## Advanced Algorithms - COMS31900

2013/2014

## Lecture 13 <br> Approximate pattern matching (part two)

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## $k$-mismatch using frequent/infrequent symbols

Definition: A symbol is frequent if it occurs at least $\sqrt{k}$ times in $P$, and infrequent otherwise
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$$
\begin{aligned}
& k=4 \\
& \quad(\sqrt{k}=2)
\end{aligned}
$$

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$$
\begin{aligned}
& \text { (1) (1) (2) (3) (4) (5) (ㄷ) (8) } \\
& P \quad \begin{array}{|l|l|l|l|l|l|l|l|l|}
\hline a & b & b & a & c & a & d & b & d \\
\hline
\end{array}
\end{aligned}
$$

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$$
\begin{aligned}
& \text { (1) (1) (2) (3) (4) (5) (6) (3) } 8 \\
& P \quad \begin{array}{|l|l|l|l|l|l|l|l|l|}
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\hline
\end{array} \\
& k=4 \\
& (\sqrt{k}=2) \\
& a \text { is frequent }
\end{aligned}
$$

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\begin{aligned}
& k=4 \\
& (\sqrt{k}=2) \\
& a \text { is frequent, } b \text { is frequent }
\end{aligned}
$$

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$$
\begin{aligned}
& \text { (1) (1) (2) (3) © (4) © © © © © © } \\
& \begin{array}{|l|l|l|l|l|l|l|l|l|r} 
& k=4 \\
\hline a & b & b & a & c & a & d & b & d & (\sqrt{k}=2)
\end{array}
\end{aligned}
$$

$a$ is frequent, $b$ is frequent, $d$ is frequent

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Case 1: There are fewer than $2 \sqrt{k}$ frequent symbols in $P$.

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Step 0: Classify each symbol as frequent or infrequent
Step 1: Count all matches involving frequent symbols (using convolutions)

Step 2: Count all matches involving infrequent symbols (as before)

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Step 0: Classify each symbol as frequent or infrequent - $O(m \log m)$ time
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Step 2: Count all matches involving infrequent symbols (as before)

- $O(n \sqrt{k})$ time


## Case 2: There are at least $2 \sqrt{k}$ frequent symbols

Pick any $2 \sqrt{k}$ frequent symbols and for each symbol pick $\sqrt{k}$ occurences in $P$.
This gives us $2 k$ interesting pattern locations, denoted $J$

$$
P \quad \begin{array}{|l|l|l|l|l|l|l|l|l|l|l|l|l|l|}
\hline a & e & b & b & a & c & a & d & b & d & c & f & b & b \\
\hline
\end{array} \quad k=4
$$

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$$
P \begin{array}{|c|c|c|c|c|c|c|c|c|c|c|c|c|cc} 
\\
\hline a & e & b & b & a & c & a & d & b & d & c & f & b & b \\
\hline
\end{array}
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i.e. the number of (single character) matches involving interesting pattern locations

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Fact if $d_{k}(i)<k$ then there are more than $k$ mismatches (i.e. $\operatorname{Ham}_{k}(i)=X$ )

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Fact There are at most $n / \sqrt{k}$ values of $i$ with $d_{k}(i) \geqslant k$
For any location $i^{\prime}, \quad T\left[i^{\prime}\right]=P[j]$ for either 0 or $\sqrt{k}$ distinct $j \in J$

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For any location $i^{\prime}, \quad T\left[i^{\prime}\right]=P[j]$ for either 0 or $\sqrt{k}$ distinct $j \in J$
This implies that $\sum_{i} d_{k}(i) \leqslant \sum_{i^{\prime}} \sum_{j \in J} \mathrm{Eq}\left(T\left[i^{\prime}\right], P[j]\right) \leqslant n \sqrt{k}$

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Let $d_{k}(i)$ be the number of $j \in J$ such that $P[j]=T[i+j]$
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Fact There are at most $n / \sqrt{k}$ values of $i$ with $d_{k}(i) \geqslant k \quad$ (and 0 otherwise)
For any location $i^{\prime}, T\left[i^{\prime}\right]=P[j]$ for either 0 or $\sqrt{k}$ distinct $j \in J$
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\text { So } \sum_{i} d_{k}(i) \geqslant \frac{n}{\sqrt{k}} \cdot k
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We can filter the text, leaving only $n / \sqrt{k}$ locations to check every other location has more than $k$ mismatches

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Check each of the remaining locations using LCP queries in $O(k)$ time

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Pattern matching with k-mismatches: putting it all together

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Preprocess $P, T$ for LCP queries - $O(n)$ time

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Count the number of frequent symbols in $P-O(m \log m)$ time

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Preprocess $P, T$ for LCP queries - $O(n)$ time
Count the number of frequent symbols in $P-O(m \log m)$ time

Case 1: $P$ has at most $2 \sqrt{k}$ frequent symbols

Case 2: $P$ has more than $2 \sqrt{k}$ frequent symbols

Pattern matching with k-mismatches: putting it all together

## Algorithm summary

Preprocess $P, T$ for LCP queries - $O(n)$ time
Count the number of frequent symbols in $P-O(m \log m)$ time
Case 1: $P$ has at most $2 \sqrt{k}$ frequent symbols
Count matches with frequent symbols using convolution - $O(n \sqrt{k} \log m)$ time

Case 2: $P$ has more than $2 \sqrt{k}$ frequent symbols

Pattern matching with k-mismatches: putting it all together

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Preprocess $P, T$ for LCP queries - $O(n)$ time
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Case 2: $P$ has more than $2 \sqrt{k}$ frequent symbols
Filter the text, leaving $n / \sqrt{k}$ alignments - $O(n \sqrt{k})$ time

Pattern matching with k-mismatches: putting it all together

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Case 2: $P$ has more than $2 \sqrt{k}$ frequent symbols
Filter the text, leaving $n / \sqrt{k}$ alignments - $O(n \sqrt{k})$ time
Count mismatches at these alignments using LCP queries - $O(n \sqrt{k})$ time

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Overall, we obtain a time complexity of $O(n \sqrt{k} \log m)$.

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Count mismatches at these alignments using LCP queries - $O(n \sqrt{k})$ time

Overall, we obtain a time complexity of $O(n \sqrt{k} \log m)$.

- this can be improved to $O(n \sqrt{k \log k})$

