

# Approximation Algorithms

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Inge Li Gørtz

## Approximation algorithms

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- Fast. Cheap. Reliable. Choose two.
- NP-hard problems: choose 2 of
  - optimal
  - polynomial time
  - all instances
- **Approximation algorithms.** Trade-off between time and quality.
- Let  $A(I)$  denote the value returned by algorithm A on instance I. Algorithm A is an  $\alpha$ -*approximation algorithm* if for any instance I of the optimization problem:
  - A runs in polynomial time
  - A returns a valid solution
  - $A(I) \leq \alpha \cdot \text{OPT}$ , where  $\alpha \geq 1$ , for minimization problems
  - $A(I) \geq \alpha \cdot \text{OPT}$ , where  $\alpha \leq 1$ , for maximization problems

## Examples

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- **Acyclic Graph** Given a directed graph  $G=(V,E)$ , pick a maximum cardinality set of edges from E such that the resulting graph is acyclic.
  - Give a 1/2-approximation algorithm for this problem.
- **Minimum Maximal Matching**
  - A matching in a graph  $G=(V,E)$  is a subset of edges  $M \subseteq E$ , such that no two edges in M share an endpoint.
  - A maximal matching is a matching that cannot be extended, i.e., it is not possible to add an edge from  $E \setminus M$  to M without violating the constraint.
  - Design a 2-approximation algorithm for finding a minimum cardinality maximal matching in an undirected graph.

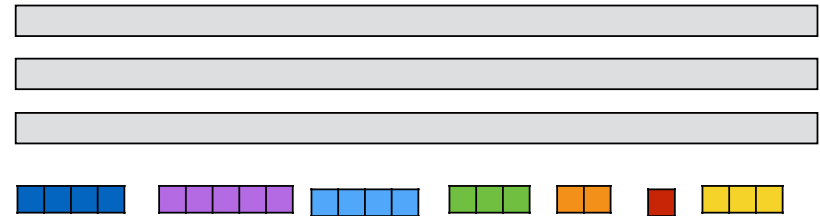
## Examples

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- **Acyclic Graph** Given a directed graph  $G=(V,E)$ , pick a maximum cardinality set of edges from E such that the resulting graph is acyclic.
  - Give a 1/2-approximation algorithm for this problem.
  - Lower bound - what is the best we can hope for?
  - Arbitrarily number the vertices and pick the bigger of the two sets, the forward going edges and the backward going edges.
- **Minimum Maximal Matching**
  - A matching in a graph  $G=(V,E)$  is a subset of edges  $M \subseteq E$ , such that no two edges in M share an endpoint.
  - A maximal matching is a matching that cannot be extended, i.e., it is not possible to add an edge from  $E \setminus M$  to M without violating the constraint.
  - Design a 2-approximation algorithm for finding a minimum cardinality maximal matching in an undirected graph.
  - Lower bound: Any maximal matching is at least half the maximum maximal matching. Why?

## Load balancing

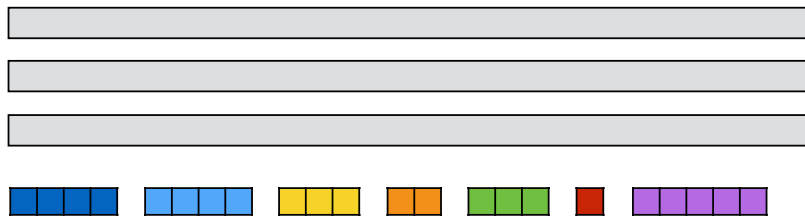
## Scheduling on identical parallel machines



- $n$  jobs to be scheduled on  $m$  identical machines.
- Each job has a processing time  $t_j$ .
- Once a job has begun processing it must be completed.
- $T_j$ : Load of machine  $j$ .
- Goal. Schedule all jobs so as to *minimize the maximum load (makespan)*:

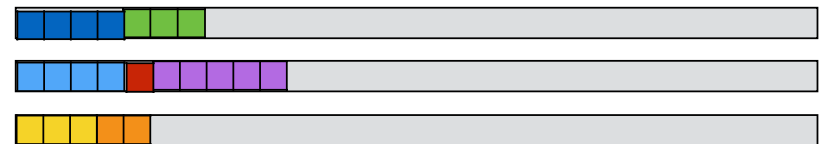
$$\text{minimize } T = \max_{i=1 \dots n} T_j$$

## Simple greedy (list scheduling)

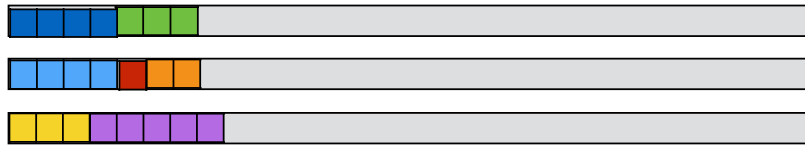


- *Simple greedy*. Process jobs in any order. Assign next job on list to machine with smallest current load.
- The greedy algorithm above is a 2-approximation algorithm:
  - polynomial time ✓
  - valid solution ✓
  - factor 2

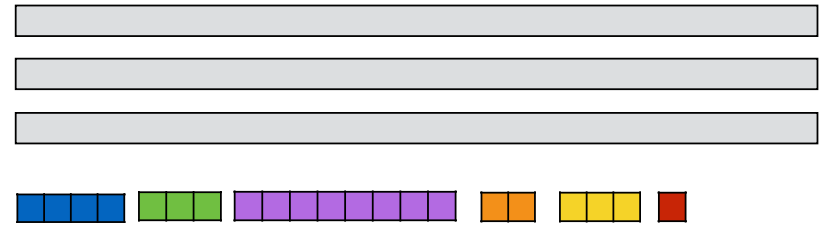
## Simple greedy (list scheduling)



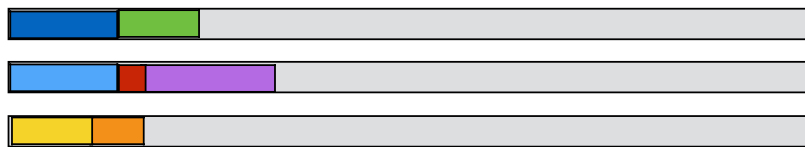
## Simple greedy (list scheduling)



## Simple greedy (list scheduling)



## Approximation factor



### • Lower bounds:

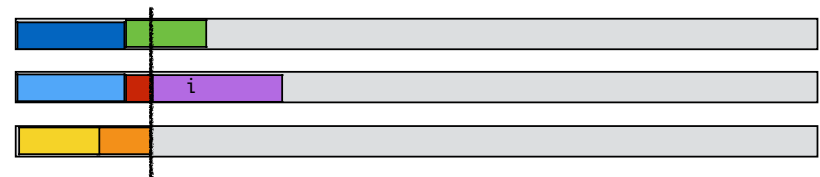
- Each job must be processed:

$$T^* \geq \max_j t_j$$

- There is a machine that is assigned at least average load:

$$T^* \geq \frac{1}{m} \sum_j t_j$$

## Approximation factor



- i: job finishes last.
- All other machines busy until start time s of i. ( $s = T_i - t_i$ )
- Partition schedule into before and after s.
- After  $\leq T^*$ .

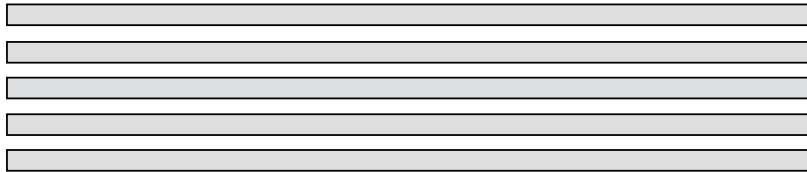
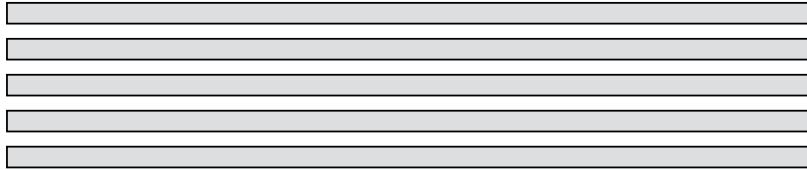
### • Before:

- All machines busy  $\Rightarrow$  total amount of work =  $m \cdot s$ :

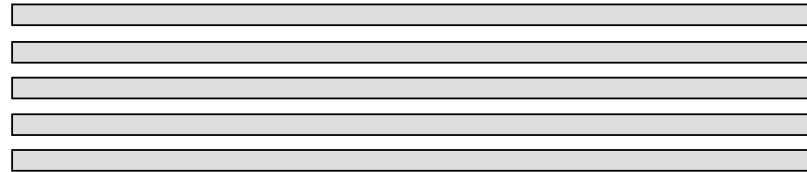
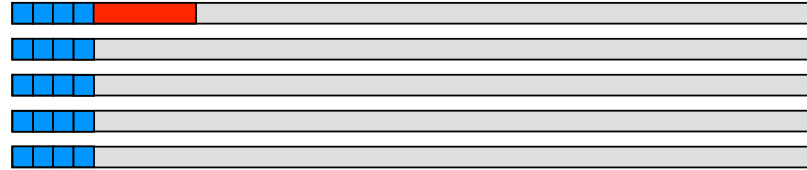
$$m \cdot s \leq \sum_j t_j \quad \Rightarrow \quad s \leq \frac{1}{m} \sum_j t_j \leq T^*$$

- Length of schedule =  $s + t_i \leq T^* + T^* = 2T^*$ .

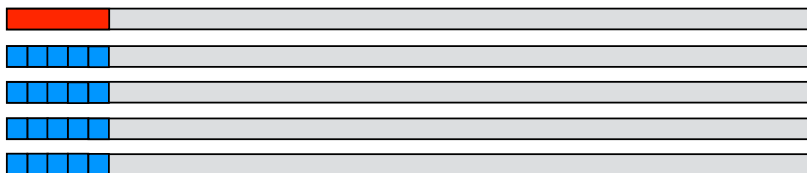
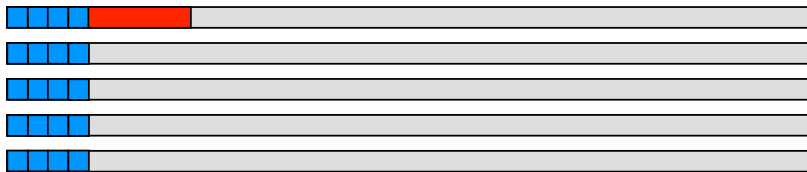
### Lower bound



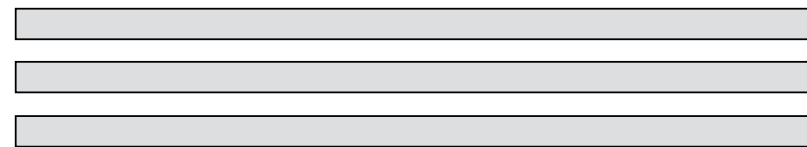
### Lower bound



### Lower bound

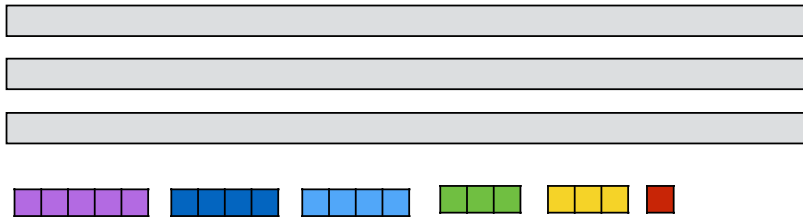


### Longest processing time rule



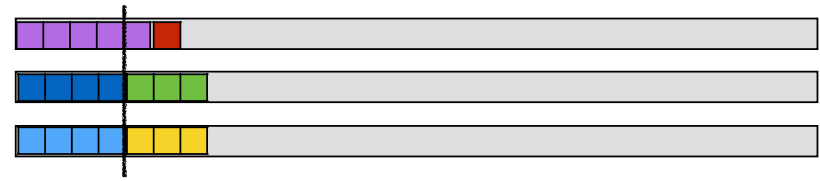
- *Longest processing time rule (LPT)*. Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.

## Longest processing time rule



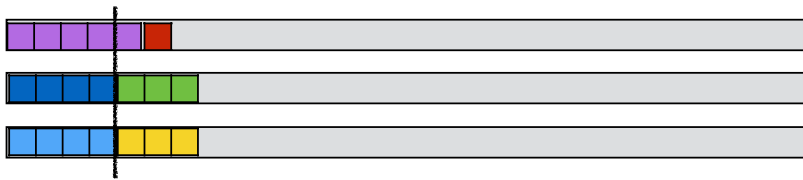
- *Longest processing time rule (LPT)*. Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.
- LPT is a 3/2-approximation algorithm:
  - polynomial time ✓
  - valid solution ✓
  - factor 3/2

## Longest processing time rule: factor 3/2



- **Longest processing time rule (LPT)**. Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.
- Assume  $t_1 \geq \dots \geq t_n$ .
- If  $n \leq m$  then optimal.
- Lower bound: If  $n > m$  then  $T^* \geq 2t_{m+1}$ .
- Factor 3/2:
  - Before  $\leq T^*$
  - After:  $i$  job that finishes last.
    - $t_i \leq t_{m+1} \leq T^*/2$ .
    - $T \leq T^* + T^*/2 \leq 3/2 T^*$ .
- Tight?

## Longest processing time rule: factor 4/3



- **Longest processing time rule (LPT)**. Sort jobs in non-increasing order. Assign next job on list to machine as soon as it becomes idle.
- Assume  $t_1 \geq \dots \geq t_n$ .
- Assume wlog that smallest job finishes last.
- If  $t_n \leq T^*/3$  then  $T \leq 4/3 T^*$ .
- If  $t_n > T^*/3$  then each machine can process at most 2 jobs in OPT.
- **Lemma.** For any input where the processing time of each job is more than a third of the optimal makespan, LPT computes an optimal schedule.
- **Theorem.** LPT is a 4/3-approximation algorithm.

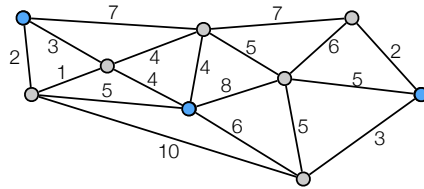
k-center

## The k-center problem

- **Input.** An integer  $k$  and a set of sites  $S$  with distance  $d(i,j)$  between each pair of sites  $i,j \in S$ .
- $d$  is a metric:
  - $\text{dist}(i,i) = 0$
  - $\text{dist}(i,j) = \text{dist}(j,i)$
  - $\text{dist}(i,l) \leq \text{dist}(i,j) + \text{dist}(j,l)$
- **Goal.** Choose a set  $C \subseteq S$ ,  $|C| = k$ , of  $k$  centers so as to minimize the maximum distance of a site to its closest center.

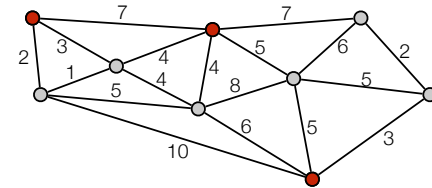
$$C = \operatorname{argmin}_{C \subseteq V, |C|=k} \max_{i \in V} \text{dist}(i,C)$$

- **Covering radius.** Maximum distance of a site to its closest center.



## k-center: Greedy algorithm

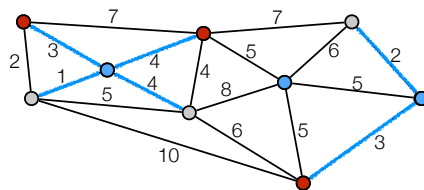
- **Greedy algorithm.**
  - Pick arbitrary  $i$  in  $S$ .
  - Set  $C = \{i\}$
  - while  $|C| < k$  do
    - Find vertex  $j$  farthest away from any cluster center in  $C$
    - Add  $j$  to  $C$
  - Return  $C$



- Greedy is a 2-approximation algorithm:
  - polynomial time ✓
  - valid solution ✓
  - factor 2

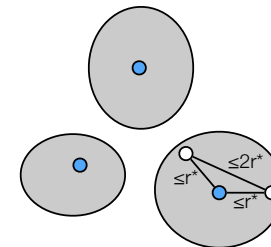
## k-center analysis: optimal clusters

- Optimal clusters: each vertex assigned to its closest optimal center.

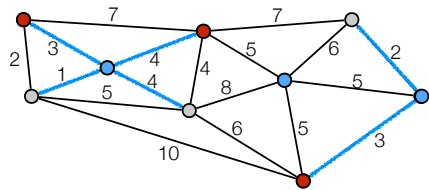


## k-center analysis

- $r^*$  optimal radius.
- **Claim:** Two vertices in same optimal cluster has distance at most  $2r^*$  to each other.



## k-center

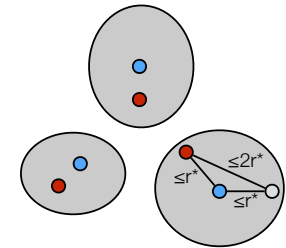


## k-center: analysis greedy algorithm

- $r^*$  optimal radius.
- Show all vertices within distance  $2r^*$  from a center.
- Consider optimal clusters. 2 cases.

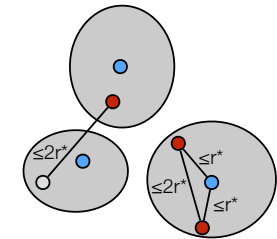
1. Algorithm picked one center in each optimal cluster

- distance from any vertex to its closest center  $\leq 2r^*$ .



2. Some optimal cluster does not have a center.

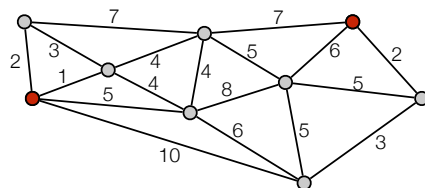
- Some cluster have more than one center.
- Distance between these two centers  $\leq 2r^*$ .
- When second center in same cluster picked it was the vertex farthest away from any center.
- Distance from any vertex to its closest center at most  $2r^*$ .



## Bottleneck algorithm

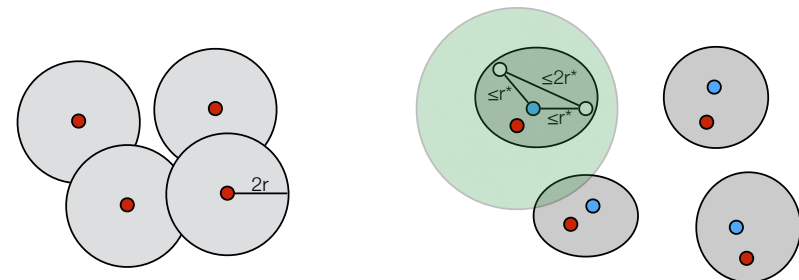
- Assume we know the optimum covering radius  $r$ .
- Bottleneck algorithm.
  - Set  $R := S$  and  $C := \emptyset$ .
  - while  $R \neq \emptyset$  do
    - Pick arbitrary  $i$  in  $R$ .
    - Add  $j$  to  $C$
    - Remove all vertices with  $d(j,v) \leq 2r$  from  $R$ .
  - Return  $C$

- Example:  $k=3$ .  $r=4$ .



## Analysis bottleneck algorithm

- $r^*$  optimal radius.
- Covering radius is at most  $2r = 2r^*$ .
- Show that we cannot pick more than  $k$  centers:
  - We can pick at most one in each optimal cluster:
    - Distance between two nodes in same optimal cluster  $\leq 2r^*$
    - When we pick a center in a optimal cluster all nodes in same optimal cluster is removed.



## Analysis bottleneck algorithm

- $r^*$  optimal radius.
- Can use algorithm to “guess”  $r^*$  (at most  $n^2$  values).
- If algorithm picked more than  $k$  centers then  $r^* > r$ .
  - If algorithm picked more than  $k$  centers then it picked more than one in some optimal cluster.
  - Distance between two nodes in same optimal cluster  $\leq 2r^*$ .
  - If more than one in some optimal cluster then  $2r < 2r^*$ .

