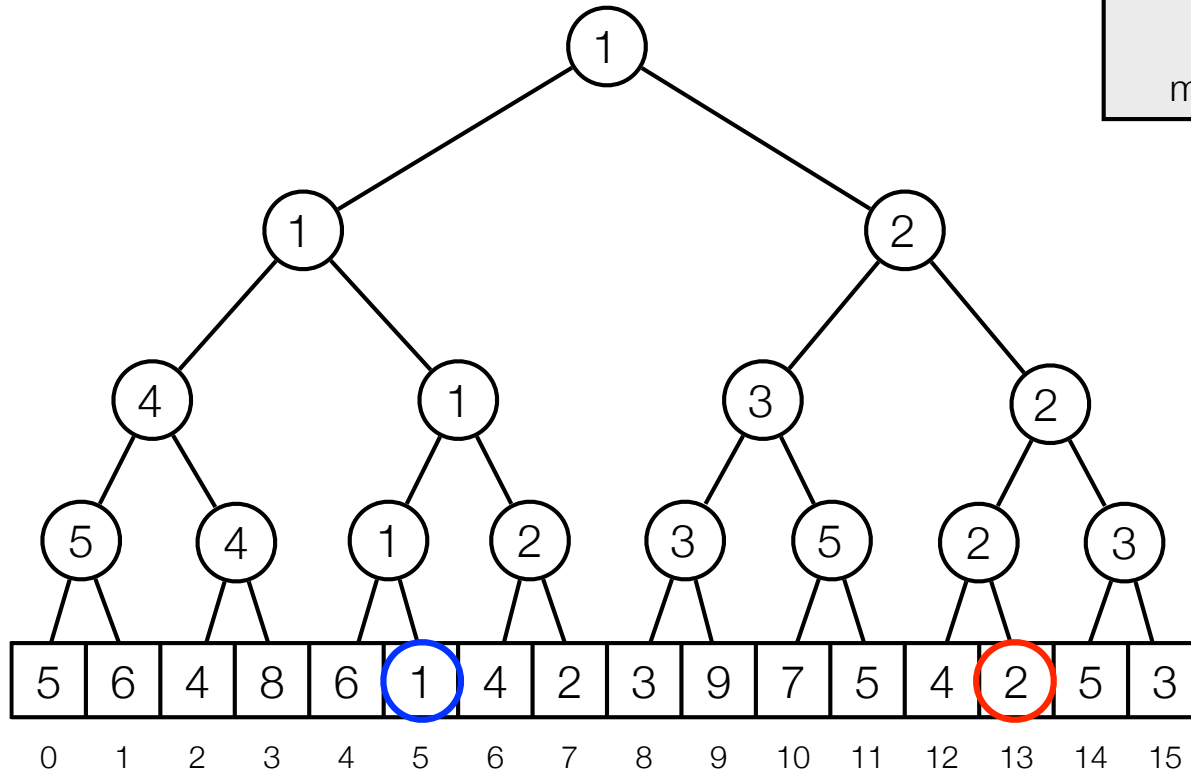
- Dynamic RMQ
  - $\text{RMQ}(5,13) = ?$



# Segment trees

- Dynamic RMQ
  - $\text{RMQ}(5,13) = \text{INF}$

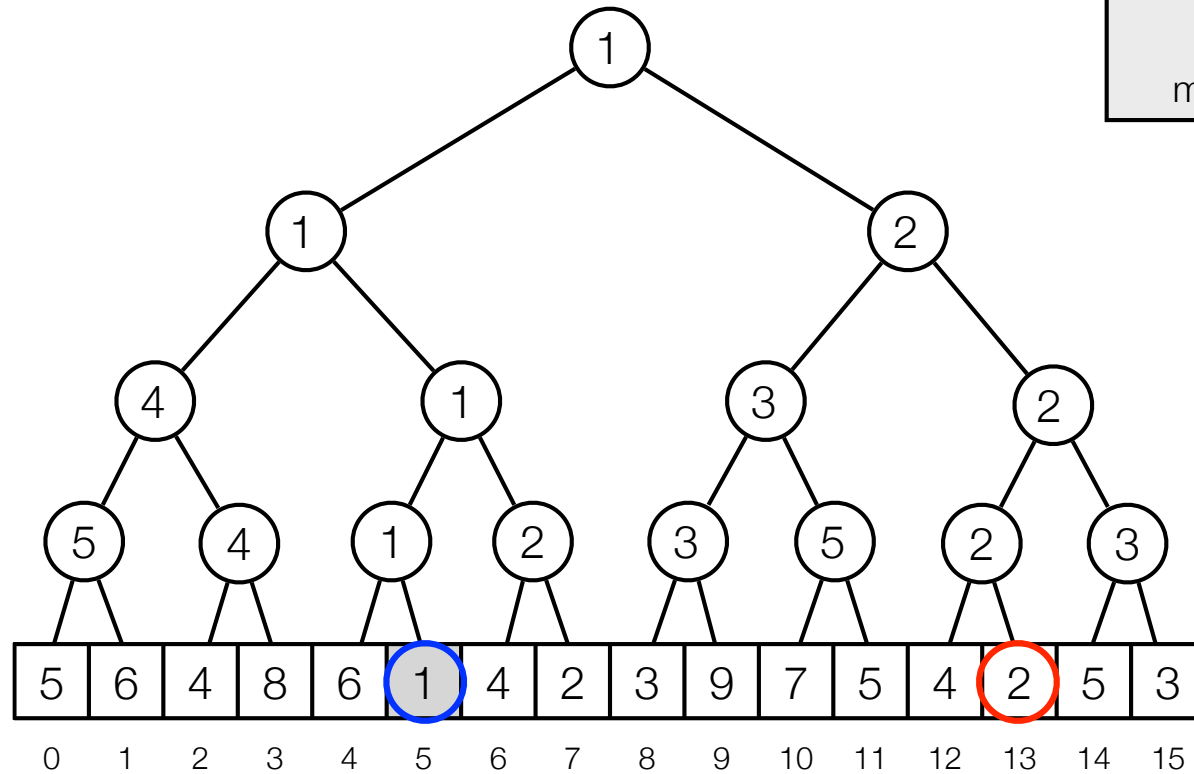
```
s = INF
while (a not right of b):
  if (a right child):
    s = min(s, tree[a])
    move a to the right
  if (b left child):
    s = min(s, tree[b])
    move b to the left
  move a and b to parents
```



# Segment trees

- Dynamic RMQ
  - $\text{RMQ}(5,13) = 1$

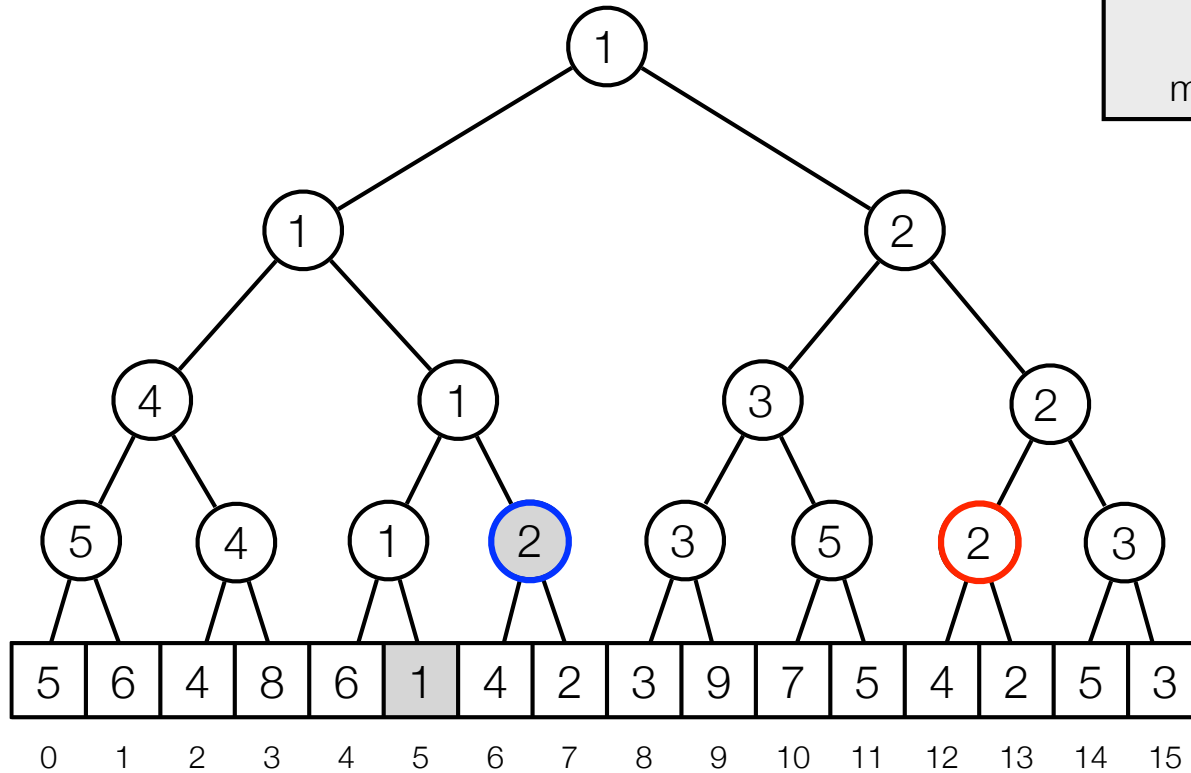
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# Segment trees

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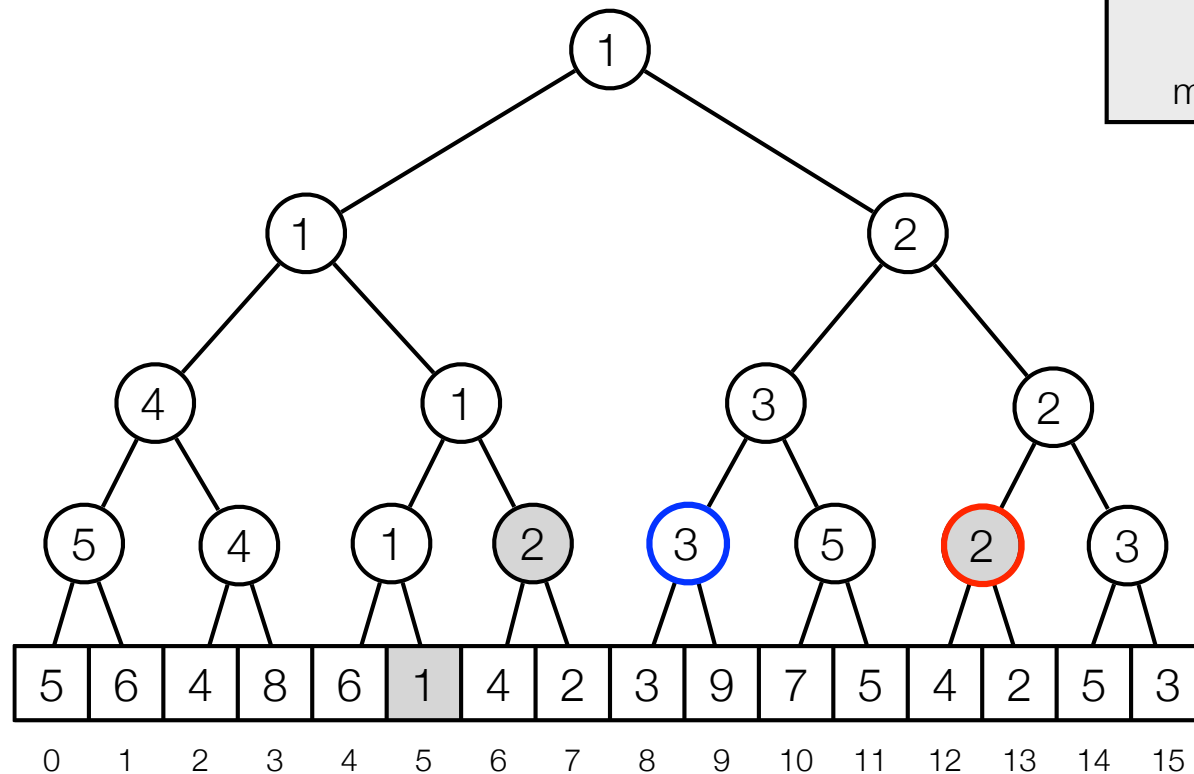
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- Dynamic RMQ
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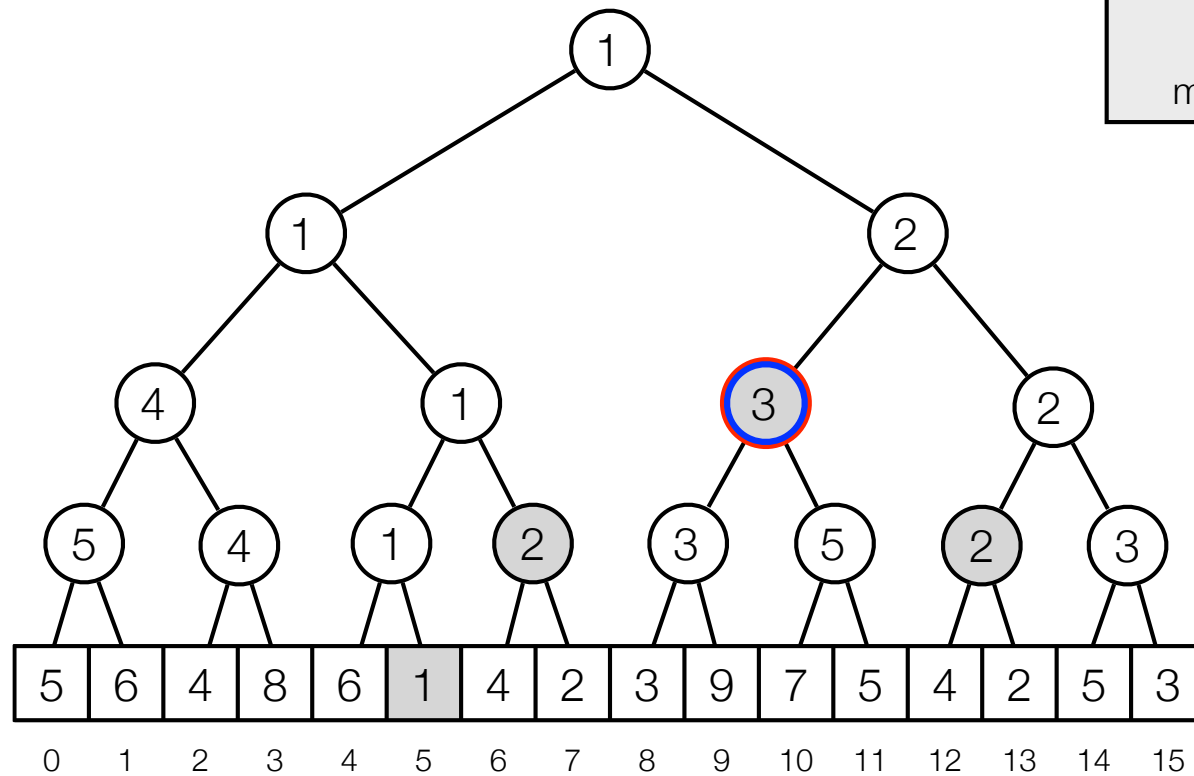




# Segment trees

- Dynamic RMQ
  - $\text{RMQ}(5,13) = 1$

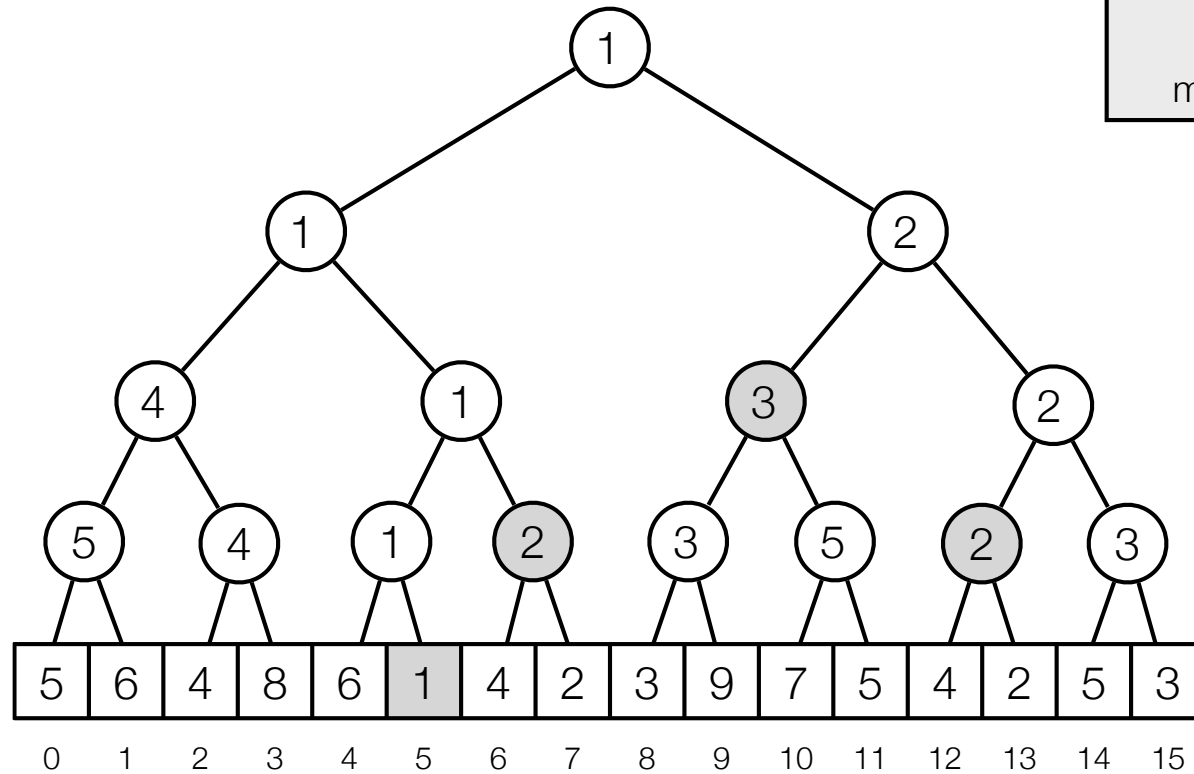
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# Segment trees

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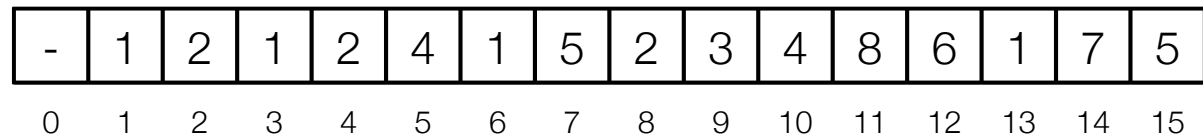
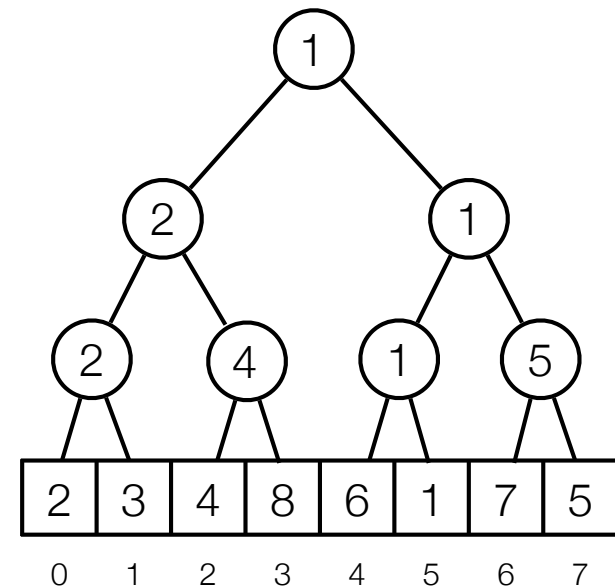
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    move b to the left
  move a and b to parents
```



# Implementation

- Implement tree using heap layout in array of length  $2n$ :
  - Root at position 1.
  - Children of node  $i$  at position  $2i$  and  $2i+1$ .

```
m = INFINITY
a += n, b += n
while (a ≤ b):
    if (a % 2 == 1):
        m = min(m, tree[a])
        a += 1
    if (b % 2 == 0):
        m = min(m, tree[b])
        b -= 1
    a = ⌊a / 2⌋
    b = ⌊b / 2⌋
return m
```



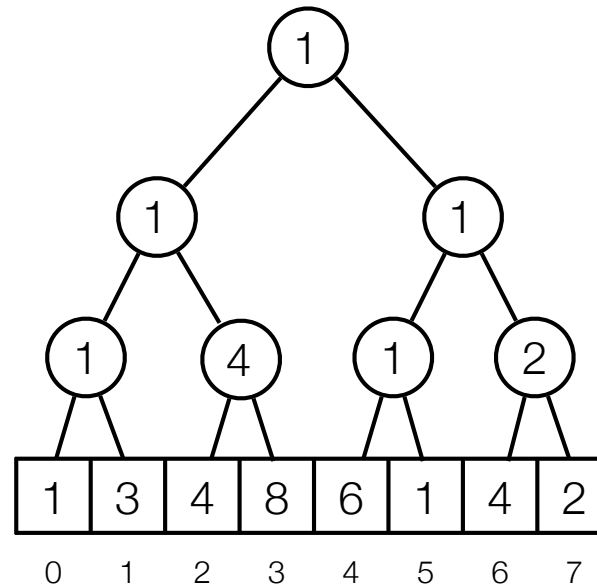
Space:  $O(n)$

Time:  $O(\log n)$

# Updates

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- Add(5, 7)

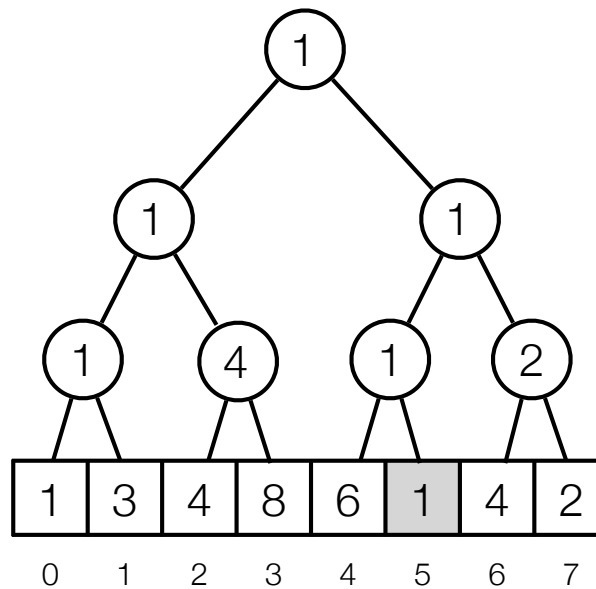


-	1	1	1	1	4	1	2	1	3	4	8	6	8	4	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

# Updates

---

- Add(5, 7)

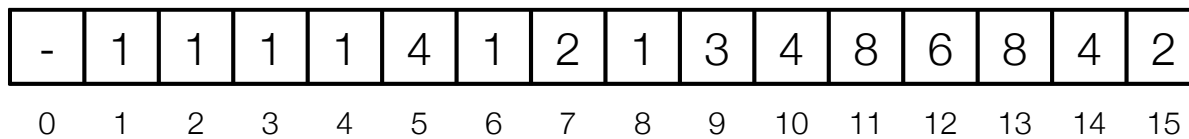
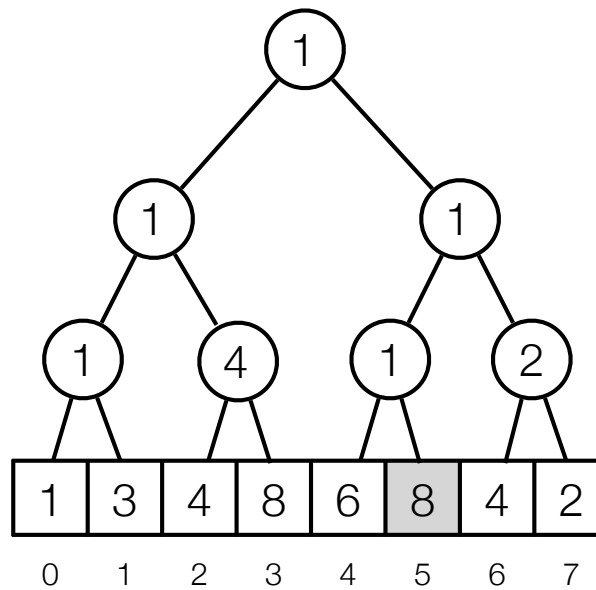


-	1	1	1	1	4	1	2	1	3	4	8	6	8	4	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

# Updates

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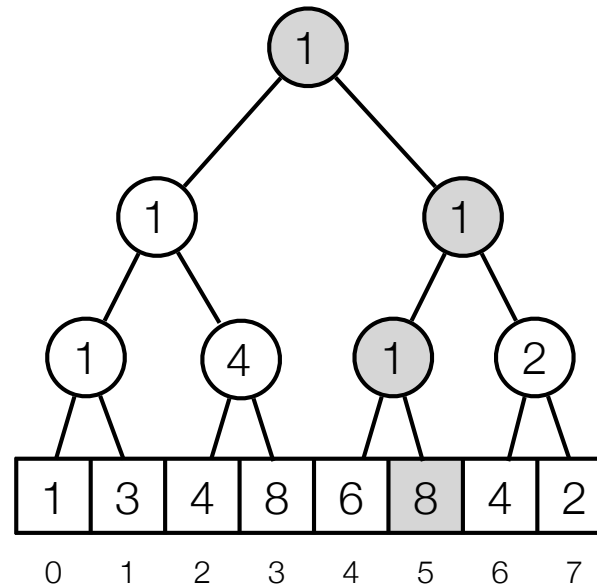
- Add(5, 7)



# Updates

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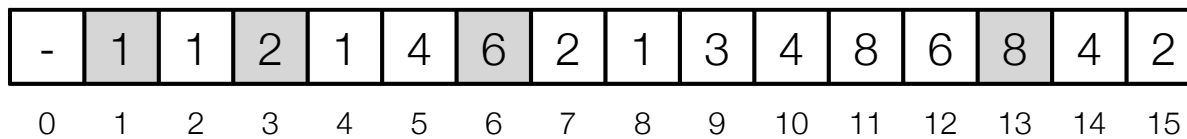
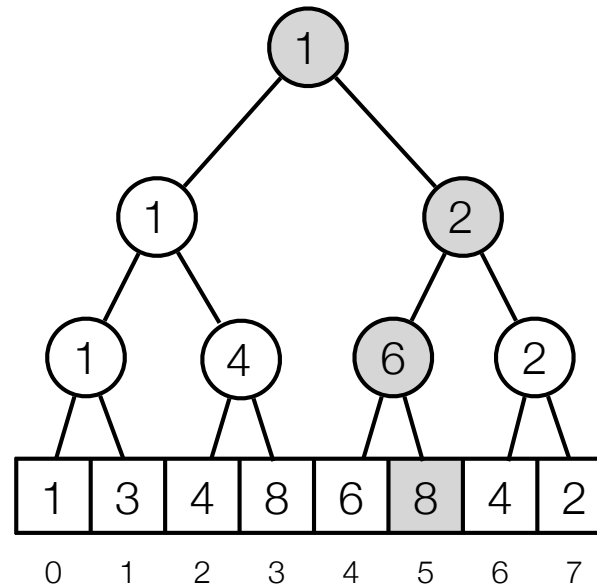
- Add(5, 7)



-	1	1	1	1	4	1	2	1	3	4	8	6	8	4	2
0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

# Updates

- Add(5, 7)



```
Add(i, k):  
  i += n  
  tree[i] += k  
  i = ⌊i/2⌋  
  while (i ≥ 1):  
    tree[x] = min(tree[2*i], tree[2*i + 1])  
    i = ⌊i/2⌋
```

Time:  $O(\log n)$